



GENERAL GOVERNMENT & QUASI-PUBLIC AGENCIES

GOVERNOR GINA M. RAIMONDO



General Government

Volume I: General Government & Quasi-Public Agencies

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General Government Function Summary

Expenditures by Agency	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Board Of Elections	1,505,698	4,272,724	2,748,855	2,717,647	3,472,921
Department Of Administration	779,350,442	742,192,651	826,428,191	874,090,634	874,564,722
Department Of Business Regulation	14,702,783	22,926,405	26,363,657	26,402,274	30,101,257
Department Of Labor And Training	425,495,185	433,781,681	449,014,095	459,193,876	464,735,172
Department Of Revenue	512,595,161	577,205,390	643,187,244	656,228,411	672,082,118
Executive Office Of Commerce	49,869,458	53,240,307	59,996,451	56,768,113	80,451,025
General Assembly	39,282,079	40,798,616	45,636,115	52,768,810	46,914,237
Office Of Lieutenant Governor	1,001,557	1,108,950	1,147,816	1,145,892	1,163,022
Office Of The General Treasurer	43,076,635	42,045,579	42,655,641	42,420,937	44,626,993
Office Of The Governor	5,481,059	5,624,462	6,093,211	6,086,325	6,580,157
Public Utilities Commission	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
Rhode Island Commission For Human Rights	1,683,010	1,743,538	1,917,005	1,907,748	1,957,449
Rhode Island Ethics Commission	1,637,934	1,719,158	1,845,298	1,843,445	1,977,107
Secretary Of State	10,230,886	11,965,068	11,970,886	12,739,630	11,991,863
Total Expenditures	1,894,926,114	1,947,749,704	2,130,387,445	2,205,683,666	2,252,276,277
Expenditures by Object					
Salary and Benefits	584,418,062	591,316,747	632,356,309	652,372,991	661,360,809
Contract Professional Services	40,706,551	34,752,219	38,657,533	44,577,579	53,597,290
Operating Supplies and Expenses	509,961,216	557,131,967	574,193,942	606,211,254	608,324,799
Assistance And Grants	386,995,494	400,261,729	414,809,510	433,933,419	438,560,607
Capital Purchases And Equipment	1,057,854	0	5,275,657	5,188,757	5,188,757
Aid To Local Units Of Government	104,919,741	128,060,425	165,910,293	162,024,551	167,496,338
Subtotal: Operating	1,628,058,918	1,711,523,087	1,831,203,244	1,904,308,551	1,934,528,600
Capital Purchases And Equipment	38,512,870	24,541,559	68,052,327	82,148,776	65,823,333
Debt Service (Fixed Charges)	156,678,487	141,575,654	196,970,371	185,320,171	199,264,642
Operating Transfers	71,675,839	70,109,404	34,161,503	33,906,168	52,659,702
Subtotal: Other	266,867,196	236,226,617	299,184,201	301,375,115	317,747,677
Total Expenditures	1,894,926,114	1,947,749,704	2,130,387,445	2,205,683,666	2,252,276,277
Expenditures by Source of Funds					
General Revenue	454,516,752	481,917,643	553,214,082	560,638,997	602,507,602
Federal Funds	58,085,804	54,546,738	65,530,516	70,632,644	59,083,354
Restricted Receipts	121,465,435	107,385,988	121,383,346	148,474,145	150,132,477
Operating Transfers From Other Funds	86,569,657	81,731,801	102,571,356	102,286,177	99,269,263
Other Funds	1,174,288,466	1,222,167,534	1,287,688,145	1,323,651,702	1,341,283,580
Total Expenditures	1,894,926,114	1,947,749,704	2,130,387,445	2,205,683,666	2,252,276,277
FTE Authorization	2,370.9	2,438.9	2,406.9	2,406.9	2,438.9

Agency Summary

DEPARTMENT OF ADMINISTRATION

Agency Mission

To manage the State's financial, human, technological, physical and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost-effective services possible. To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the Department are efficiently organized and implemented.

Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The Department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state. The Department, headed by the Director of Administration, includes the programmatic functions of Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Capital Asset Management and Maintenance (DCAMM), Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources, HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I. General Laws § 42-11 establishes and provides for the organization and functions of the Department of Administration.

Budget

Department Of Administration

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	2,824,619	2,114,525	2,389,232	2,389,232	2,423,908
Accounts and Control	5,060,719	4,856,802	5,562,009	5,551,847	5,296,220
Office of Management and Budget	9,125,602	8,375,210	9,841,526	9,525,659	9,647,143
Purchasing	3,648,240	4,330,929	4,297,898	4,307,881	4,526,725
Human Resources	1,153,476	560,407	788,541	1,305,378	389,142
Personnel Appeal Board	102,303	127,863	151,521	151,521	125,298
General	53,886,849	44,450,912	62,629,874	62,743,953	52,627,938
Debt Service Payments	179,649,841	164,862,254	197,070,371	185,420,171	199,364,642
Internal Service Programs	464,798,069	475,763,305	498,547,415	523,383,887	531,851,557
Legal Services	1,943,569	1,943,571	2,294,340	2,166,544	1,989,133
Facilities Management	996,887	0	0	0	0
Information Technology	22,795,771	7,338,984	8,383,510	23,039,857	13,501,736
Library and Information Services	2,464,556	2,551,546	2,614,826	2,786,439	2,994,159
Planning	3,503,881	3,985,460	6,453,342	7,571,314	7,216,545
Personnel and Operational Reforms	0	(914,743)	(4,607,518)	0	0
Energy Resources	5,054,728	2,712,772	8,814,102	17,891,467	8,483,725
Construction Permitting, Approvals and Licensing	3,166,479	0	0	0	0
Rhode Island Health Benefits Exchange (HealthSource RI)	9,656,976	8,077,253	9,953,397	10,821,172	21,479,792
The Office of Diversity, Equity and Opportunity	1,130,353	1,292,410	1,426,500	1,415,620	1,482,294
Capital Asset Management and Maintenance	8,387,524	9,763,192	9,817,305	13,618,692	11,164,765
Total Expenditures	779,350,442	742,192,651	826,428,191	874,090,634	874,564,722
<i>Internal Services</i>	<i>[464,798,069]</i>	<i>[475,763,305]</i>	<i>[498,547,415]</i>	<i>[523,383,887]</i>	<i>[531,851,557]</i>
Expenditures by Object					
Salary And Benefits	415,137,476	408,934,825	433,183,075	452,362,064	454,825,104
Contract Professional Services	24,043,955	17,433,173	16,437,214	20,586,559	26,381,204
Operating Supplies And Expenses	96,725,386	105,969,389	102,652,084	112,180,296	108,048,428

Budget

Department Of Administration

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Assistance And Grants	6,454,948	5,213,036	3,595,604	18,583,541	18,000,195
Capital Purchases And Equipment	1,002,357	0	4,938,757	4,938,757	4,938,757
Aid To Local Units Of Government	11,107,320	11,118,150	11,499,302	11,499,302	12,264,938
Subtotal: Operating	554,471,442	548,668,572	572,306,036	620,150,519	624,458,626
Capital Purchases And Equipment	37,135,040	21,918,770	55,677,998	67,410,497	49,377,115
Debt Service (fixed Charges)	156,678,487	140,948,085	196,970,371	185,320,171	199,264,642
Operating Transfers	31,065,473	30,657,224	1,473,786	1,209,447	1,464,339
Subtotal: Other	224,879,000	193,524,079	254,122,155	253,940,115	250,106,096
Total Expenditures	779,350,442	742,192,651	826,428,191	874,090,634	874,564,722
Expenditures by Source of Funds					
General Revenue	189,889,755	174,086,174	206,638,425	208,871,535	214,958,218
Federal Funds	7,678,087	4,361,890	4,152,873	4,078,271	2,486,895
Restricted Receipts	30,686,532	13,988,613	24,412,178	45,320,414	38,839,448
Operating Transfers From Other Funds	83,732,163	73,882,824	92,554,997	92,325,104	86,316,250
Other Funds	467,363,905	475,873,150	498,669,718	523,495,310	531,963,911
Total Expenditures	779,350,442	742,192,651	826,428,191	874,090,634	874,564,722
FTE Authorization	697.7	655.7	647.7	647.7	652.7

Personnel Agency Summary

Department Of Administration

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Classified	624.8	53,432,326	629.8	54,253,223
Unclassified	22.9	2,610,888	22.9	2,644,393
Subtotal	647.7	56,043,214	652.7	56,897,616
Transfer Out		(979,421)		(989,383)
Transfer In		104,283		106,056
Overtime (1.5)		996,555		998,179
Seasonal/Special Salaries/Wages		55,946		37,699
Turnover		(2,532,688)		(1,968,163)
Total Salaries		54,337,890		55,510,809
Benefits				
FICA		4,064,282		4,170,103
Health Benefits		7,949,531		8,397,712
Payroll Accrual		310,292		319,306
Retiree Health		3,544,942		3,217,015
Retirement		14,506,742		15,506,063
Workers Compensation		129,600		129,600
Subtotal		30,505,389		31,739,799
Total Salaries and Benefits	647.7	85,302,279	652.7	87,709,608
Cost Per FTE Position		131,700		134,380
Statewide Benefit Assessment		2,107,414		2,163,125
Payroll Costs	647.7	452,765,960	652.7	455,229,000
Purchased Services				
Buildings and Ground Maintenance		2,176,548		1,791,499
Clerical and Temporary Services		315,948		352,194
Design and Engineering Services		113,000		153,000
Information Technology		6,037,243		10,988,661
Legal Services		305,291		302,336
Management & Consultant Services		10,323,862		10,217,570
Medical Services		5,000		5,000
Other Contracts		1,180,835		2,442,944
Training and Educational Services		128,832		128,000
Subtotal		20,586,559		26,381,204
Total Personnel	647.7	473,352,519	652.7	481,610,204

Personnel Agency Summary

Department Of Administration

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	204.7	24,786,238	199.7	26,005,294
Federal Funds	3.0	1,804,569	3.0	1,593,141
Restricted Receipts	19.0	10,767,796	19.0	11,105,116
Operating Transfers from Other Funds	4.0	4,108,007	4.0	4,266,972
Other Funds	417.0	431,885,909	427.0	438,639,681
Total All Funds	647.7	473,352,519	652.7	481,610,204

Performance Measures

Department Of Administration

Minorities in the Executive Branch of the State Workforce

One of the Department of Administration's strategic objectives is to attract, hire, and retain a talented and diverse workforce. To that end, the department intends to increase the representation of people of color in state government. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		20.50%	22.20%	24.10%	0.00%	0.00%
Actual		18.50%	19.67%	0.00%	0.00%	

Major Processes Subject to a Lean Review

One of the Department of Administration's strategic objectives is to deliver core processes that are predictable, equitable, consistent, timely, and cost-effective. To accomplish this goal, the department intends to standardize and streamline procedures using Lean process improvement techniques. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		8.00	3.00	3.00	0.00	0.00
Actual		3.00	4.00	0.00	0.00	

State Government Energy Consumption

As part of its efforts to provide cost-effective services, the Department of Administration is pursuing energy efficiency initiatives that will reduce overall consumption of gas and electricity by state agencies. The figures below illustrate baseline and projected energy consumption (natural gas and electricity), measured in million BTU (MMBTU). [Notes: This measure has been revised to incorporate natural gas usage. Historical targets are not available. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		0.00	0.00	1,469,700.00	0.00	0.00
Actual		1,441,930.00	1,493,744.00	0.00	0.00	

Performance Measures

DEPARTMENT OF ADMINISTRATION

	Female	Minority	Black or African American	Hispanic or Latino	Amer. Ind. / Alaskan	Asian	Native Hawaiian or Other PI	Two or More Races
State Workforce, FY 2019	53.40%	19.00%	9.20%	7.00%	1.00%	2.00%	0.10%	0.00%
Target (RI Population)	51.40%	28.00%	8.40%	15.90%	1.10%	3.60%	0.20%	2.80%

Agency	Female	Minority	Black or African American	Hispanic or Latino	Amer. Ind. / Alaskan	Asian	Native Hawaiian or Other PI	Two or More Races
Administration	49.00%	15.00%	5.40%	5.30%	1.00%	3.00%	0.00%	0.30%
Attorney General	65.00%	16.00%	5.00%	9.00%	0.00%	2.00%	0.00%	0.00%
Behavioral Healthcare, Devel. Disabilities & Hospitals	71.00%	31.00%	22.00%	6.00%	1.00%	3.00%	0.00%	0.40%
Business Regulation	53.00%	10.30%	5.10%	4.40%	0.00%	1.00%	0.00%	0.00%
Children, Youth & Families	69.00%	26.00%	14.40%	9.00%	0.00%	2.00%	0.00%	1.00%
Comm. College of Rhode Island	62%	14.00%	5.40%	4.40%	1.00%	3.40%	0.00%	0%
Commerce RI	64.30%	29.00%	21.40%	0.00%	0.00%	7.10%	0.00%	0.00%
Commissions & Small Agencies	56.00%	16.20%	5.10%	9.40%	0.00%	2.00%	0.00%	0.00%
Corrections	28.00%	19.00%	11.00%	6.30%	0.20%	1.30%	0.00%	0.00%
Education	70.00%	19.00%	5.00%	9.00%	0.00%	0.00%	0.00%	2.00%
Emergency Management	45.00%	3.40%	3.40%	0.00%	0.00%	0.00%	0.00%	0.00%
Environmental Management	37.00%	5.00%	3.00%	1.00%	0.20%	1.00%	0.00%	0.00%
Governor's Office	53.00%	23.00%	10.00%	10.00%	0.00%	3.00%	0.00%	0.00%
Health	71.00%	19.30%	8.00%	8.00%	0.00%	4.10%	0.00%	0.00%
Post-Secondary Commissioner*	58.00%	10.00%	3.20%	0.00%	0.00%	0.00%	0.00%	3.20%
Human Services	76.10%	30.00%	15.00%	13.10%	0.40%	1.30%	0.00%	0.10%
Judiciary	66.10%	12.00%	5.20%	5.00%	0.20%	2.00%	0.00%	0.00%
Labor & Training	67.40%	23.00%	8.20%	13.00%	0.20%	1.00%	0.00%	0.00%
Military	18.00%	10.00%	0.00%	5.00%	1.20%	2.40%	0.00%	0.00%
Office of Health & Human Services	74.00%	8.40%	4.20%	1.00%	1.00%	0.00%	0.00%	1.00%
Public Safety	17.20%	13.40%	8.00%	5.00%	0.10%	1.00%	0.00%	0.10%
Public Utilities Commission*	47.00%	20.40%	10.20%	10.20%	0.00%	0.00%	0.00%	0.00%
Revenue	57.00%	20.40%	7.00%	10.00%	1.00%	3.00%	0.00%	0.30%
Rhode Island College	58.10%	22.00%	7.20%	7.20%	7.20%	0.00%	0.00%	0.00%
RIPTA	26.00%	35.30%	17.00%	16.00%	1.00%	0.00%	0.00%	1.20%
Secretary of State	70.00%	21.40%	4.00%	16.00%	0.00%	2.00%	0.00%	0.00%
Transportation	20.00%	15.10%	8.00%	5.00%	0.40%	2.00%	0.00%	0.20%
Treasurer	61.00%	12.20%	4.00%	9.00%	0.00%	0.00%	0.00%	0.00%
University of Rhode Island	57.00%	14.00%	4.00%	2.40%	1.00%	6.30%	0.00%	0.20%
Target (RI Population)	51.40%	28.00%	8.40%	15.90%	1.10%	3.60%	0.20%	2.80%

Program Summary

DEPARTMENT OF ADMINISTRATION

Central Management

Mission

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the department are efficiently organized and implemented. To select the best candidates for recommendation for appointment to the Judiciary.

Description

Central Management is comprised of three major functions: Director's Office, Central Business Office, and Judicial Nominating Commission. The Director's Office provides for the overall operation of the department and provides assistance to all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor. The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration to ensure maximum use of state and federal resources. The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I. General Laws § 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

Statutory History

The legal mandates of the Department of Administration are outlined in R.I. General Laws § 42-11-2. The Judicial Nominating Commission was created by RIGL § 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees.

Budget

Agency: Department Of Administration

Central Management

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Director's Office	2,048,551	1,292,248	1,353,719	1,350,081	1,458,813
Financial Management	768,418	818,013	1,013,552	1,017,190	943,134
Judicial Nominating Committee	7,650	4,264	21,961	21,961	21,961
Total Expenditures	2,824,619	2,114,525	2,389,232	2,389,232	2,423,908
Expenditures by Object					
Salary and Benefits	2,027,494	1,681,322	1,800,516	1,876,257	1,872,761
Contract Professional Services	470,723	174,082	256,400	256,400	256,400
Operating Supplies and Expenses	174,480	253,137	325,256	249,515	287,687
Subtotal: Operating	2,672,697	2,108,540	2,382,172	2,382,172	2,416,848
Capital Purchases And Equipment	151,922	5,985	7,060	7,060	7,060
Subtotal: Other	151,922	5,985	7,060	7,060	7,060
Total Expenditures	2,824,619	2,114,525	2,389,232	2,389,232	2,423,908
Expenditures by Source of Funds					
General Revenue	2,824,619	2,114,525	2,389,232	2,389,232	2,423,908
Total Expenditures	2,824,619	2,114,525	2,389,232	2,389,232	2,423,908

Personnel

Agency: Department Of Administration

Central Management

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	2.0	169,244	2.0	95,921
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	1.0	98,020	1.0	99,100
ASSOCIATE DIRECTOR (DHS) DIV OF MANAGEMENT SERVICES	00146A	1.0	159,442	1.0	160,218
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	125,531	1.0	126,156
BUSINESS MANAGEMENT OFFICER	00126A	2.0	135,150	2.0	135,811
CHIEF IMPLEMENTATION AIDE	00128A	3.0	227,935	3.0	232,774
DATA CONTROL CLERK	00315A	1.0	43,507	1.0	43,719
FISCAL MANAGEMENT OFFICER	00B26A	1.0	73,158	1.0	73,513
PROGRAMMING SERVICES OFFICER	00131A	1.0	70,532	1.0	74,502
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	1.0	51,776	1.0	53,770
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(2.0)	0	(2.0)	0
Subtotal Classified		12.0	1,154,295	12.0	1,095,484
Unclassified					
CHIEF OF STAFF	00839A	1.0	105,395	1.0	105,920
DEPUTY DIRECTOR- DEPARTMENT OF ADMINISTRATION	00850A	1.0	153,803	1.0	159,653
DIRECTOR OF ADMINISTRATION	00946KF	1.0	162,750	1.0	162,750
DIRECTOR OF PUBLIC AFFAIRS	00840A	1.0	100,289	1.0	100,788
PRINCIPAL TECHNICAL SUPPORT ANALYST	05229A	1.0	93,918	1.0	94,373
Subtotal Unclassified		5.0	616,155	5.0	623,484
Subtotal		17.0	1,770,450	17.0	1,718,968
Transfer Out			(292,553)		(295,734)
Transfer In			9,612		10,054
Turnover			(278,866)		(247,003)
Total Salaries			1,208,643		1,186,285
Benefits					
FICA			90,235		88,529
Health Benefits			113,558		138,020
Payroll Accrual			7,032		6,922
Retiree Health			80,374		69,632
Retirement			328,674		336,514
Subtotal			619,873		639,617

Personnel

Agency: Department Of Administration

Central Management

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	17.0	1,828,516	17.0	1,825,902
Cost Per FTE Position		107,560		107,406
Statewide Benefit Assessment		47,741		46,859
Payroll Costs	17.0	1,876,257	17.0	1,872,761
Purchased Services				
Information Technology		249,000		249,000
Legal Services		6,400		6,400
Other Contracts		1,000		1,000
Subtotal		256,400		256,400
Total Personnel	17.0	2,132,657	17.0	2,129,161
Distribution by Source of Funds				
General Revenue	17.0	2,132,657	17.0	2,129,161
Total All Funds	17.0	2,132,657	17.0	2,129,161

Program Summary

DEPARTMENT OF ADMINISTRATION

Accounts & Control

Mission

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles. To establish policies and procedures to foster sound accounting practices and internal controls. To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies. To support state operations by centrally processing financial transactions in a timely and accurate manner.

Description

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures. The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the treasury; the preparation of financial statements in accordance with generally accepted accounting principles; the management of federal fiscal proposals and guidelines and serving as the state clearinghouse for the application of federal grants; and the identification of federal grant-funding opportunities to support the governor's and general assembly's major policy initiatives and providing technical assistance with the application process and post-award grants management. This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Statutory History

R.I. General Laws § 35-6 establishes the statutory basis for this program. Other legal references are provided in RIGL § 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46. RIGL § 35-1.1-5 assigns to the controller various functions related to the management of federal grants formerly assigned to the Office of Management and Budget.

Budget

Agency: Department Of Administration

Accounts and Control

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Accounts & Control	5,060,719	4,856,802	5,562,009	5,551,847	5,296,220
Total Expenditures	5,060,719	4,856,802	5,562,009	5,551,847	5,296,220
Expenditures by Object					
Salary and Benefits	3,741,095	3,623,911	4,306,512	4,242,471	4,009,827
Contract Professional Services	154,397	84,712	202,000	202,000	200,000
Operating Supplies and Expenses	1,145,229	1,144,695	1,040,497	1,094,376	1,073,393
Capital Purchases And Equipment	0	0	10,000	10,000	10,000
Subtotal: Operating	5,040,721	4,853,319	5,559,009	5,548,847	5,293,220
Capital Purchases And Equipment	19,998	3,483	3,000	3,000	3,000
Subtotal: Other	19,998	3,483	3,000	3,000	3,000
Total Expenditures	5,060,719	4,856,802	5,562,009	5,551,847	5,296,220
Expenditures by Source of Funds					
General Revenue	4,844,264	4,793,513	5,412,043	5,412,043	5,156,032
Restricted Receipts	216,455	63,289	149,966	139,804	140,188
Total Expenditures	5,060,719	4,856,802	5,562,009	5,551,847	5,296,220

Personnel

Agency: Department Of Administration

Accounts and Control

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	00324A	9.0	475,274	9.0	492,702
ADMINISTRATIVE OFFICER	00324A	1.0	56,018	1.0	58,486
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	2.0	173,355	2.0	181,792
ASSET PROTECTION OFFICER	00324A	1.0	56,610	1.0	56,892
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	121,263	1.0	121,867
ASSOCIATE CONTROLLER	00143A	3.0	339,280	3.0	348,401
ASSOCIATE DIRECTOR I (MHRH)	00142A	1.0	138,117	1.0	138,791
CENTRAL ACCOUNTS PAYABLE SUPERVISING PREAUDIT CLK (DOA)	00328A	2.0	123,698	2.0	128,482
CHIEF IMPLEMENTATION AIDE	00128A	1.0	66,441	1.0	66,771
CHIEF PREAUDIT SUPERVISOR	00131A	2.0	172,846	2.0	173,702
CONTROLLER	00148A	1.0	170,649	1.0	171,485
DATA CONTROL CLERK	00315A	1.0	41,878	1.0	42,737
FISCAL MANAGEMENT OFFICER	00B26A	3.0	219,994	3.0	224,191
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	101,345	1.0	105,569
SENIOR DLT BUSINESS OFFICER	00324A	1.0	56,610	1.0	56,892
SUPERVISING ACCOUNTANT	00831A	2.0	141,839	2.0	149,217
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	00135A	2.0	153,694	2.0	83,625
SUPERVISOR OF FISCAL SERVICES (DEPT OF ADMINISTRATION)	00136A	3.0	287,158	3.0	295,349
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(2.0)	0	(2.0)	0
Subtotal Classified		35.0	2,896,069	35.0	2,896,951
Subtotal		35.0	2,896,069	35.0	2,896,951
Transfer Out			(188,379)		(197,014)
Turnover			(105,351)		(130,621)
Total Salaries			2,602,339		2,348,120
Benefits					
FICA			196,977		194,716
Health Benefits			441,340		467,376
Payroll Accrual			15,152		15,005
Retiree Health			173,054		150,818
Retirement			710,816		732,302
Subtotal			1,537,339		1,560,217
Total Salaries and Benefits		35.0	4,139,678	35.0	3,908,337
Cost Per FTE Position			118,277		111,667

Personnel

Agency: Department Of Administration

Accounts and Control

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		102,793		101,490
Payroll Costs	35.0	4,242,471	35.0	4,009,827
Purchased Services				
Management & Consultant Services		200,000		200,000
Other Contracts		2,000		0
Subtotal		202,000		200,000
Total Personnel	35.0	4,444,471	35.0	4,209,827
Distribution by Source of Funds				
General Revenue	35.0	4,304,667	35.0	4,069,639
Restricted Receipts	0.0	139,804	0.0	140,188
Total All Funds	35.0	4,444,471	35.0	4,209,827

Program Summary

DEPARTMENT OF ADMINISTRATION

Office of Management & Budget

Mission

To anticipate and provide ongoing fiscal analysis, management support, and analytical research to the Governor, state departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

Description

The Office of Management and Budget (OMB) serves as the executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. OMB develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions: Budget Office: Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies; Strategic Management: Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity; Performance Management: Develops and monitors performance measures for each department and program to align limited resources with results. Regulatory Reform: Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests. Office of Internal Audit: Enhance and better protect organizational value by providing risk-based and objective assurance, advice, and insight across all executive branch departments and educational institutions.

Statutory History

R.I. General Laws § 35-1.1 created OMB. RIGL § 35-3 establishes the Budget Office's responsibility for the executive budget, including the preparation and submission of the Governor's budget to the general assembly; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL § 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL § 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. RIGL § 42-35 moves the Office of Regulatory Reform from the Economic Development Corporation to OMB. RIGL § 35-7.1 reorganizes the Bureau of Audits, a program within the Department of Administration, as the Office of Internal Audit, a sub-program within OMB.

Budget

Agency: Department Of Administration

Office of Management and Budget

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Budget Office	2,344,287	2,438,314	2,615,937	3,944,256	3,798,882
Director, Office of Mgt and Budget	1,941,585	662,876	772,512	926,750	970,870
Federal Grants Management	232,147	263,568	0	0	0
Office of Internal Audit	2,748,294	3,525,372	4,820,798	4,023,084	4,204,983
Office of Regulatory Reform	1,183,816	1,010,548	973,761	631,569	664,915
Performance Management	675,473	474,532	658,518	0	7,493
Total Expenditures	9,125,602	8,375,210	9,841,526	9,525,659	9,647,143
Expenditures by Object					
Salary and Benefits	6,844,095	6,907,744	8,494,730	8,179,071	8,159,607
Contract Professional Services	1,247,426	264,431	344,800	350,300	385,300
Operating Supplies and Expenses	1,086,535	1,160,746	987,896	982,188	1,089,136
Capital Purchases And Equipment	(83,631)	0	0	0	0
Subtotal: Operating	9,094,425	8,332,920	9,827,426	9,511,559	9,634,043
Capital Purchases And Equipment	31,177	42,289	14,100	14,100	13,100
Subtotal: Other	31,177	42,289	14,100	14,100	13,100
Total Expenditures	9,125,602	8,375,210	9,841,526	9,525,659	9,647,143
Expenditures by Source of Funds					
General Revenue	8,265,319	7,346,250	8,220,142	8,210,451	8,293,250
Restricted Receipts	87,213	37,599	300,000	300,000	300,000
Operating Transfers from Other Funds	773,070	991,361	1,321,384	1,015,208	1,053,893
Total Expenditures	9,125,602	8,375,210	9,841,526	9,525,659	9,647,143

Personnel

Agency: Department Of Administration

Office of Management and Budget

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR MANAGEMENT SERVICES (DHS)	00144A	1.0	143,214	1.0	143,902
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	102,173	1.0	108,010
ASSISTANT TO THE DIRECTOR (DHS)	00136A	1.0	90,273	1.0	90,721
BUDGET ANALYST	00828A	6.0	363,464	6.0	378,737
BUDGET AND POLICY ANALYST	00832A	5.0	356,906	5.0	368,846
CHIEF BUDGET AND POLICY ANALYST	00146A	3.0	381,798	3.0	396,750
CHIEF BUREAU OF AUDITS	00146A	1.0	143,228	1.0	147,429
CHIEF ECONOMIC AND POLICY ANALYST	00142A	2.0	219,511	2.0	227,465
CHIEF IMPLEMENTATION AIDE	00128A	1.0	59,829	1.0	62,246
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	75,312	1.0	78,428
DATA ANALYST I	00134A	1.0	79,889	1.0	84,340
DATA ANALYST II	00138A	1.0	85,270	1.0	85,694
DATA ANALYST III	00142A	2.0	230,671	2.0	231,818
DATABASE ADMINISTRATOR	00148A	1.0	140,239	1.0	146,024
DEPUTY BUDGET OFFICER	00146A	1.0	122,836	1.0	128,523
DEPUTY CHIEF BUREAU OF AUDITS	00143A	2.0	237,085	2.0	243,364
DEPUTY DIRECTOR OF OMB	00146A	1.0	135,734	1.0	136,409
ECONOMIC AND POLICY ANALYST I	00130A	4.0	263,518	4.0	270,415
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	00152A	1.0	198,822	1.0	199,794
INTERNAL AUDIT MANAGER (DOA)	00136A	1.0	81,029	1.0	84,376
INTERNAL AUDITOR (DOA)	00327A	7.0	400,612	7.0	415,443
PRINCIPAL BUDGET ANALYST	00837A	1.0	86,045	1.0	89,804
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	2.0	184,265	2.0	188,370
PROGRAMMING SERVICES OFFICER	00131A	1.0	67,685	1.0	70,475
SENIOR BUDGET AND POLICY ANALYST	00836A	1.0	80,187	1.0	83,513
SENIOR ECONOMIC AND POLICY ANALYST	00134A	2.0	153,250	2.0	160,199
SENIOR INTERNAL AUDIT MANAGER (DOA)	00140A	2.0	188,306	2.0	198,638
SENIOR INTERNAL AUDITOR (DOA)	00131A	9.0	654,902	9.0	677,697
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	1.0	52,139	1.0	54,109
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	1.0	61,608	1.0	61,915
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(3.0)	0	(9.0)	0
Subtotal Classified		61.0	5,439,800	55.0	5,613,454
Unclassified					
CONFIDENTIAL SECRETARY	00822A	1.0	50,334	1.0	52,181
DIRECTOR- OFFICE OF MANAGEMENT & BUDGET	00852A	1.0	183,569	1.0	184,483
Subtotal Unclassified		2.0	233,903	2.0	236,664

Personnel

Agency: Department Of Administration

Office of Management and Budget

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Subtotal	63.0	5,673,703	57.0	5,850,118
Turnover		(480,663)		(683,945)
Total Salaries		5,193,040		5,166,173
Benefits				
FICA		398,291		402,424
Health Benefits		590,747		583,472
Payroll Accrual		30,225		30,160
Retiree Health		345,336		303,257
Retirement		1,416,310		1,470,062
Subtotal		2,780,909		2,789,375
Total Salaries and Benefits	62.0	7,973,949	56.0	7,955,548
Cost Per FTE Position		128,612		142,063
Statewide Benefit Assessment		205,122		204,059
Payroll Costs	62.0	8,179,071	56.0	8,159,607
Purchased Services				
Management & Consultant Services		350,300		385,300
Subtotal		350,300		385,300
Total Personnel	62.0	8,529,371	56.0	8,544,907
Distribution by Source of Funds				
General Revenue	62.0	7,244,872	56.0	7,221,723
Restricted Receipts	0.0	300,000	0.0	300,000
Operating Transfers from Other Funds	0.0	984,499	0.0	1,023,184
Total All Funds	62.0	8,529,371	56.0	8,544,907

Program Summary

DEPARTMENT OF ADMINISTRATION

Purchasing

Mission

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and conform to rules, regulations and ethical standards relating to purchasing activities. The program ensures that user agencies obtain goods and services in a cost-effective manner without sacrificing quality and standards. The program ensures public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency. The program ensures the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

Description

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management and vendor information. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, supports price negotiations and implements affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public. The Division continues to streamline operations by using legal counsel to standardize front end solicitation documents. This will provide greater uniformity for all users of the procurement process including the Division, agencies, architects and bidders. In addition, the utilization of the Lean process review for Request for Proposals will result in several efficiencies over the upcoming months.

Statutory History

The Purchasing program was established under R.I. General Laws § 42-11-2. Operation of the state-purchasing program is set forth in RIGL § 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the Lamb Legislation), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. RIGL § 37-2-17.2 requires the institution of an electronic Vendor Information Program. In 2011, in accordance with RIGL § 37-2-18(b) and the proposed Purchasing Regulations (Effective on or about 1/11/11), which provides that, beginning January 1, 2014, all bid proposals for public works projects are required to include a copy to be available for public inspection upon the opening of the bids. Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. RIGL § 37-2-18(b) and (j).

Budget

Agency: Department Of Administration

Purchasing

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Purchasing	3,648,240	4,330,929	4,297,898	4,307,881	4,526,725
Total Expenditures	3,648,240	4,330,929	4,297,898	4,307,881	4,526,725
Expenditures by Object					
Salary and Benefits	2,976,167	3,424,160	3,607,409	3,657,249	3,640,172
Contract Professional Services	276,547	492,105	250	250	18,339
Operating Supplies and Expenses	376,483	408,229	690,239	650,382	862,214
Subtotal: Operating	3,629,197	4,324,495	4,297,898	4,307,881	4,520,725
Capital Purchases And Equipment	19,043	6,434	0	0	6,000
Subtotal: Other	19,043	6,434	0	0	6,000
Total Expenditures	3,648,240	4,330,929	4,297,898	4,307,881	4,526,725
Expenditures by Source of Funds					
General Revenue	3,249,292	3,870,861	3,335,156	3,335,156	3,591,871
Restricted Receipts	0	0	459,389	458,639	462,694
Operating Transfers from Other Funds	398,948	460,067	503,353	514,086	472,160
Total Expenditures	3,648,240	4,330,929	4,297,898	4,307,881	4,526,725

Personnel

Agency: Department Of Administration

Purchasing

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	50,337	1.0	52,179
BUYER I (DOA/OP)	00324A	3.0	151,617	3.0	157,152
BUYER II (DOA/OP)	00327A	2.0	126,243	2.0	128,636
CHIEF BUYER (DOA/OP)	00132A	4.0	323,647	4.0	327,843
CHIEF IMPLEMENTATION AIDE	00128A	1.0	73,085	1.0	73,448
CHIEF OF PURCHASING MANAGEMENT AND SUPPORT SERVICES	00138A	1.0	88,387	1.0	88,827
CONTR & SPECIFICIN ASST ADMIN	00136A	1.0	102,874	1.0	67,375
DEPUTY PURCHASING AGENT	00141A	1.0	115,751	1.0	116,327
INTERDEPARTMENTAL PROJECT MANAGER	00139A	3.0	310,136	3.0	311,679
LEGAL ASSISTANT	00119A	1.0	53,444	1.0	53,703
PROGRAMMING SERVICES OFFICER	00131A	2.0	148,253	2.0	150,102
PURCHASING AGENT	00145A	1.0	145,964	1.0	146,679
SENIOR BUYER (DOA/OP)	00329A	3.0	196,968	3.0	203,374
STANDARDS TECHNICIAN (DIVISION OF PURCHASES)	00318A	9.0	463,306	9.0	468,494
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(2.0)	0	(2.0)	0
Subtotal Classified		31.0	2,350,012	31.0	2,345,818
Subtotal		31.0	2,350,012	31.0	2,345,818
Transfer Out			(75,933)		(76,311)
Seasonal/Special Salaries/Wages			29,988		11,741
Turnover			(74,738)		(81,131)
Total Salaries			2,229,329		2,200,117
Benefits					
FICA			170,013		168,012
Health Benefits			408,588		418,964
Payroll Accrual			12,813		12,786
Retiree Health			146,258		128,456
Retirement			603,371		625,395
Subtotal			1,341,043		1,353,613
Total Salaries and Benefits		31.0	3,570,372	31.0	3,553,730
Cost Per FTE Position			115,173		114,636
Statewide Benefit Assessment			86,877		86,442
Payroll Costs		31.0	3,657,249	31.0	3,640,172
Purchased Services					

Personnel

Agency: Department Of Administration

Purchasing

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Purchased Services				
Clerical and Temporary Services		250		18,339
Subtotal		250		18,339
Total Personnel	31.0	3,657,499	31.0	3,658,511
Distribution by Source of Funds				
General Revenue	27.0	2,999,778	27.0	3,041,059
Restricted Receipts	0.0	153,193	0.0	157,248
Operating Transfers from Other Funds	4.0	504,528	4.0	460,204
Total All Funds	31.0	3,657,499	31.0	3,658,511

Program Summary

DEPARTMENT OF ADMINISTRATION

Human Resources

Mission

To make State Government an Employer of Choice in the State of Rhode Island by building, maintaining and developing a skilled workforce committed to excellence that reflects the diversity and talent of our community. To provide Human Resources services to all State Departments in support of their missions. To continually assess and enhance the services provided to ensure efficiency, appropriateness and cost effectiveness.

Description

The Division of Human Resources is led by a Director of Human Resources whose office is responsible for the overall management of the quality of the State's Human Resources functions and services, including strategic planning, directing, developing and implementing a statewide program of personnel administration, payroll, recruitment, employee/labor relations, employee benefits/wellness, training and development and Workers' Compensation for State employees. The major activities of Human Resources include:

- **Personnel Administration:** Administration of the provisions of the law, rules and regulations relating to the operation of the merit system of personnel administration, including Training/Development and Classification/Civil Service Examinations.
- Labor Relations:** Grievance processing, collective bargaining, contract interpretation and overall interactions between management and employees
- Recruitment/Employment/Employee Services/Payroll and Data:** Recruitment/hiring/onboarding, payroll processing, personnel transactions and administrative services.
- Agency Liaisons:** Primary point of contact for all Departments while serving as a proactive advisor to Department leadership.
- Disability Management.**
- Employee Benefits Administration:** Responsible for the administration of benefit programs including, but not limited to medical, prescription drug, dental, vision, life insurance and disability plans for State employees and qualified retirees. The Office of Employee Benefits also develops, recommends and installs new/modified plans, ensures compliance with all applicable laws/regulations and oversees the State's wellness initiatives.
- State Employees Worker's Compensation**

Statutory History

R.I. General Laws § 36-3 and RIGL § 36-4 establish the basic principles of the Merit System Law, under which the Division of Human Resources operates. In the FY 2018 revised budget, the human resource program was shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: Department Of Administration

Human Resources

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
DEM/DOT HR Service Center	(1,527)	0	0	0	0
Human Resources	1,051,017	560,407	788,541	1,305,378	389,142
Human Resources Centralization	103,986	0	0	0	0
Total Expenditures	1,153,476	560,407	788,541	1,305,378	389,142
Expenditures by Object					
Operating Supplies and Expenses	963,500	560,407	788,541	1,305,378	389,142
Subtotal: Operating	963,500	560,407	788,541	1,305,378	389,142
Operating Transfers	189,976	0	0	0	0
Subtotal: Other	189,976	0	0	0	0
Total Expenditures	1,153,476	560,407	788,541	1,305,378	389,142
Expenditures by Source of Funds					
General Revenue	1,051,017	560,407	788,541	1,305,378	389,142
Federal Funds	39,017	0	0	0	0
Restricted Receipts	21,130	0	0	0	0
Other Funds	42,312	0	0	0	0
Total Expenditures	1,153,476	560,407	788,541	1,305,378	389,142

Program Summary

DEPARTMENT OF ADMINISTRATION

Personnel Appeal Board

Mission

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board. To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law. To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Description

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board. The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson. The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Statutory History

R.I. General Laws § 36-3 through RIGL § 36-11 delineate the powers and duties of the Personnel Appeal Board.

Budget

Agency: Department Of Administration

Personnel Appeal Board

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Personnel Appeal Board	102,303	127,863	151,521	151,521	125,298
Total Expenditures	102,303	127,863	151,521	151,521	125,298
Expenditures by Object					
Salary and Benefits	79,655	79,505	83,638	57,280	57,415
Contract Professional Services	20,567	38,984	66,500	66,500	66,500
Operating Supplies and Expenses	2,081	9,375	1,383	27,741	1,383
Subtotal: Operating	102,303	127,863	151,521	151,521	125,298
Total Expenditures	102,303	127,863	151,521	151,521	125,298
Expenditures by Source of Funds					
General Revenue	102,303	127,863	151,521	151,521	125,298
Total Expenditures	102,303	127,863	151,521	151,521	125,298

Personnel

Agency: Department Of Administration

Personnel Appeal Board

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
MEMBER- PERSONNEL APPEAL BOARD	00541F	0.0	36,000	0.0	36,000
Subtotal Unclassified		0.0	36,000	0.0	36,000
Subtotal		0.0	36,000	0.0	36,000
Total Salaries			36,000		36,000
Benefits					
FICA			2,750		2,750
Health Benefits			5,005		5,005
Payroll Accrual			210		210
Retiree Health			2,395		2,115
Retirement			9,500		9,915
Subtotal			19,860		19,995
Total Salaries and Benefits		0.0	55,860	0.0	55,995
Cost Per FTE Position			0		0
Statewide Benefit Assessment			1,420		1,420
Payroll Costs		0.0	57,280	0.0	57,415
Purchased Services					
Clerical and Temporary Services			6,000		6,000
Legal Services			60,000		60,000
Other Contracts			500		500
Subtotal			66,500		66,500
Total Personnel		0.0	123,780	0.0	123,915
Distribution by Source of Funds					
General Revenue		0.0	123,780	0.0	123,915
Total All Funds		0.0	123,780	0.0	123,915

Program Summary

DEPARTMENT OF ADMINISTRATION

General

Mission

To maintain a statewide accounting of all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Description

The General program consists of funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration. It should be noted that the Department's Rhode Island Capital Plan Fund appropriations are included under this program. Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I. General Laws § 9-31, which provides that the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums.

Statutory History

The existence of General has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I. General Laws § 9-31.

Budget

Agency: Department Of Administration

General

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Capital Projects	38,963,198	29,721,196	49,400,572	49,514,651	38,633,000
General	589,070	326,126	700,000	700,000	700,000
Grants & Other Payments	2,810,882	2,865,047	1,030,000	1,030,000	1,030,000
State Aid to Local Communities	11,523,699	11,538,543	11,499,302	11,499,302	12,264,938
Total Expenditures	53,886,849	44,450,912	62,629,874	62,743,953	52,627,938
Expenditures by Object					
Contract Professional Services	781,964	983,988	0	0	0
Operating Supplies and Expenses	6,253,605	5,723,060	700,000	700,000	700,000
Assistance And Grants	408,103	424,077	1,030,000	1,030,000	1,030,000
Aid To Local Units Of Government	11,103,307	11,118,150	11,499,302	11,499,302	12,264,938
Subtotal: Operating	18,546,979	18,249,276	13,229,302	13,229,302	13,994,938
Capital Purchases And Equipment	31,730,669	17,256,583	49,400,572	49,514,651	38,633,000
Operating Transfers	3,609,201	8,945,052	0	0	0
Subtotal: Other	35,339,870	26,201,636	49,400,572	49,514,651	38,633,000
Total Expenditures	53,886,849	44,450,912	62,629,874	62,743,953	52,627,938
Expenditures by Source of Funds					
General Revenue	14,334,581	14,403,590	12,529,302	12,529,302	13,294,938
Restricted Receipts	589,070	326,126	700,000	700,000	700,000
Operating Transfers from Other Funds	38,963,198	29,721,196	49,400,572	49,514,651	38,633,000
Total Expenditures	53,886,849	44,450,912	62,629,874	62,743,953	52,627,938

Program Summary

DEPARTMENT OF ADMINISTRATION

Debt Service Payments

Mission

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

Description

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Statutory History

R.I. General Laws § 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

Budget

Agency: Department Of Administration

Debt Service Payments

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Certificates of Participation	25,028,102	26,762,935	29,197,761	29,197,761	27,107,688
COPS - Ctr General Furniture	(2)	0	0	0	0
COPS - DLT Ctr. Gnrl. Building	(90)	0	0	0	0
General Obligation Bonds	96,544,125	99,862,722	115,972,955	107,170,461	110,764,262
Other Debt Service	58,030,158	38,173,389	51,799,655	48,951,949	61,392,692
Tax Anticipation/S T Borrowing	47,548	63,208	100,000	100,000	100,000
Total Expenditures	179,649,841	164,862,254	197,070,371	185,420,171	199,364,642
Expenditures by Object					
Operating Supplies and Expenses	47,441	63,208	100,000	100,000	100,000
Assistance And Grants	3,560,001	3,560,000	0	0	0
Subtotal: Operating	3,607,442	3,623,208	100,000	100,000	100,000
Debt Service (Fixed Charges)	156,678,487	140,948,085	196,970,371	185,320,171	199,264,642
Operating Transfers	19,363,912	20,290,961	0	0	0
Subtotal: Other	176,042,399	161,239,046	196,970,371	185,320,171	199,264,642
Total Expenditures	179,649,841	164,862,254	197,070,371	185,420,171	199,364,642
Expenditures by Source of Funds					
General Revenue	136,892,329	123,210,528	158,777,282	148,831,280	159,429,797
Federal Funds	1,879,793	1,886,958	1,870,830	1,355,209	0
Operating Transfers from Other Funds	40,877,719	39,764,768	36,422,259	35,233,682	39,934,845
Total Expenditures	179,649,841	164,862,254	197,070,371	185,420,171	199,364,642

Program Summary

DEPARTMENT OF ADMINISTRATION

Internal Service Programs

Mission

To provide the most cost-effective delivery of goods and services to other state programs.

Description

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis. Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), state employee health fund, other post-employment benefits (OPEB) and the assessed fringe benefit fund. The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies. Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles and operates several fuel depots throughout the state. The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies. The State Employee Health Fund provides for the administration and distribution of active and retiree healthcare costs statewide. In FY 15 the Department moved the worksite wellness program and employee benefits contracts used for auditing, employee bid preparation, and employee bid review to this fund. A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependents. In accordance with RIGL 36-12.1-5, the trust began operations in fiscal year 2011. In the FY 2018 revised budget, human resources, information technology, and facilities management transferred to internal service funds pursuant to the authorization by the General Assembly in the FY 2018 Appropriations Act.

Statutory History

The Director of Administration is authorized by R.I. General Laws § 35-5 to establish a system of rotary or rotating funds in any state department or agency. RIGL § 36-12.1-5 established the OPEB trust.

Budget

Agency: Department Of Administration

Internal Service Programs

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Assessed Fringe Benefit Fund	49,537,857	39,519,449	37,377,620	37,885,909	37,505,032
Automotive Fleet Fund	11,566,018	12,516,610	12,740,920	12,730,668	12,743,810
Central Mail Fund	6,010,253	6,337,108	6,290,947	6,610,350	6,583,197
Central Utilities Fund	25,587,077	27,314,987	23,055,162	27,422,775	27,426,989
Health Insurance Fund	250,616,354	260,421,596	252,444,854	273,609,071	273,639,595
Human Resource Service Centers	12,147,654	12,136,325	14,847,653	13,381,939	13,937,328
Information Processing Fund	31,169,076	39,092,989	44,113,005	44,127,512	49,488,621
Other Post Employment Benefits	39,409,531	37,570,308	63,858,483	63,858,483	63,858,483
STATE FLEET REVOLVING LOAN FUND	0	0	273,786	9,447	264,339
Statewide Facility Services	35,567,867	37,499,299	40,091,033	40,214,312	42,849,110
Surplus Property	1,884	1,665	3,000	3,000	3,000
Telecommunications Fund	3,184,498	3,352,969	3,450,952	3,530,421	3,552,053
Total Expenditures	464,798,069	475,763,305	498,547,415	523,383,887	531,851,557
<i>Internal Services</i>	<i>[464,798,069]</i>	<i>[475,763,305]</i>	<i>[498,547,415]</i>	<i>[523,383,887]</i>	<i>[531,851,557]</i>
Expenditures by Object					
Salary and Benefits	384,828,957	381,186,429	403,087,045	420,751,645	422,801,290
Contract Professional Services	5,526,459	7,123,685	8,065,218	10,618,945	15,322,141
Operating Supplies and Expenses	72,716,002	81,822,660	80,149,737	84,389,659	85,849,596
Assistance And Grants	205,784	255,553	266,476	491,476	491,476
Capital Purchases And Equipment	0	0	4,928,757	4,928,757	4,928,757
Subtotal: Operating	463,277,202	470,388,327	496,497,233	521,180,482	529,393,260
Capital Purchases And Equipment	478,639	4,217,958	576,396	993,958	993,958
Operating Transfers	1,042,228	1,157,020	1,473,786	1,209,447	1,464,339
Subtotal: Other	1,520,867	5,374,979	2,050,182	2,203,405	2,458,297
Total Expenditures	464,798,069	475,763,305	498,547,415	523,383,887	531,851,557
Expenditures by Source of Funds					
Other Funds	464,798,069	475,763,305	498,547,415	523,383,887	531,851,557
Total Expenditures	464,798,069	475,763,305	498,547,415	523,383,887	531,851,557

Personnel

Agency: Department Of Administration

Internal Service Programs - Assessed Fringe Benefit Fund

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
CLAIMS EXAMINER(ST EMP WRKS CO	00329A	7.0	522,368	7.0	312,659
HUMAN RESOURCE PROGRAM ADMINISTRATOR	00139A	1.0	124,470	1.0	125,067
LEGAL ASSISTANT	00119A	1.0	55,298	1.0	55,570
Subtotal Classified		9.0	702,136	9.0	493,296
Unclassified					
TASK FORCE AGENT/INSPECTOR	00828A	0.9	75,621	0.9	75,997
Subtotal Unclassified		0.9	75,621	0.9	75,997
Subtotal		9.9	777,757	9.9	569,293
Total Salaries			777,757		569,293
Benefits					
FICA			518,499		502,550
Health Benefits			85,060		87,324
Other			6,000,000		6,000,000
Payroll Accrual			4,516		3,312
Retiree Health			51,720		33,418
Retirement			209,212		159,525
Workers Compensation			25,714,600		25,714,600
Subtotal			32,583,607		32,500,729
Total Salaries and Benefits		9.9	1,317,364	9.9	1,026,022
Cost Per FTE Position			133,067		103,639
Statewide Benefit Assessment			30,721		22,487
Payroll Costs		9.9	33,392,085	9.9	33,092,509
Purchased Services					
Management & Consultant Services			1,500,000		1,500,000
Subtotal			1,500,000		1,500,000
Total Personnel		9.9	34,892,085	9.9	34,592,509
Distribution by Source of Funds					
Other Funds		9.9	34,892,085	9.9	34,592,509
Total All Funds		9.9	34,892,085	9.9	34,592,509

Personnel

Agency: Department Of Administration

Internal Service Programs - Central Utilities Fund

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Transfer In		98,874		99,366
Total Salaries		98,874		99,366
Benefits				
FICA		7,564		7,602
Health Benefits		1,011		1,015
Payroll Accrual		572		576
Retiree Health		6,575		5,833
Retirement		26,093		27,365
Subtotal		41,815		42,391
Total Salaries and Benefits	0.0	140,689	0.0	141,757
Cost Per FTE Position		0		
Statewide Benefit Assessment		3,906		3,925
Payroll Costs	0.0	144,595	0.0	145,682
Purchased Services				
Clerical and Temporary Services		78,180		81,307
Subtotal		78,180		81,307
Total Personnel	0.0	222,775	0.0	226,989
Distribution by Source of Funds				
Other Funds	0.0	222,775	0.0	226,989
Total All Funds	0.0	222,775	0.0	226,989

Personnel

Agency: Department Of Administration

Internal Service Programs - Information Processing Fund

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR MANAGEMENT INFORMATION SYSTEMS	00140A	8.0	938,565	9.0	1,039,000
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	125,878	1.0	127,406
ASSISTANT DIRECTOR CENTRAL INFORMATION MANAGEMENT SVS	00143A	2.0	289,841	2.0	291,265
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	108,827	1.0	114,421
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	4.0	447,574	4.0	454,230
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	1.0	58,258	1.0	60,482
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	4.0	254,252	4.0	260,080
CHF OF INFORMATION TECHNOLOGY I	00151A	3.0	482,319	3.0	486,090
CHF OF INFO TECHNOLOGY II	00155A	1.0	180,385	1.0	181,280
CHIEF IMPLEMENTATION AIDE	00128A	1.0	62,866	1.0	66,360
COMPUTER OPERATOR (OIP)	00316A	2.0	83,124	2.0	85,319
DATABASE ADMINISTRATOR ADABAS/NATURAL	00138A	1.0	93,983	1.0	97,194
DATABASE MANAGER (DB2)	00138A	1.0	96,713	1.0	97,194
ENTERPRISE RESOURCE MANAGER (ORACLE ERP MANAGER)	00142A	2.0	262,943	2.0	267,269
INFORMATION PROCESSING OFFICER	00142A	1.0	110,400	1.0	110,949
INFORMATION SYSTEMS GROUP COORDINATOR (OIP)	00138A	2.0	183,844	2.0	188,745
INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	00143A	14.0	1,712,719	16.0	1,965,738
INTERDEPARTMENTAL PROJECT MANAGER	00139A	3.0	280,798	3.0	290,258
JUNIOR ELECTRONIC COMPUTER PROGRAMMER	00320A	1.0	47,837	1.0	49,273
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	56,610	1.0	56,892
PRINCIPAL ENVIRONMENTAL PLANNER	00329A	1.0	84,079	1.0	84,487
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	00A28A	1.0	85,306	1.0	85,718
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	2.0	159,427	2.0	160,197
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	00332A	2.0	181,818	2.0	182,723
PROGRAMMER/ANALYST II (COBOL/CICS)	00332A	3.0	242,296	3.0	246,576
PROGRAMMER/ANALYST III (COBOL/CICS)	00335A	1.0	96,984	1.0	99,770
PROGRAMMER/ANALYST III (COBOL/CICS)	00835A	6.0	555,939	6.0	559,982
PROGRAMMER/ANALYST III (ORACLE)	00835A	1.0	107,008	1.0	107,528
PROGRAMMER/ANALYST III (UNIX/SQL)	00835A	5.0	469,896	5.0	472,218
PROGRAMMER/ANALYST III (UNIX/SQL)	00A35A	1.0	102,448	1.0	102,948
PROGRAMMER/ANALYST II (ORACLE)	00032A	1.0	90,636	1.0	91,081
PROGRAMMER/ANALYST II (ORACLE)	00132A	3.0	247,409	3.0	248,625
PROGRAMMER/ANALYST II (ORACLE)	00332A	1.0	92,270	1.0	92,718

Personnel

Agency: Department Of Administration

Internal Service Programs - Information Processing Fund

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
PROGRAMMER/ANALYST II (UNIX/SQL)	00132A	1.0	92,831	1.0	93,284
PROGRAMMER/ANALYST II (UNIX/SQL)	00135A	1.0	102,263	1.0	102,771
PROGRAMMER/ANALYST II (UNIX/SQL)	00332A	10.0	861,111	10.0	866,495
PROGRAMMER/ANALYST I (ORACLE)	00328A	1.0	65,883	1.0	66,210
PROGRAMMER/ANALYST I (UNIX/SQL)	00328A	6.0	391,541	6.0	398,024
PROGRAMMER/ANALYST MANAGER	00138A	8.0	812,497	8.0	825,301
RECORDS ANALYST	00324A	1.0	59,441	1.0	59,737
SENIOR COMPUTER OPERATOR (OIP)	00322A	1.0	47,665	1.0	49,166
SENIOR INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	00148A	1.0	133,390	1.0	139,125
SUPERVISING ACCOUNTANT	00131A	1.0	74,754	1.0	75,126
SUPERVISOR COMPUTER OPERATIONS	00328A	1.0	68,541	1.0	69,521
SYSTEMS ADMINISTRATOR (MHRH)	00139A	1.0	100,044	1.0	100,542
SYSTEMS SUPPORT TECHNICIAN I	00318A	1.0	54,758	1.0	55,028
SYSTEMS SUPPORT TECHNICIAN II	00321A	4.0	231,643	4.0	232,774
SYSTEMS SUPPORT TECHNICIAN III	00324A	1.0	62,607	2.0	115,633
TECHNICAL SUPPORT MANAGER (UNIX/ NETWORKS/TELECOMMUNIC.)	00138A	8.0	838,857	8.0	848,054
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	00332A	3.0	252,844	3.0	257,532
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	00135A	2.0	178,077	2.0	181,138
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	00135A	11.0	982,680	11.0	999,937
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	00335A	1.0	102,044	1.0	102,544
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	0AB35A	1.0	102,858	1.0	103,358
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	00332A	1.0	84,210	1.0	84,630
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	00A32A	1.0	98,992	1.0	99,473
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00032A	2.0	187,880	2.0	188,788
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00132A	2.0	163,112	2.0	163,922
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00332A	18.0	1,436,800	18.0	1,449,616
TECHNICAL SUPPORT SPECIALIST I (OS 400/ NETWORK)	00328A	1.0	63,680	1.0	63,997
TECHNICAL SUPPORT SPECIALIST I (TELECOMMUNICATIONS)	00328A	1.0	75,052	1.0	75,412
TECHNICAL SUPPORT SPECIALIST I (UNIX/ NETWORKS)	00328A	6.0	406,403	6.0	410,331

Personnel

Agency: Department Of Administration

Internal Service Programs - Information Processing Fund

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(12.4)	0	(6.4)	0
Subtotal Classified		166.6	16,525,710	176.6	17,101,485
Unclassified					
CHIEF DIGITAL OFFICER	00856A	1.0	220,464	1.0	221,560
DATA PROCESSING SYSTEMS MANAGER	00836A	1.0	111,487	1.0	112,042
Subtotal Unclassified		2.0	331,951	2.0	333,602
Subtotal		168.6	16,857,661	178.6	17,435,087
Transfer Out			(648,705)		(654,076)
Transfer In			105,842		109,747
Overtime (1.5)			365,000		365,000
Seasonal/Special Salaries/Wages			8,670		8,670
Turnover			(501,721)		(335,282)
Total Salaries			16,186,747		16,929,146
Benefits					
FICA			1,204,480		1,258,133
Health Benefits			2,199,682		2,313,791
Payroll Accrual			92,065		96,628
Retiree Health			1,052,152		972,313
Retirement			4,308,158		4,696,301
Subtotal			8,856,537		9,337,166
Total Salaries and Benefits		168.6	25,043,284	178.6	26,266,312
Cost Per FTE Position			148,537		147,068
Statewide Benefit Assessment			624,955		654,278
Payroll Costs		168.6	25,668,239	178.6	26,920,590
Purchased Services					
Information Technology			1,990,000		5,940,000
Management & Consultant Services			75,125		75,125
Other Contracts			106,250		106,250
Training and Educational Services			31,332		32,000
Subtotal			2,202,707		6,153,375
Total Personnel		168.6	27,870,946	178.6	33,073,965
Distribution by Source of Funds					
Other Funds		168.6	27,870,946	178.6	33,073,965
Total All Funds		168.6	27,870,946	178.6	33,073,965

Personnel

Agency: Department Of Administration

Internal Service Programs - Central Mail Fund

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR CENTRAL INFORMATION MANAGEMENT SVS	00143A	1.0	147,163	1.0	147,882
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	1.0	63,992	1.0	64,311
COMPUTER OPERATOR (OIP)	00316A	1.0	43,534	1.0	43,750
JUNIOR COMPUTER OPERATOR (OIP)	00313A	1.0	47,710	1.0	47,947
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	54,084	1.0	55,525
SENIOR COMPUTER OPERATOR (OIP)	00322A	2.0	113,051	2.0	113,612
SUPERVISOR CENTRAL MAIL SERVICES	00316A	1.0	42,015	1.0	43,272
SUPERVISOR COMPUTER OPERATIONS	00328A	1.0	79,060	1.0	79,452
Subtotal Classified		9.0	590,609	9.0	595,751
Subtotal		9.0	590,609	9.0	595,751
Overtime (1.5)			137,000		137,000
Turnover			(13,393)		(13,393)
Total Salaries			714,216		719,358
Benefits					
FICA			43,553		44,181
Health Benefits			127,714		131,166
Payroll Accrual			3,354		3,392
Retiree Health			38,384		34,185
Retirement			155,640		163,734
Subtotal			368,645		376,658
Total Salaries and Benefits		9.0	1,082,861	9.0	1,096,016
Cost Per FTE Position			120,318		121,780
Statewide Benefit Assessment			22,802		23,002
Payroll Costs		9.0	1,105,663	9.0	1,119,018
Total Personnel		9.0	1,105,663	9.0	1,119,018
Distribution by Source of Funds					
Other Funds		9.0	1,105,663	9.0	1,119,018
Total All Funds		9.0	1,105,663	9.0	1,119,018

Personnel

Agency: Department Of Administration

Internal Service Programs - Telecommunications Fund

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT RECORDS ANALYST	00319A	1.0	57,026	1.0	57,311
CHIEF IMPLEMENTATION AIDE	00828A	1.0	80,591	1.0	80,989
TECHNICAL SUPPORT MANAGER (UNIX/ NETWORKS/TELECOMMUNIC.)	00138A	1.0	96,713	1.0	97,194
TECHNICAL SUPPORT SPECIALIST III (TELECOMMUNICATIONS)	00135A	1.0	103,436	1.0	103,944
TECHNICAL SUPPORT SPECIALIST III (TELECOMMUNICATIONS)	00335A	1.0	85,447	1.0	85,872
TECHNICAL SUPPORT SPECIALIST II (TELECOMMUNICATIONS)	00332A	2.0	147,307	2.0	153,118
Subtotal Classified		7.0	570,520	7.0	578,428
Subtotal		7.0	570,520	7.0	578,428
Overtime (1.5)			45,000		45,000
Turnover			(13,235)		(13,235)
Total Salaries			602,285		610,193
Benefits					
FICA			42,634		43,236
Health Benefits			86,371		88,707
Payroll Accrual			3,236		3,292
Retiree Health			37,058		33,177
Retirement			150,362		159,014
Subtotal			319,661		327,426
Total Salaries and Benefits		7.0	921,946	7.0	937,619
Cost Per FTE Position			131,707		133,946
Statewide Benefit Assessment			22,013		22,325
Payroll Costs		7.0	943,959	7.0	959,944
Purchased Services					
Management & Consultant Services			5,750		5,750
Subtotal			5,750		5,750
Total Personnel		7.0	949,709	7.0	965,694
Distribution by Source of Funds					
Other Funds		7.0	949,709	7.0	965,694
Total All Funds		7.0	949,709	7.0	965,694

Personnel

Agency: Department Of Administration

Internal Service Programs - Automotive Fleet Fund

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Classified					
ACCOUNTANT	00320A	1.0	57,593	1.0	57,874
CHIEF IMPLEMENTATION AIDE	00328A	1.0	72,471	1.0	72,831
CHIEF IMPLEMENTATION AIDE	00828A	1.0	66,599	1.0	66,931
DATA CONTROL CLERK	00315A	1.0	42,525	1.0	42,737
ENERGY CONSERVATION TECHNICIAN	00320A	1.0	49,029	1.0	49,273
PRINCIPAL ENERGY CONSERVATION TECHNICIAN	00328A	1.0	72,471	1.0	72,831
STATE FLEET ADMINISTRATOR	00139A	1.0	91,773	1.0	95,789
Subtotal Classified		7.0	452,461	7.0	458,266
Subtotal		7.0	452,461	7.0	458,266
Overtime (1.5)			14,000		14,000
Total Salaries			466,461		472,266
Benefits					
FICA			34,615		35,058
Health Benefits			93,233		95,763
Payroll Accrual			2,640		2,679
Retiree Health			30,088		26,900
Retirement			124,580		131,442
Subtotal			285,156		291,842
Total Salaries and Benefits		7.0	751,617	7.0	764,108
Cost Per FTE Position			107,374		109,158
Statewide Benefit Assessment			17,874		18,102
Payroll Costs		7.0	769,491	7.0	782,210
Total Personnel		7.0	769,491	7.0	782,210
Distribution by Source of Funds					
Other Funds		7.0	769,491	7.0	782,210
Total All Funds		7.0	769,491	7.0	782,210

Personnel

Agency: Department Of Administration

Internal Service Programs - Human Resource Service Centers

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE SERVICES SPECIALIST (DOA)	00124A	3.0	173,350	3.0	178,188
CHIEF IMPLEMENTATION AIDE	00128A	2.0	143,933	2.0	146,178
CHIEF IMPLEMENTATION AIDE	00328A	1.0	73,408	1.0	73,768
CHIEF OF HUMAN RESOURCES	00138A	1.0	103,409	1.0	103,914
CHIEF OF HUMAN RESOURCES SERVICES	00133A	1.0	93,421	1.0	93,883
COORDINATOR OF EMPLOYEE TRAINING	00138A	1.0	101,465	1.0	101,946
DATA ENTRY UNIT SUPERVISOR	00B21A	1.0	66,880	1.0	67,200
DEPUTY PERSONNEL ADMINISTRATOR	00144A	5.0	672,113	5.0	690,910
EXECUTIVE ASSISTANT	00118A	1.0	46,060	1.0	46,289
EXECUTIVE DIRECTOR OF HUMAN RESOURCES/ PERSONNEL ADMIN	00150A	1.0	156,124	1.0	156,901
HUMAN RESOURCE DEVELOPMENT COORDINATOR	00135A	1.0	87,032	1.0	87,465
HUMAN RESOURCE PROGRAM ADMINISTRATOR	00139A	5.0	500,072	5.0	509,839
HUMAN RESOURCES ADMINISTRATOR	00141A	1.0	132,287	1.0	132,946
HUMAN RESOURCES ANALYST I	00126A	11.0	709,651	11.0	721,977
HUMAN RESOURCES ANALYST II (GENERAL)	00129A	6.0	416,779	6.0	429,503
HUMAN RESOURCES ANALYST III (CLASS & ORGANIZ ANALYSIS)	00133A	1.0	87,058	1.0	87,480
HUMAN RESOURCES ANALYST III (GENERAL)	00133A	16.0	1,320,888	16.0	1,350,212
HUMAN RESOURCES ANALYST III (GENERAL)	00135A	1.0	91,384	1.0	91,838
HUMAN RESOURCES COORDINATOR	00135A	3.0	295,347	3.0	296,808
HUMAN RESOURCES TECHNICIAN	00122A	29.0	1,508,059	29.0	1,538,837
IMPLEMENTATION AIDE	00122A	1.0	51,603	1.0	52,715
LABOR RELATIONS HEARING OFFICER	00135A	1.0	78,172	1.0	81,403
SUPERVISOR PERSONNEL SUPPORT SERVICES	00128A	1.0	80,945	1.0	81,341
Subtotal Classified		94.0	6,989,440	94.0	7,121,541
Unclassified					
ADMINISTRATIVE ASSISTANT	00820A	1.0	55,211	1.0	55,485
ADMINISTRATIVE ASSISTANT	00825A	1.0	63,992	1.0	64,311
DEPUTY DIRECTOR	00838A	1.0	110,665	1.0	111,216
SENIOR LEGAL COUNSEL	00134A	1.0	101,635	1.0	102,126
Subtotal Unclassified		4.0	331,503	4.0	333,138
Subtotal		98.0	7,320,943	98.0	7,454,679
Transfer In			13,055		13,717
Turnover			(379,438)		(225,000)
Total Salaries			6,954,560		7,243,396

Personnel

Agency: Department Of Administration

Internal Service Programs - Human Resource Service Centers

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Benefits				
FICA		536,042		552,496
Health Benefits		1,039,050		1,065,017
Payroll Accrual		40,452		42,232
Retiree Health		462,480		425,186
Retirement		1,889,044		2,049,628
Subtotal		3,967,068		4,134,559
Total Salaries and Benefits	98.0	10,921,628	98.0	11,377,955
Cost Per FTE Position		111,445		116,102
Statewide Benefit Assessment		274,708		286,108
Payroll Costs	98.0	11,196,336	98.0	11,664,063
Purchased Services				
Legal Services		200,000		200,000
Management & Consultant Services		4,200		4,200
Subtotal		204,200		204,200
Total Personnel	98.0	11,400,536	98.0	11,868,263
Distribution by Source of Funds				
Other Funds	98.0	11,400,536	98.0	11,868,263
Total All Funds	98.0	11,400,536	98.0	11,868,263

Personnel

Agency: Department Of Administration

Internal Service Programs - Statewide Facility Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT BUILDING AND GROUNDS OFFICER	00324A	2.0	125,958	2.0	126,585
ASSISTANT BUILDING AND GROUNDS OFFICER	00824A	4.0	225,088	4.0	229,749
ASSISTANT BUILDING AND GROUNDS OFFICER	03124A	1.0	64,113	1.0	64,432
ASSOCIATE DIRECTOR OF ADMINISTRATION- CENTRAL SERVICES	00147A	1.0	133,587	1.0	139,321
ASST DIR STWIDE CAP ASSET PJ M	00152A	1.0	166,326	1.0	167,153
AUTOMOTIVE MECHANIC	00314G	1.0	45,692	1.0	45,692
BUILDING AND GROUNDS OFFICER	00828A	1.0	61,666	1.0	64,474
BUILDING SUPERINTENDENT	00318A	1.0	54,249	1.0	54,519
BUILDING SUPERINTENDENT	00818A	1.0	48,093	1.0	48,333
BUILDING SYSTEMS TECHNICIAN	00317A	1.0	46,938	1.0	47,172
CARPENTER	00314G	3.0	132,922	3.0	132,922
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	00143A	1.0	120,431	1.0	121,030
CHIEF IMPLEMENTATION AIDE	00128A	3.0	202,645	3.0	203,652
CHIEF OF INTEGRATED FACILITIES MANAGEMENT	00143A	1.0	120,431	1.0	121,030
CHIEF POWER PLANT OPERATOR	00325A	1.0	67,476	1.0	67,812
CHIEF PROPERTY MANAGEMENT	00141A	5.0	563,328	5.0	571,206
CLEANER (PUBLIC BUILDINGS)	00301W	0.5	19,968	0.5	19,968
CLERK SECRETARY	03116A	1.0	49,786	1.0	50,033
COMMUNITY HOUSEKEEPING AIDE	00314A	1.0	48,791	1.0	49,033
COMMUNITY MAINTENANCE TECHNICIAN - ENVIRONMENTAL SERVS	00314G	1.0	49,846	1.0	49,846
DEPUTY CHIEF DIVISION OF FACILITIES MANAGEMENT	00137A	5.0	509,317	5.0	518,361
ELECTRICIAN	00316G	4.0	128,813	4.0	128,813
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00328A	1.0	65,519	1.0	66,210
GROUNDS SUPERINTENDENT	00317A	1.0	53,644	1.0	53,911
HEATING PLANT OPERATOR	00317A	1.0	43,083	1.0	44,490
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	1.0	100,241	1.0	105,667
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	207,823	2.0	216,872
JANITOR	00309A	9.0	332,494	9.0	336,166
LABORER SUPERVISOR	00313G	1.0	42,697	1.0	42,697
LICENSED STEAMFITTER	00314A	1.0	42,411	1.0	42,411
MAINTENANCE SUPERINTENDENT	00322A	5.0	276,040	5.0	282,643
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	00326A	3.0	194,853	3.0	200,342

Personnel

Agency: Department Of Administration

Internal Service Programs - Statewide Facility Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
PLUMBER	00316G	1.0	41,223	1.0	41,428
PLUMBER SUPERVISOR	00320G	1.0	49,169	1.0	49,169
POWER PLANT OPERATOR	03118A	5.0	248,883	5.0	250,121
PRINCIPAL JANITOR	00315A	3.0	134,104	3.0	135,690
PRINCIPAL PROPERTY MANAGEMENT OFFICER	00132A	1.0	70,452	1.0	73,367
PROJECT MANAGER I (DOA)	00137A	4.0	370,415	4.0	378,213
RISK MANAGER-INSURANCE	00137A	1.0	96,895	1.0	97,378
SENIOR JANITOR	00312A	1.0	47,693	1.0	47,930
SENIOR MAINTENANCE TECHNICIAN	00314G	12.0	497,166	12.0	497,166
SENIOR MAINTENANCE TECHNICIAN	03114G	1.0	45,923	1.0	45,923
STATE BUILDING AND GROUNDS COORDINATOR	00132A	8.0	623,034	8.0	638,542
SUPERVISING ACCOUNTANT	00131A	1.0	69,383	1.0	72,721
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	92,513	1.0	92,959
SUPERVISOR OF PAINTING PLASTERING MASONRY AND GLAZING	00323A	1.0	65,575	1.0	65,902
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR I	03121A	2.0	94,116	2.0	95,901
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR II	03124A	1.0	62,749	1.0	63,061
Subtotal Classified		109.5	6,953,562	109.5	7,058,016
Subtotal		109.5	6,953,562	109.5	7,058,016
Transfer In			295,742		298,932
Overtime (1.5)			400,000		400,000
Seasonal/Special Salaries/Wages			12,922		12,922
Turnover			(216,137)		(44,800)
Total Salaries			7,446,089		7,725,070
Benefits					
FICA			537,231		558,795
Health Benefits			1,398,633		1,538,523
Payroll Accrual			40,991		42,718
Retiree Health			468,564		429,976
Retirement			1,915,382		2,074,086
Subtotal			4,360,801		4,644,098
Total Salaries and Benefits		109.5	11,806,890	109.5	12,369,168
Cost Per FTE Position			107,825		112,960
Statewide Benefit Assessment			278,317		289,336

Personnel

Agency: Department Of Administration

Internal Service Programs - Statewide Facility Services

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Payroll Costs	109.5	12,085,207	109.5	12,658,504
Purchased Services				
Buildings and Ground Maintenance		2,176,548		1,791,499
Design and Engineering Services		55,000		95,000
Management & Consultant Services		3,037,000		3,127,450
Medical Services		5,000		5,000
Other Contracts		391,500		1,400,500
Training and Educational Services		5,000		0
Subtotal		5,670,048		6,419,449
Total Personnel	109.5	17,755,255	109.5	19,077,953
Distribution by Source of Funds				
Other Funds	109.5	17,755,255	109.5	19,077,953
Total All Funds	109.5	17,755,255	109.5	19,077,953

Personnel

Agency: Department Of Administration

Internal Service Programs - Health Insurance Fund

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	110,239	1.0	110,788
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	88,119	1.0	88,557
DEPUTY PERSONNEL ADMINISTRATOR	00144A	1.0	153,073	1.0	153,823
EMPLOYEE BENEFITS SPECIALIST	00322A	3.0	152,442	3.0	154,513
PROGRAMMING SERVICES OFFICER	00131A	1.0	74,754	1.0	75,126
SENIOR SYSTEMS ANALYST	00126A	1.0	64,303	1.0	64,622
Subtotal Classified		8.0	642,930	8.0	647,429
Subtotal		8.0	642,930	8.0	647,429
Seasonal/Special Salaries/Wages			4,000		4,000
Turnover			(30,000)		(30,000)
Total Salaries			1,266,931		1,271,430
Benefits					
FICA			94,807		95,383
Health Benefits			269,975,146		269,978,749
Payroll Accrual			7,449		7,486
Retiree Health			83,986		79,468
Retirement			338,156		346,481
Subtotal			270,499,544		270,507,567
Total Salaries and Benefits		8.0	2,027,027	8.0	2,039,549
Cost Per FTE Position			253,378		254,944
Statewide Benefit Assessment			51,672		51,850
Payroll Costs		8.0	271,818,147	8.0	271,830,847
Purchased Services					
Clerical and Temporary Services			75,000		75,000
Management & Consultant Services			650,000		650,000
Other Contracts			2,500		2,500
Subtotal			727,500		727,500
Total Personnel		8.0	272,545,647	8.0	272,558,347
Distribution by Source of Funds					
Other Funds		8.0	272,545,647	8.0	272,558,347
Total All Funds		8.0	272,545,647	8.0	272,558,347

Personnel

Agency: Department Of Administration

Internal Service Programs - Other Post Employment Benefits

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Benefits				
Health Benefits		64,031,819		64,031,819
Subtotal		64,031,819		64,031,819
Payroll Costs				
	0.0	64,031,819	0.0	64,031,819
Purchased Services				
Legal Services		34,458		34,458
Management & Consultant Services		196,102		196,102
Subtotal		230,560		230,560
Total Personnel	0.0	64,262,379	0.0	64,262,379
Distribution by Source of Funds				
Other Funds	0.0	64,262,379	0.0	64,262,379
Total All Funds	0.0	64,262,379	0.0	64,262,379

Program Summary

DEPARTMENT OF ADMINISTRATION

Legal Services

Mission

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch. To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner. To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner. To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request. To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services. To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact. To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

Description

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

Budget

Agency: Department Of Administration

Legal Services

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Legal Services	1,943,569	1,943,571	2,294,340	2,166,544	1,989,133
Total Expenditures	1,943,569	1,943,571	2,294,340	2,166,544	1,989,133
Expenditures by Object					
Salary and Benefits	1,576,924	1,744,938	2,197,090	2,040,834	1,885,814
Contract Professional Services	299,850	86,051	200	200	0
Operating Supplies and Expenses	66,795	98,262	94,575	123,035	100,844
Subtotal: Operating	1,943,569	1,929,251	2,291,865	2,164,069	1,986,658
Capital Purchases And Equipment	0	14,320	2,475	2,475	2,475
Subtotal: Other	0	14,320	2,475	2,475	2,475
Total Expenditures	1,943,569	1,943,571	2,294,340	2,166,544	1,989,133
Expenditures by Source of Funds					
General Revenue	1,943,569	1,943,571	2,294,340	2,166,544	1,989,133
Total Expenditures	1,943,569	1,943,571	2,294,340	2,166,544	1,989,133

Personnel

Agency: Department Of Administration

Legal Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	1.0	130,634	1.0	131,284
ADMINISTRATOR ADJUDICATION	00140A	1.0	120,790	1.0	121,366
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	115,751	1.0	116,327
CHF LEGAL CONSL LITIGATION(DOA	00142A	1.0	115,335	1.0	62,413
CHIEF IMPLEMENTATION AIDE	00128A	1.0	66,441	1.0	66,771
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	00142A	1.0	115,335	1.0	115,909
CHIEF OF LEGAL SERVICES	00139A	1.0	105,046	1.0	105,569
DEPUTY CHIEF OF LEGAL SERVICES	00137A	4.0	342,507	4.0	270,340
IMPLEMENTATION AIDE	00122A	2.0	104,908	2.0	105,430
LEGAL COUNSEL	00132A	0.6	89,323	0.6	89,767
LEGAL COUNSEL	00134A	1.0	101,635	1.0	102,126
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(2.0)	0	(2.0)	0
Subtotal Classified		12.6	1,407,705	12.6	1,287,302
Subtotal		12.6	1,407,705	12.6	1,287,302
Transfer Out			(43,944)		(44,162)
Turnover			(82,464)		(84,271)
Total Salaries			1,281,297		1,158,869
Benefits					
FICA			98,018		88,655
Health Benefits			167,781		186,677
Payroll Accrual			7,463		6,770
Retiree Health			85,206		68,024
Retirement			350,459		331,045
Subtotal			708,927		681,171
Total Salaries and Benefits		12.6	1,990,224	12.6	1,840,040
Cost Per FTE Position			157,954		146,035
Statewide Benefit Assessment			50,610		45,774
Payroll Costs		12.6	2,040,834	12.6	1,885,814
Purchased Services					
Clerical and Temporary Services			200		0
Subtotal			200		0
Total Personnel		12.6	2,041,034	12.6	1,885,814

Personnel

Agency: Department Of Administration

Legal Services

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	12.6	2,041,034	12.6	1,885,814
Total All Funds	12.6	2,041,034	12.6	1,885,814

Program Summary

DEPARTMENT OF ADMINISTRATION

Facilities Management

Mission

To protect and preserve the State of Rhode Island's facility assets. To provide a clean, safe, healthy and secure environment conducive to employee productivity.

Description

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration. This includes the building at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center, and numerous other that buildings throughout the State. The responsibility for building operations includes capital improvements, general maintenance, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution. State Fleet maintains and operates 15 state fuel depots, administers the repair program for state vehicles, and administrators the purchasing and disposal of state vehicles. The Division also oversees environmental compliance of various state facilities and properties with environmental conditions that warrant remediation and/or permitting. This program merged into the Capital Asset Maintenance and Management program in FY 2017.

Statutory History

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management. R.I. General Laws § 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. RIGL § 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles. RIGL § 42-56.2 establishes the Federal Surplus Property program.

Budget

Agency: Department Of Administration

Facilities Management

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Facilities Centralization	996,892	0	0	0	0
Operations and Maintenance	(5)	0	0	0	0
Total Expenditures	996,887	0	0	0	0
Expenditures by Object					
Salary and Benefits	(3)	0	0	0	0
Operating Supplies and Expenses	(1,726)	0	0	0	0
Subtotal: Operating	(1,729)	0	0	0	0
Operating Transfers	998,616	0	0	0	0
Subtotal: Other	998,616	0	0	0	0
Total Expenditures	996,887	0	0	0	0
Expenditures by Source of Funds					
Federal Funds	510,472	0	0	0	0
Restricted Receipts	127,317	0	0	0	0
Other Funds	359,098	0	0	0	0
Total Expenditures	996,887	0	0	0	0

Program Summary

DEPARTMENT OF ADMINISTRATION

Information Technology

Mission

The mission of the Division of Enterprise Technology, Strategy, and Service (ETSS) is to enable state government to meet its goals effectively and efficiently by providing leadership in the application of information technology and delivering secure, innovative, and reliable technology solutions in the most responsive and effective manner; and to continually address the ever changing information technology landscape to improve access to information, to stimulate business activity and to ultimately address the needs of all Rhode Island citizens. The duties of ETSS are to: manage the implementation of all new and mission critical technology infrastructure projects and upgrades for state agencies; the office of information technology, under ETSS, shall manage and support all day-to-day operations of the state's technology infrastructure, telecommunications, and associated applications; manage the Office of Digital Excellence in order to ensure that large scale technology projects are delivered in a timely manner in accordance with accepted best industry practices; to oversee the Office of Library and Information Services (OLIS) to ensure that this office fulfills its statutory duties in an effective manner; coordinate efforts with the Director of Administration in order to plan, allocate and implement projects supported by the Information Technology Investment Fund; and supervise all intellectual property created as a result of work undertaken by employees of ETSS to ensure that ownership of this intellectual property remains with the state, including that any patents applied for shall be in the name of the state.

Description

ETSS includes ODE and OLIS. Within ETSS there is a Chief Digital Officer in the unclassified service who oversees and manages the division and is appointed by the Director of Administration. The Chief Digital Officer supervises the state's Chief Information Officer, Chief Technology Officer, Chief Information Security Officer, the Directors of Information Technology and all associated employees. The focus of ETSS is to lead the strategic technology decisions and efforts across all executive branch state agencies, identify opportunities to implement technology solutions across state agencies to prevent duplication of systems and effort, as well as effectively support these solutions in an efficient manner.

Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration. R.I. General Laws § 42-11-2.5 Information technology investment fund established. RIGL § 42-11-2.6 Establishment of Office of Digital Excellence. RIGL § 42-11-2.7 July, 2016 Division of enterprise technology strategy and service established by General Assembly. In the FY 2018 revised recommendation, the majority of the information technology program is shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: Department Of Administration

Information Technology

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Doit Operations	1,443,352	652,915	1,647,418	5,183,635	2,797,418
Doit Project Management	(3)	0	0	0	0
Executive Director-CIO	1	0	0	0	0
Information Technology	16,705,020	6,686,068	6,736,092	17,856,222	10,704,318
IT Centralization	4,647,401	0	0	0	0
Total Expenditures	22,795,771	7,338,984	8,383,510	23,039,857	13,501,736
Expenditures by Object					
Salary and Benefits	398,632	154,810	132,740	166,364	173,428
Contract Professional Services	7,690,143	2,101,161	114,000	114,000	114,000
Operating Supplies and Expenses	4,657,805	4,679,662	2,514,678	6,050,895	3,664,678
Capital Purchases And Equipment	1,085,988	0	0	0	0
Subtotal: Operating	13,832,568	6,935,633	2,761,418	6,331,259	3,952,106
Capital Purchases And Equipment	4,195,452	139,161	5,622,092	16,708,598	9,549,630
Operating Transfers	4,767,751	264,190	0	0	0
Subtotal: Other	8,963,203	403,351	5,622,092	16,708,598	9,549,630
Total Expenditures	22,795,771	7,338,984	8,383,510	23,039,857	13,501,736
Expenditures by Source of Funds					
General Revenue	1,443,347	652,915	1,647,418	5,183,635	2,797,418
Federal Funds	2,729,142	23	114,000	114,000	114,000
Restricted Receipts	17,364,320	6,686,046	6,622,092	17,742,222	10,590,318
Other Funds	1,258,962	0	0	0	0
Total Expenditures	22,795,771	7,338,984	8,383,510	23,039,857	13,501,736

Personnel

Agency: Department Of Administration

Information Technology

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Transfer In		101,345		105,569
Total Salaries		101,345		105,569
Benefits				
FICA		7,753		8,076
Health Benefits		18,176		18,669
Payroll Accrual		590		617
Retiree Health		6,739		6,197
Retirement		27,758		30,130
Subtotal		61,016		63,689
Total Salaries and Benefits	0.0	162,361	0.0	169,258
Cost Per FTE Position		0		
Statewide Benefit Assessment		4,003		4,170
Payroll Costs	0.0	166,364	0.0	173,428
Purchased Services				
Information Technology		114,000		114,000
Subtotal		114,000		114,000
Total Personnel	0.0	280,364	0.0	287,428
Distribution by Source of Funds				
Federal Funds	0.0	114,000	0.0	114,000
Restricted Receipts	0.0	166,364	0.0	173,428
Total All Funds	0.0	280,364	0.0	287,428

Program Summary

DEPARTMENT OF ADMINISTRATION

Library & Information Services

Mission

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery. Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board. Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities. Maintain and develop the Library of Rhode Island Network. Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act. Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service. Provide for statewide reference service through online services of "AskRI". Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

Description

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

Statutory History

R.I. General Laws § 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

Budget

Agency: Department Of Administration

Library and Information Services

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Library Services	2,464,556	2,551,546	2,614,826	2,786,439	2,994,159
Total Expenditures	2,464,556	2,551,546	2,614,826	2,786,439	2,994,159
Expenditures by Object					
Salary and Benefits	1,352,790	1,275,500	1,522,593	1,492,836	1,602,996
Contract Professional Services	28,978	36,390	28,000	28,000	30,000
Operating Supplies and Expenses	1,032,820	1,074,839	1,058,983	1,135,353	1,207,113
Assistance And Grants	36,600	163,981	0	125,000	150,000
Aid To Local Units Of Government	4,013	0	0	0	0
Subtotal: Operating	2,455,201	2,550,709	2,609,576	2,781,189	2,990,109
Capital Purchases And Equipment	9,355	837	5,250	5,250	4,050
Subtotal: Other	9,355	837	5,250	5,250	4,050
Total Expenditures	2,464,556	2,551,546	2,614,826	2,786,439	2,994,159
Expenditures by Source of Funds					
General Revenue	1,350,017	1,419,448	1,457,501	1,456,084	1,614,327
Federal Funds	1,072,168	1,119,469	1,155,921	1,323,365	1,378,428
Restricted Receipts	42,371	12,629	1,404	6,990	1,404
Total Expenditures	2,464,556	2,551,546	2,614,826	2,786,439	2,994,159

Personnel

Agency: Department Of Administration

Library and Information Services

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Classified					
CHIEF OF LIBRARY SERVICES	00143A	1.0	132,474	1.0	133,133
IMPLEMENTATION AIDE	0AB22A	1.0	52,582	1.0	52,843
INFORMATION SERVICES TECHNICIAN II	0AB20A	3.0	157,517	3.0	158,299
LIBRARY PROGRAM MANAGER I	00137A	2.0	183,419	2.0	187,631
LIBRARY PROGRAM SPECIALIST II	0AB28A	3.0	186,432	3.0	192,962
LIBRARY PROGRAM SPECIALIST III	0AB32A	3.0	263,323	3.0	266,619
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(2.0)	0	(2.0)	0
Subtotal Classified		11.0	975,747	11.0	991,487
Subtotal		11.0	975,747	11.0	991,487
Turnover			(45,145)		0
Total Salaries			930,602		991,487
Benefits					
FICA			71,191		75,850
Health Benefits			132,914		150,875
Payroll Accrual			5,416		5,782
Retiree Health			61,883		58,199
Retirement			254,071		281,639
Subtotal			525,475		572,345
Total Salaries and Benefits		11.0	1,456,077	11.0	1,563,832
Cost Per FTE Position			132,371		142,167
Statewide Benefit Assessment			36,759		39,164
Payroll Costs		11.0	1,492,836	11.0	1,602,996
Purchased Services					
Training and Educational Services			28,000		30,000
Subtotal			28,000		30,000
Total Personnel		11.0	1,520,836	11.0	1,632,996
Distribution by Source of Funds					
General Revenue		11.0	833,914	11.0	930,868
Federal Funds		0.0	686,922	0.0	702,128
Total All Funds		11.0	1,520,836	11.0	1,632,996

Program Summary

DEPARTMENT OF ADMINISTRATION

Planning

Mission

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, and economic development.

Description

The Division of Planning is comprised of three subprograms: Statewide Planning, Strategic Planning and Economic Development, and the Water Resources Board. Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding. Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration. The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty-nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

Statutory History

R.I. General Laws § 42-11 includes provisions relative to the division of planning. Other legal references are provided in RIGL § 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46. The FY 2018 revised recommendation shifts the Water Resource Board to the Division of Public Utilities and Carriers.

Budget

Agency: Department Of Administration

Planning

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Housing and Comm. Development	0	0	15,448	15,448	15,448
Statewide Planning	3,503,881	3,985,460	6,437,894	7,555,866	7,201,097
Total Expenditures	3,503,881	3,985,460	6,453,342	7,571,314	7,216,545
Expenditures by Object					
Salary and Benefits	2,470,052	2,585,630	3,335,782	3,045,320	3,248,463
Contract Professional Services	32,500	113,146	271,750	393,617	271,750
Operating Supplies and Expenses	151,986	251,301	680,557	729,466	277,890
Assistance And Grants	843,405	1,025,205	2,154,200	3,304,200	3,304,200
Subtotal: Operating	3,497,943	3,975,282	6,442,289	7,472,603	7,102,303
Capital Purchases And Equipment	5,938	10,179	11,053	98,711	114,242
Subtotal: Other	5,938	10,179	11,053	98,711	114,242
Total Expenditures	3,503,881	3,985,460	6,453,342	7,571,314	7,216,545
Expenditures by Source of Funds					
General Revenue	784,653	836,374	1,530,465	1,386,522	978,745
Federal Funds	0	203,654	15,448	137,315	15,448
Operating Transfers from Other Funds	2,719,228	2,945,433	4,907,429	6,047,477	6,222,352
Total Expenditures	3,503,881	3,985,460	6,453,342	7,571,314	7,216,545

Personnel

Agency: Department Of Administration

Planning

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT CHIEF OF PLANNING	00137A	2.0	181,382	2.0	186,753
ASSOCIATE DIRECTOR- DIVISION OF PLANNING	00147A	1.0	147,865	1.0	148,601
DATA ANALYST I	00134A	1.0	90,637	1.0	95,800
DATA ANALYST II	00138A	1.0	85,269	1.0	85,694
DATA ANALYST III	00142A	1.0	100,044	1.0	100,542
EXECUTIVE ASSISTANT	00318A	1.0	54,486	1.0	54,749
FISCAL MANAGEMENT OFFICER	00B26A	1.0	67,845	1.0	68,182
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	59,432	1.0	59,727
PRINCIPAL PLANNER	00329A	4.0	249,464	4.0	254,640
PRINCIPAL PLANNER	00829A	2.0	131,772	2.0	134,668
PRINCIPAL RESEARCH TECHNICIAN	00827A	1.0	63,993	1.0	64,311
STAFF DIRECTOR (WATER RESOURCES BOARD)	00137A	1.0	106,954	1.0	107,465
SUPERVISING CIVIL ENGINEER (WATER RESOURCES)	00135A	1.0	106,566	1.0	107,086
SUPERVISING GEOGRAPHIC INFORMATION SYSTEM SPECIALIST	00032A	1.0	68,780	1.0	70,292
SUPERVISING PLANNER	00831A	6.0	468,239	6.0	475,457
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(5.0)	0	(5.0)	0
Subtotal Classified		20.0	1,982,728	20.0	2,013,967
Subtotal		20.0	1,982,728	20.0	2,013,967
Transfer In			14,087		14,155
Turnover			(135,602)		(37,386)
Total Salaries			1,861,213		1,990,736
Benefits					
FICA			141,731		151,872
Health Benefits			330,540		337,722
Payroll Accrual			10,820		11,599
Retiree Health			123,771		116,854
Retirement			503,728		561,049
Subtotal			1,110,590		1,179,096
Total Salaries and Benefits		20.0	2,971,803	20.0	3,169,832
Cost Per FTE Position			148,590		158,492
Statewide Benefit Assessment			73,517		78,631
Payroll Costs		20.0	3,045,320	20.0	3,248,463
Purchased Services					

Personnel

Agency: Department Of Administration

Planning

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Purchased Services				
Design and Engineering Services		55,000		55,000
Management & Consultant Services		338,617		216,750
Subtotal		393,617		271,750
Total Personnel	20.0	3,438,937	20.0	3,520,213
Distribution by Source of Funds				
General Revenue	20.0	698,090	20.0	736,629
Federal Funds	0.0	121,867	0.0	0
Operating Transfers from Other Funds	0.0	2,618,980	0.0	2,783,584
Total All Funds	20.0	3,438,937	20.0	3,520,213

Program Summary

DEPARTMENT OF ADMINISTRATION

Personnel & Operational Reforms

Mission

To address funding requirements associated with personnel and operating reforms throughout the state.

Description

This program is used to book proposed savings associated with personnel and operating reforms throughout the state. Often these savings give the state the ability to provide government with flexibility in hiring and managing personnel as well as address structural challenges. Operational reforms promote more efficiencies in state government and provide savings.

Statutory History

The Governor recommends these proposals as part of the FY 2021 Appropriations Act.

Budget

Agency: Department Of Administration

Personnel and Operational Reforms

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Reduction in Force Savings	0	(914,743)	(4,607,518)	0	0
Total Expenditures	0	(914,743)	(4,607,518)	0	0
Expenditures by Object					
Salary and Benefits	0	0	(2,657,000)	0	0
Operating Supplies and Expenses	0	0	(1,950,518)	0	0
Assistance And Grants	0	(914,743)	0	0	0
Subtotal: Operating	0	(914,743)	(4,607,518)	0	0
Total Expenditures	0	(914,743)	(4,607,518)	0	0
Expenditures by Source of Funds					
General Revenue	0	(914,743)	(4,607,518)	0	0
Total Expenditures	0	(914,743)	(4,607,518)	0	0

Program Summary

DEPARTMENT OF ADMINISTRATION

Energy Resources

Mission

The mission of the Office of Energy Resources (OER) is to lead Rhode Island to a secure, cost-effective, and sustainable energy future. OER is actively supporting the development of a clean energy economy that will create jobs; foster new business investment opportunities; reduce long-term energy costs and mitigate price volatility; and enhance reliability through the diversification of our energy portfolio; and advance important environmental policy goals by reducing our reliance on carbon emitting sources of energy. OER's work involves the adoption of policies addressing energy efficiency and system reliability, renewable energy, petroleum reduction and reduction of carbon emissions. OER works at all levels - local, state, regional, and federal - to advance these and other State energy policy goals. To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented. To seek federal funding opportunities and implement grant-funded programs that establish Rhode Island as a national leader on energy policy and innovation. To work in partnership with municipalities and with the DOA Division of Capital Assets, Management and Maintenance to reduce public sector energy consumption and costs; increase the use of clean energy resources at public facilities; and reduce public sector carbon emissions. OER is also responsible for the tracking and management of State energy data. To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including, but not limited to, the Energy Efficiency Resource Management Council (EERMC), the Distributed Generation Contracting Board (DG Board), the Executive Climate Change Coordinating Council, the State Planning Council, CommerceRI, the Department of Environmental Management, the Department of Administration, the Division of Public Utilities and Carriers, and the Public Utilities Commission. To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner. To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

Description

OER provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. OER works closely with two appointed bodies, the EERMC and the DG Board. The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commissioner serves as the non-voting Executive Director of the EERMC. The DG Board oversees the Rhode Island Renewable Energy Growth Program and includes representatives from various energy stakeholder groups

Statutory History

OER was created in 2006 to consolidate state energy programs. OER's mission expanded in 2012 as outlined in R.I. General Laws § 23-82-6, § 39-1-27.7 through § 10, and § 42-140.1.

Budget

Agency: Department Of Administration

Energy Resources

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Energy Resources	5,054,728	2,712,772	8,814,102	17,891,467	8,483,725
Total Expenditures	5,054,728	2,712,772	8,814,102	17,891,467	8,483,725
Expenditures by Object					
Salary and Benefits	1,609,589	1,432,162	1,690,198	1,600,317	1,580,431
Contract Professional Services	186,937	276,255	367,214	774,036	637,355
Operating Supplies and Expenses	1,418,139	102,992	6,606,762	1,863,649	1,520,820
Assistance And Grants	1,372,467	698,963	144,928	13,632,865	4,724,519
Subtotal: Operating	4,587,132	2,510,371	8,809,102	17,870,867	8,463,125
Capital Purchases And Equipment	467,596	202,400	5,000	20,600	20,600
Subtotal: Other	467,596	202,400	5,000	20,600	20,600
Total Expenditures	5,054,728	2,712,772	8,814,102	17,891,467	8,483,725
Expenditures by Source of Funds					
General Revenue	0	(0)	0	0	0
Federal Funds	(678,610)	1,018,011	996,674	1,148,382	979,019
Restricted Receipts	5,733,338	1,694,761	7,817,428	16,743,085	7,504,706
Total Expenditures	5,054,728	2,712,772	8,814,102	17,891,467	8,483,725

Personnel

Agency: Department Of Administration

Energy Resources

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	109,860	1.0	110,406
ADMINISTRATOR OF ENERGY PROGRAMS	00137A	2.0	195,056	2.0	197,487
CHIEF IMPLEMENTATION AIDE	00128A	1.0	66,440	1.0	66,771
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	167,846	2.0	168,680
DEPUTY COMMISSIONER- OFFICE OF ENERGY RESOURCES	00145A	1.0	137,167	1.0	137,847
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	215,275	2.0	220,278
PROGRAMMING SERVICES OFFICER	00131A	2.0	134,275	2.0	140,777
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(2.0)	0	(2.0)	0
Subtotal Classified		9.0	1,025,919	9.0	1,042,246
Unclassified					
COMMISSIONER OF ENERGY RESOURCES	00843A	1.0	130,952	1.0	131,604
Subtotal Unclassified		1.0	130,952	1.0	131,604
Subtotal		10.0	1,156,871	10.0	1,173,850
Transfer Out			(157,625)		(158,792)
Transfer In			43,944		44,162
Turnover			(8,063)		(42,096)
Total Salaries			1,035,127		1,017,124
Benefits					
FICA			79,192		77,814
Health Benefits			87,607		89,927
Payroll Accrual			6,027		5,939
Retiree Health			68,837		59,707
Retirement			282,640		289,748
Subtotal			524,303		523,135
Total Salaries and Benefits		10.0	1,559,430	10.0	1,540,259
Cost Per FTE Position			155,943		154,026
Statewide Benefit Assessment			40,887		40,172
Payroll Costs		10.0	1,600,317	10.0	1,580,431
Purchased Services					
Clerical and Temporary Services			136,318		151,548
Management & Consultant Services			132,020		0
Other Contracts			444,198		424,307
Training and Educational Services			61,500		61,500
Subtotal			774,036		637,355

Personnel

Agency: Department Of Administration

Energy Resources

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Total Personnel	10.0	2,374,353	10.0	2,217,786
Distribution by Source of Funds				
Federal Funds	3.0	881,780	3.0	777,013
Restricted Receipts	7.0	1,492,573	7.0	1,440,773
Total All Funds	10.0	2,374,353	10.0	2,217,786

Program Summary

DEPARTMENT OF ADMINISTRATION

Construction Permitting, Approvals & Licensing

Mission

To provide for quality construction in all buildings by the adoption of a statewide model and uniform building codes, as well as utilizing licensed and registered contractors. To protect the public from unscrupulous building contractors and regulating the industry in order to assure fairness, safety and transparency. Develop and administer a comprehensive fire code for the State of Rhode Island.

Description

Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The commission conducts a variety of training programs for code officials and building trade's people. Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. The Board establishes standards and enforces the rules and regulations enacted. Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

Statutory History

R.I. General Laws § 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL § 23-27.3-100 et seq. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes. RIGL § 5-65 et al. establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. RIGL § 5-73 sets regulations and the Board's authority over roofing contractors. RIGL § 5-65.1 regulates home inspectors, which fall under the jurisdiction of the Board; and RIGL § 5-65.2 governs the regulation of well drillers. RIGL § 23-28.3-1 governs the Fire Code Board of Appeal and Review. Public Law 2019, ch. 88, art. 4, § 4 moved the Construction, Permitting, Approvals, and Licensing Program be moved to the Department of Business Regulations in FY 2019.

Budget

Agency: Department Of Administration

Construction Permitting, Approvals and Licensing

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	3,166,479	0	0	0	0
Total Expenditures	3,166,479	0	0	0	0
Expenditures by Object					
Salary and Benefits	2,750,915	0	0	0	0
Contract Professional Services	2,732	0	0	0	0
Operating Supplies and Expenses	408,996	(0)	0	0	0
Subtotal: Operating	3,162,643	0	0	0	0
Capital Purchases And Equipment	3,836	0	0	0	0
Subtotal: Other	3,836	0	0	0	0
Total Expenditures	3,166,479	0	0	0	0
Expenditures by Source of Funds					
General Revenue	1,963,211	0	0	0	0
Restricted Receipts	1,203,268	(0)	0	0	0
Total Expenditures	3,166,479	0	0	0	0

Program Summary

DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

Mission

The Rhode Island Health Benefits Exchange, branded HealthSource RI, serves two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

Description

HealthSource RI (HSRI) seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Patient Protection and Affordable Care Act (ACA). The following are key programmatic components considered by HSRI to best exemplify the goals and values of the organization: 1. HSRI will be a place to compare and buy health insurance. 2. HSRI will work closely with small employers to provide new and beneficial health insurance options. 3. HSRI will be a reliable and trusted source of healthcare information for all Rhode Islanders.

Statutory History

The federal Patient Protection and Affordable Care Act of 2010 (the “ACA”) provides for the establishment of a health benefits exchange by each state. Governor Lincoln D. Chaffee established the Rhode Island Health Benefits exchange by Executive Order 11-09 in 2011 R.I. General Laws § 42-157 codified the Rhode Island Health Benefits Exchange into state law in 2015.

Budget

Agency: Department Of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	9,656,976	8,077,253	9,953,397	10,821,172	21,479,792
Total Expenditures	9,656,976	8,077,253	9,953,397	10,821,172	21,479,792
Expenditures by Object					
Salary and Benefits	1,708,544	1,765,224	2,248,781	1,861,805	2,091,970
Contract Professional Services	7,310,432	5,583,339	6,666,082	7,749,311	9,044,919
Operating Supplies and Expenses	629,024	710,765	1,017,534	1,177,962	2,022,903
Assistance And Grants	0	0	0	0	8,300,000
Subtotal: Operating	9,648,000	8,059,328	9,932,397	10,789,078	21,459,792
Capital Purchases And Equipment	8,976	17,925	21,000	32,094	20,000
Subtotal: Other	8,976	17,925	21,000	32,094	20,000
Total Expenditures	9,656,976	8,077,253	9,953,397	10,821,172	21,479,792
Expenditures by Source of Funds					
General Revenue	2,524,589	2,775,312	1,591,498	1,591,498	2,339,654
Federal Funds	1,847,569	133,776	0	0	0
Restricted Receipts	5,284,818	5,168,164	8,361,899	9,229,674	19,140,138
Total Expenditures	9,656,976	8,077,253	9,953,397	10,821,172	21,479,792

Personnel

Agency: Department Of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	2.0	167,846	2.0	168,680
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	115,334	1.0	118,273
CHIEF PUBLIC AFFAIRS OFFICER	00137A	1.0	84,899	1.0	88,412
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	99,067	1.0	100,542
SENIOR ECONOMIC AND POLICY ANALYST	00134A	1.0	81,579	1.0	84,340
VALUE BASED PURCHASING ANALYST	00139A	1.0	100,044	1.0	100,542
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(2.0)	0	(2.0)	0
Subtotal Classified		5.0	648,769	5.0	660,789
Unclassified					
ADMINISTRATIVE ASSISTANT	00825A	1.0	59,086	1.0	61,676
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00843A	1.0	130,950	1.0	131,604
DEPUTY DIRECTOR OF HEALTHSOURCE RI	00845A	1.0	136,059	1.0	141,827
DIRECTOR HEALTHSOURCE RI	00851A	1.0	171,832	1.0	172,687
DIRECTOR OF FINANCE/ASSOCIATE ADMINISTRATOR	00844A	1.0	120,724	1.0	123,696
SENIOR LEGAL COUNSEL	00134A	1.0	83,923	1.0	84,340
SENIOR POLICY ANALYST	00839A	1.0	97,583	1.0	103,156
Subtotal Unclassified		7.0	800,157	7.0	818,986
Subtotal		12.0	1,448,926	12.0	1,479,775
Transfer Out			(277,613)		(281,991)
Transfer In			127,113		129,051
Seasonal/Special Salaries/Wages			366		366
Turnover			(114,268)		0
Total Salaries			1,184,524		1,327,201
Benefits					
FICA			88,430		99,586
Health Benefits			131,992		149,567
Payroll Accrual			6,904		7,748
Retiree Health			78,773		77,910
Retirement			324,399		377,533
Subtotal			630,498		712,344
Total Salaries and Benefits		12.0	1,815,022	12.0	2,039,545
Cost Per FTE Position			151,252		169,962

Personnel

Agency: Department Of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		46,783		52,425
Payroll Costs	12.0	1,861,805	12.0	2,091,970
Purchased Services				
Information Technology		3,684,243		4,685,661
Legal Services		4,433		1,478
Management & Consultant Services		3,834,748		3,856,893
Other Contracts		225,887		500,887
Subtotal		7,749,311		9,044,919
Total Personnel	12.0	9,611,116	12.0	11,136,889
Distribution by Source of Funds				
General Revenue	0.0	1,095,254	0.0	2,243,410
Restricted Receipts	12.0	8,515,862	12.0	8,893,479
Total All Funds	12.0	9,611,116	12.0	11,136,889

Program Summary

DEPARTMENT OF ADMINISTRATION

Office of Diversity, Equity & Opportunity

Mission

The mission of the Office of Diversity, Equity and Opportunity is to create and support a diverse and inclusive state government culture that values and reflects the changing demographics of Rhode Island by advancing equitable and fair opportunities for all Rhode Island citizens to be employed by and/or do business with the State of Rhode Island. The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws, and to ensure non-discrimination and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities. The mission of the Minority Business Enterprise Compliance Office is to support Rhode Island General Laws and policies that ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services. The mission of the Outreach and Diversity Office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

Description

The Office of Diversity, Equity and Opportunity includes an Associate Director, a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Office, the Minority Business Enterprise Compliance Office, and all state agencies. The Office of Diversity, Equity and Opportunity works in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, diversity, and MBE procurement goals. The State Equal Opportunity Office is charged with providing training and technical assistance requested by any company doing business in Rhode Island and to all state departments as is necessary to comply with the intent of RIGL § 28-5.1-2. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and DBE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides technical assistance in recruiting a talented and diverse workforce.

Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 13-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within the Department charged with facilitation of equal opportunity employment, diversity, and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the Minority Business Enterprise Compliance Office, and to make recommendations to the Governor to improve operations and collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity, affirmative action, and diversity hiring, as well as MBE procurement as allowed by law.

Budget

Agency: Department Of Administration

The Office of Diversity, Equity and Opportunity

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	1,130,353	1,292,410	1,426,500	1,415,620	1,482,294
Total Expenditures	1,130,353	1,292,410	1,426,500	1,415,620	1,482,294
Expenditures by Object					
Salary and Benefits	1,016,961	1,146,313	1,289,277	1,276,150	1,297,704
Contract Professional Services	250	561	16,800	1,000	0
Operating Supplies and Expenses	111,166	145,535	120,423	138,470	184,590
Subtotal: Operating	1,128,377	1,292,410	1,426,500	1,415,620	1,482,294
Capital Purchases And Equipment	1,976	0	0	0	0
Subtotal: Other	1,976	0	0	0	0
Total Expenditures	1,130,353	1,292,410	1,426,500	1,415,620	1,482,294
Expenditures by Source of Funds					
General Revenue	1,022,910	1,182,565	1,304,197	1,304,197	1,369,940
Other Funds	107,443	109,845	122,303	111,423	112,354
Total Expenditures	1,130,353	1,292,410	1,426,500	1,415,620	1,482,294

Personnel

Agency: Department Of Administration

The Office of Diversity, Equity and Opportunity

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR STATE EQUAL OPPORTUNITY PROGRAMS	00134A	1.0	77,070	1.0	80,436
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	00134A	1.0	89,777	1.0	90,215
ASSOCIATE DIRECTOR- DIVERSITY- EQUITY AND OPPORTUNITY	00142A	1.0	138,870	1.0	139,559
CHIEF IMPLEMENTATION AIDE	00128A	1.0	64,530	1.0	66,771
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	176,238	2.0	177,114
PROGRAMMING SERVICES OFFICER	00131A	3.0	254,122	3.0	257,150
Subtotal Classified		9.0	800,607	9.0	811,245
Subtotal		9.0	800,607	9.0	811,245
Total Salaries			800,607		811,245
Benefits					
FICA			61,158		62,059
Health Benefits			108,553		111,464
Payroll Accrual			4,652		4,727
Retiree Health			53,240		47,621
Retirement			216,318		228,544
Subtotal			443,921		454,415
Total Salaries and Benefits		9.0	1,244,528	9.0	1,265,660
Cost Per FTE Position			138,281		140,629
Statewide Benefit Assessment			31,622		32,044
Payroll Costs		9.0	1,276,150	9.0	1,297,704
Purchased Services					
Training and Educational Services			1,000		0
Subtotal			1,000		0
Total Personnel		9.0	1,277,150	9.0	1,297,704
Distribution by Source of Funds					
General Revenue		9.0	1,165,727	9.0	1,185,350
Other Funds		0.0	111,423	0.0	112,354
Total All Funds		9.0	1,277,150	9.0	1,297,704

Program Summary

DEPARTMENT OF ADMINISTRATION

Capital Asset Management & Maintenance

Mission

The Division of Capital Asset Management and Maintenance is established to improve the management of the State's real estate portfolio such that appropriate and timely investments are made that meet the highest standards of safety, security, accessibility, energy efficiency and comfort for the employees and citizens that are served.

Description

The Division of Capital Asset Management & Maintenance oversees the following functions: Planning/Design/Construction; Facilities Management & Maintenance; and Risk Management. The re-organization of the capital projects and facilities maintenance functions into the Division of Capital Asset Management & Maintenance is intended to better align staff resources and clarify roles and responsibilities in order to support the state's portfolio such that cost savings and efficiencies are achieved. This approach incorporates viewing facilities from a life cycle perspective so that we build the right facilities and maintain them appropriately. The new model creates an Office of Planning, Design and Construction (PDC)-previously referred to as Capital projects-that will handle new construction and major rehabilitation projects. This unit will manage projects with a value greater than \$2.5M. The Office of PDC will work with agencies to establish master plans that are driven by the strategic plans of each agency. The master planning opportunity will insure that a full analysis is done of agency needs that incorporates service delivery trends, use of technology and opportunities for co-location with other agencies. The new model creates the Office of Facilities Management & Maintenance (formerly Facilities Management) is responsible for the management of all active campuses and buildings and surplus property and is led by the Associate Director of Facilities Management & Maintenance. The Office of OFMM has oversight for the following Property Management functions:

- Space Management: Expansion or modification of the State's portfolio
- Contracting: Extension, cancellation or execution of new/existing vendor contracts
- Deferred Maintenance Investments: Large projects focused on repair and replacement of deteriorating assets
- Capital Equipment replacement: Replacement of infrastructure and equipment as needed
- Leasing/New Construction: Execution of lease agreements on behalf of client state agencies.

Facilities Management & Maintenance is responsible for handling day to day operations at all state facilities where DCAMM has direct oversight including handling minor repairs (under \$2.5M), setting up and overseeing facility/campus security, ensuring appropriate ADA access at all facilities, responding to facility emergencies 24/7, managing traffic flows and wayfinding systems, maintaining an efficient and effective communication system with all building/facility/campus tenants, grounds keeping/landscaping, utilities management, snow removal, care/maintenance of artwork and historic artifacts, etc.

Statutory History

In the FY 2018 revised budget, facilities management shifted to the internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act. Public Law 2019, ch. 88, art. 4, § 4 moved the Construction, Permitting, Approvals, and Licensing Program be moved to the Department of Business Regulations in FY 2019.

Budget

Agency: Department Of Administration

Capital Asset Management and Maintenance

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Capital Asset Management And Maintenance Admin	568,314	565,686	628,347	611,678	716,207
Facilities Management And Maintenance	6,453,481	7,613,525	7,585,124	11,333,232	8,556,564
Planning, Design And Construction	1,365,729	1,583,981	1,603,834	1,673,782	1,891,994
Total Expenditures	8,387,524	9,763,192	9,817,305	13,618,692	11,164,765
Expenditures by Object					
Salary and Benefits	1,755,609	1,927,177	2,043,764	2,114,465	2,403,226
Contract Professional Services	14,050	74,282	38,000	32,000	34,500
Operating Supplies and Expenses	5,485,025	7,760,516	7,725,541	11,462,227	8,717,039
Assistance And Grants	28,588	0	0	0	0
Subtotal: Operating	7,283,272	9,761,975	9,807,305	13,608,692	11,154,765
Capital Purchases And Equipment	10,463	1,216	10,000	10,000	10,000
Operating Transfers	1,093,789	0	0	0	0
Subtotal: Other	1,104,252	1,216	10,000	10,000	10,000
Total Expenditures	8,387,524	9,763,192	9,817,305	13,618,692	11,164,765
Expenditures by Source of Funds					
General Revenue	7,293,735	9,763,192	9,817,305	13,618,692	11,164,765
Federal Funds	278,536	0	0	0	0
Restricted Receipts	17,232	0	0	0	0
Other Funds	798,021	(0)	0	0	0
Total Expenditures	8,387,524	9,763,192	9,817,305	13,618,692	11,164,765

Personnel

Agency: Department Of Administration

Capital Asset Management and Maintenance

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ARCHITECT BUILDING COMMISSION	00335A	2.0	175,166	2.0	176,038
ASST DIR STWIDE CAP ASSET PJ M	00152A	1.0	160,057	1.0	165,932
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	00143A	1.0	112,995	1.0	118,627
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	117,305	1.0	121,030
DIVISION DIRECTOR- CAPITAL ASSET MANAGEMENT AND MAINT	00152A	1.0	166,326	1.0	167,153
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	92,968	2.0	188,270
PROJECT MANAGER I (DOA)	00137A	3.0	278,244	3.0	281,889
PROJECT MANAGER II (DOA)	00139A	2.0	220,246	2.0	221,329
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(1.9)	0	(1.9)	0
Subtotal Classified		10.1	1,323,307	11.1	1,440,268
Unclassified					
EXECUTIVE SECRETARY (STATE PROPERTIES COMMITTEE)	00321A	1.0	54,646	1.0	54,918
Subtotal Unclassified		1.0	54,646	1.0	54,918
Subtotal		11.1	1,377,953	12.1	1,495,186
Overtime (1.5)			35,555		37,179
Turnover			(53,604)		0
Total Salaries			1,359,904		1,532,365
Benefits					
FICA			98,118		111,326
Health Benefits			146,278		179,367
Payroll Accrual			7,713		8,726
Retiree Health			88,069		87,769
Retirement			362,071		424,611
Subtotal			702,249		811,799
Total Salaries and Benefits		11.1	2,062,153	12.1	2,344,164
Cost Per FTE Position			185,780		193,733
Statewide Benefit Assessment			52,312		59,062
Payroll Costs		11.1	2,114,465	12.1	2,403,226
Purchased Services					
Clerical and Temporary Services			20,000		20,000
Design and Engineering Services			3,000		3,000
Other Contracts			7,000		7,000

Personnel

Agency: Department Of Administration

Capital Asset Management and Maintenance

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Purchased Services				
Training and Educational Services		2,000		4,500
Subtotal		32,000		34,500
Total Personnel	11.1	2,146,465	12.1	2,437,726
Distribution by Source of Funds				
General Revenue	11.1	2,146,465	12.1	2,437,726
Total All Funds	11.1	2,146,465	12.1	2,437,726

Agency Summary

DEPARTMENT OF BUSINESS REGULATION

Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a prosperous and sound business environment that serves the public interest.

Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes the budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Insurance, Building, Design and Fire Professionals, Commercial Licensing and Gaming and Athletics Licensing, and the Office of the Health Insurance Commissioner. The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, Chief of Intoxicating Beverages, and State Boxing Commissioner. The Department also houses other commissions including the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, the Certified Constables' Board, and the Racing and Athletics Hearing Board. The Department issues over 200,000 licenses and conducts administrative hearings involving issuances, administrative penalties, denials, suspensions and/or revocations.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I. General Laws § 42-14-1, et seq.

Budget

Department Of Business Regulation

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	2,277,612	2,328,073	2,529,586	2,529,586	3,106,904
Banking Regulation	1,394,741	1,411,033	1,734,819	1,734,819	1,673,925
Securities Regulation	957,930	1,033,283	1,098,495	1,098,495	925,737
Insurance Regulation	5,126,088	5,022,465	5,931,271	5,997,654	6,126,943
Board of Accountancy	5,332	4,485	5,883	5,883	5,883
Commercial Licensing and Gaming and Athletics Licensing	1,968,154	2,251,496	2,086,360	1,980,897	1,959,085
Boards for Design Professionals	5	0	0	0	0
Office of Health Insurance Commissioner	2,644,720	2,921,825	2,572,277	2,760,011	2,631,572
Division of Building, Design and Fire Professionals	328,201	7,953,746	9,058,702	8,967,677	9,040,528
Office of Cannabis Regulation	0	0	1,346,264	1,327,252	4,630,680
Total Expenditures	14,702,783	22,926,405	26,363,657	26,402,274	30,101,257
Expenditures by Object					
Salary And Benefits	10,926,490	17,330,651	19,140,100	18,868,929	20,496,143
Contract Professional Services	2,099,000	2,323,247	2,687,857	3,009,067	2,782,999
Operating Supplies And Expenses	1,658,883	2,753,797	3,891,802	3,902,362	5,308,377
Assistance And Grants	0	(8,646)	80,000	112,517	155,000
Aid To Local Units Of Government	0	(450)	0	0	90,000
Subtotal: Operating	14,684,373	22,398,598	25,799,759	25,892,875	28,832,519
Capital Purchases And Equipment	18,410	527,807	563,898	509,399	1,268,738
Subtotal: Other	18,410	527,807	563,898	509,399	1,268,738
Total Expenditures	14,702,783	22,926,405	26,363,657	26,402,274	30,101,257
Expenditures by Source of Funds					
General Revenue	10,534,356	16,364,135	17,896,681	17,815,293	18,670,217
Federal Funds	1,022,931	1,007,599	755,788	1,045,501	768,983
Restricted Receipts	3,145,496	5,130,695	7,144,829	7,030,407	10,389,044
Operating Transfers From Other Funds	0	423,977	566,359	511,073	273,013
Total Expenditures	14,702,783	22,926,405	26,363,657	26,402,274	30,101,257
FTE Authorization	106.0	161.0	161.0	161.0	171.0

Personnel Agency Summary

Department Of Business Regulation

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Classified	142.0	9,938,573	148.0	10,460,478
Unclassified	19.0	1,857,096	23.0	2,099,558
Subtotal	161.0	11,795,669	171.0	12,560,036
Transfer Out		(87,853)		(100,416)
Overtime (1.5)		143,670		150,232
Seasonal/Special Salaries/Wages		39,100		39,100
Turnover		(186,761)		0
Total Salaries		11,703,825		12,648,952
Benefits				
Contract Stipends		9,000		9,300
FICA		883,338		957,200
Health Benefits		1,773,353		1,971,555
Payroll Accrual		67,474		73,131
Retiree Health		767,701		732,753
Retirement		3,242,582		3,645,767
Subtotal		6,743,448		7,389,706
Total Salaries and Benefits	161.0	18,447,273	171.0	20,038,658
Cost Per FTE Position		114,579		117,185
Statewide Benefit Assessment		421,656		457,485
Payroll Costs	161.0	18,868,929	171.0	20,496,143
Purchased Services				
Buildings and Ground Maintenance		1,185		1,185
Clerical and Temporary Services		5,208		5,208
Design and Engineering Services		4,200		4,200
Information Technology		40,700		40,700
Management & Consultant Services		2,577,225		2,381,157
Medical Services		12,439		12,439
Other Contracts		31,798		31,798
Training and Educational Services		336,312		306,312
Subtotal		3,009,067		2,782,999
Total Personnel	161.0	21,877,996	171.0	23,279,142
Distribution by Source of Funds				
General Revenue	131.0	15,621,901	128.0	16,038,952
Federal Funds	2.0	583,640	2.0	168,683
Restricted Receipts	28.0	5,602,043	41.0	6,998,494
Operating Transfers from Other Funds	0.0	70,412	0.0	73,013
Total All Funds	161.0	21,877,996	171.0	23,279,142

Performance Measures

Department Of Business Regulation

Timeliness of Banking Division License Issuance

The figures below represent the average amount of time it takes the Banking Division to issue a license. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	30.00	40.00	40.00	35.00	35.00
Actual	52.00	27.00	27.00	0.00	

Office of the Health Insurance Commissioner -- Small Group Premium Base Rates

The Office of the Health Insurance Commissioner (OHIC) annually reviews and approves commercial premiums. This review supports OHIC's charge to balance insurance affordability and insurer solvency. This measure focuses on approved premiums for small groups (50 or fewer employees). Due to their size, small groups often have higher rates of increase than large employers and are more vulnerable to changes in their cost structure. OHIC has begun monitoring how closely the effective rates of increase track the approved rates, which will significantly improve future performance data monitoring. The figures below represent the average approved essential health benefits (EHB) base rate increase for small group issuers. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	4.00%	4.00%	4.00%	4.00%	4.00%
Actual	8.50%	1.60%	5.90%	0.00%	

Timeliness of Design Professionals License Issuance

The Boards for Design Professionals examines and licenses professional engineers, land surveyors, landscape and traditional architects. The figures below represent the average amount of time it takes the Boards for Design Professionals to issue a license. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	75.00	60.00	60.00	60.00	60.00
Actual	73.00	50.00	50.00	0.00	

OHIC -- Individual Market Premium Base Rates

The figures below represents the average approved individual market premium essential health benefits (EHB) base rate increase. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	4.50%	4.50%	0.00%	4.50%	4.50%
Actual	6.50%	12.70%	-0.70%	0.00%	

Performance Measures

Department Of Business Regulation

Insurance Examiner Utilization Rate

The figures below represent the utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		73.00%	73.00%	73.00%	70.00%	70.00%
Actual		56.20%	60.48%	64.00%	0.00%	

Customer Satisfaction

The figures below represent average customer satisfaction across the Department, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		95.00	95.00	90.00	90.00	90.00
Actual		88.20	89.57	90.00	0.00	

Business Environment Index

This performance measure assesses the average respondent's assessment of the Rhode Island business environment, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		90.00	90.00	90.00	90.00	90.00
Actual		81.60	84.24	88.00	0.00	

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes across the Department to resolve complaints. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		8.00	8.00	9.00	5.00	5.00
Actual		7.00	10.00	3.00	0.00	

Performance Measures

Department Of Business Regulation

OHIC -- Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	20.50%	20.50%	20.50%	20.00%	20.00%
Actual	17.60%	14.91%	17.51%	0.00%	

OHIC -- Value-Based Alternative Payments

The figures below represent the use of value-based alternative payment models as percent of insured medical payments. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	40.00%	50.00%	50.00%	50.00%	50.00%
Actual	45.00%	50.00%	30.00%	0.00%	

OHIC -- Large Group Premium Base Rates

The figures below represent the average approved large group premium expected overall average premium trend. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	4.50%	4.00%	4.00%	4.00%	4.00%
Actual	9.70%	9.20%	9.10%	0.00%	

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Central Management

Mission

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Description

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services. The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities. The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation. Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Statutory History

R.I. General Laws § 42-14-1 establishes the Director as head of the department. RIGL § 42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

Budget

Agency: Department Of Business Regulation

Central Management

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	2,277,612	2,328,073	2,529,586	2,529,586	3,106,904
Total Expenditures	2,277,612	2,328,073	2,529,586	2,529,586	3,106,904
Expenditures by Object					
Salary and Benefits	1,021,569	1,200,079	1,469,380	1,573,283	1,600,598
Contract Professional Services	48,207	32,205	30,758	30,758	30,758
Operating Supplies and Expenses	1,206,992	1,094,692	1,027,411	923,508	1,473,511
Subtotal: Operating	2,276,768	2,326,976	2,527,549	2,527,549	3,104,867
Capital Purchases And Equipment	844	1,097	2,037	2,037	2,037
Subtotal: Other	844	1,097	2,037	2,037	2,037
Total Expenditures	2,277,612	2,328,073	2,529,586	2,529,586	3,106,904
Expenditures by Source of Funds					
General Revenue	2,277,612	2,328,073	2,529,586	2,529,586	3,106,904
Total Expenditures	2,277,612	2,328,073	2,529,586	2,529,586	3,106,904

Personnel

Agency: Department Of Business Regulation

Central Management

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	115,751	1.0	116,327
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	110,239	1.0	110,787
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	93,498	1.0	93,963
FISCAL MANAGEMENT OFFICER	00126A	1.0	65,022	1.0	67,437
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	103,611	1.0	105,569
LEGAL ASSISTANT	00119A	1.0	43,944	1.0	45,135
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	87,313	1.0	90,926
SENIOR LEGAL COUNSEL	00134A	2.0	167,846	2.0	168,681
Subtotal Classified		9.0	787,224	9.0	798,825
Unclassified					
DIRECTOR- DEPARTMENT OF BUSINESS REGULATION	20945F	1.0	135,000	1.0	135,000
EXECUTIVE SECRETARY	00819A	1.0	48,591	1.0	50,704
PROGRAM MANAGER	00828A	1.0	88,063	1.0	88,483
Subtotal Unclassified		3.0	271,654	3.0	274,187
Subtotal		12.0	1,058,878	12.0	1,073,012
Transfer Out			(63,937)		(64,154)
Total Salaries			994,941		1,008,858
Benefits					
FICA			76,113		77,176
Health Benefits			119,705		122,947
Payroll Accrual			5,791		5,888
Retiree Health			66,162		59,220
Retirement			271,271		286,658
Subtotal			539,042		551,889
Total Salaries and Benefits		12.0	1,533,983	12.0	1,560,747
Cost Per FTE Position			127,832		130,062
Statewide Benefit Assessment			39,300		39,851
Payroll Costs		12.0	1,573,283	12.0	1,600,598
Purchased Services					
Other Contracts			30,758		30,758
Subtotal			30,758		30,758
Total Personnel		12.0	1,604,041	12.0	1,631,356

Personnel

Agency: Department Of Business Regulation

Central Management

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	12.0	1,604,041	12.0	1,631,356
Total All Funds	12.0	1,604,041	12.0	1,631,356

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Banking Regulation

Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest. The Banking Division seeks to create a sound financial services industry in the State of Rhode Island by being both a regulator and an advocate. We have interpreted that to mean fostering the growth of a diverse and resilient financial services industry that provides credit to all corners of Rhode Island, holds the confidence of its customers, and whom we do not prevent from competing, innovating, and thriving. We believe that sound and prudent regulation creates a level playing field on which our financial institutions can thrive while at the same time providing protection to Rhode Island consumers.

Description

The Banking Division provides regulatory oversight of state-chartered banks, state chartered credit unions and Rhode Island bank holding companies through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness. The Division is also responsible for regulating, monitoring and examining other licensees, including almost 2,200 mortgage loan originators and approximately 1,100 companies licensed as debt collectors, third party loan servicers, lenders, loan brokers, small loan lenders, sellers of checks, electronic money transmitters, check cashers and debt-management companies. The purpose of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public. The Division reviews and conducts hearings on applications filed by financial institutions and other licensees. The Division enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

Statutory History

R.I. General Laws § 19-1 to § 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.)

RIGL § 6-26 to § 6-27 relate to Interest, Usury and Truth in Lending.

RIGL § 34-23 to § 34-27 relate to Mortgages.

RIGL § 19-14.3 to § 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies.

RIGL § 19-14.9 relates to the registration of debt collectors.

RIGL § 19-14.10 relates to the licensing and supervision of mortgage loan originators.

RIGL § 19-14.11 relates to the licensing of third-party loan servicers.

Budget

Agency: Department Of Business Regulation

Banking Regulation

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	1,394,741	1,411,033	1,734,819	1,734,819	1,673,925
Total Expenditures	1,394,741	1,411,033	1,734,819	1,734,819	1,673,925
Expenditures by Object					
Salary and Benefits	1,316,767	1,339,621	1,642,018	1,536,078	1,581,124
Contract Professional Services	1,312	0	1,360	1,360	1,360
Operating Supplies and Expenses	73,322	65,601	86,441	192,381	86,441
Subtotal: Operating	1,391,401	1,405,223	1,729,819	1,729,819	1,668,925
Capital Purchases And Equipment	3,340	5,810	5,000	5,000	5,000
Subtotal: Other	3,340	5,810	5,000	5,000	5,000
Total Expenditures	1,394,741	1,411,033	1,734,819	1,734,819	1,673,925
Expenditures by Source of Funds					
General Revenue	1,325,902	1,345,787	1,659,819	1,659,819	1,598,925
Restricted Receipts	68,839	65,245	75,000	75,000	75,000
Total Expenditures	1,394,741	1,411,033	1,734,819	1,734,819	1,673,925

Personnel

Agency: Department Of Business Regulation

Banking Regulation

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT SUPERVISOR OF EXAMINATIONS	0AB35A	3.0	274,361	3.0	282,807
BANK EXAMINER	0AB24A	2.0	101,598	2.0	105,297
LICENSING AIDE	0AB15A	1.0	39,812	1.0	40,754
PRINCIPAL BANK EXAMINER	0AB31A	1.0	71,979	1.0	75,308
SENIOR BANK EXAMINER	0AB28A	5.0	318,553	5.0	326,804
STATE CHIEF BANK EXAMINER	00139A	1.0	113,149	1.0	113,697
Subtotal Classified		13.0	919,452	13.0	944,667
Subtotal		13.0	919,452	13.0	944,667
Seasonal/Special Salaries/Wages			13,800		13,800
Total Salaries			933,252		958,467
Benefits					
FICA			71,395		73,326
Health Benefits			170,412		175,013
Payroll Accrual			5,440		5,602
Retiree Health			62,063		56,262
Retirement			256,651		274,595
Subtotal			565,961		584,798
Total Salaries and Benefits		13.0	1,499,213	13.0	1,543,265
Cost Per FTE Position			115,324		118,713
Statewide Benefit Assessment			36,865		37,859
Payroll Costs		13.0	1,536,078	13.0	1,581,124
Purchased Services					
Clerical and Temporary Services			720		720
Other Contracts			640		640
Subtotal			1,360		1,360
Total Personnel		13.0	1,537,438	13.0	1,582,484
Distribution by Source of Funds					
General Revenue		13.0	1,537,438	13.0	1,582,484
Total All Funds		13.0	1,537,438	13.0	1,582,484

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Securities Regulation

Mission

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Description

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives. The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2016, the division processed approximately 131,256 licenses and 14,844 registrations, conducted fifteen (15) on-site examinations of broker dealers and investment advisers, investigated 31 complaints, and instituted Five (5) enforcement actions, pursuant to applicable state and federal laws and regulations.

Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I. General Laws § 7-11; the Franchise Investment Act, § 19-28.1; the Charitable Solicitation Act, § 5-53.1; and the Real Estate Time-Share Act, § 34-41.

Budget

Agency: Department Of Business Regulation

Securities Regulation

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	957,930	1,033,283	1,098,495	1,098,495	925,737
Total Expenditures	957,930	1,033,283	1,098,495	1,098,495	925,737
Expenditures by Object					
Salary and Benefits	939,555	1,017,955	1,069,268	1,065,552	896,510
Contract Professional Services	2,820	0	0	0	0
Operating Supplies and Expenses	15,555	14,001	29,227	32,943	29,227
Subtotal: Operating	957,930	1,031,956	1,098,495	1,098,495	925,737
Capital Purchases And Equipment	0	1,327	0	0	0
Subtotal: Other	0	1,327	0	0	0
Total Expenditures	957,930	1,033,283	1,098,495	1,098,495	925,737
Expenditures by Source of Funds					
General Revenue	957,912	1,033,283	1,083,495	1,083,495	910,737
Restricted Receipts	18	(0)	15,000	15,000	15,000
Total Expenditures	957,930	1,033,283	1,098,495	1,098,495	925,737

Personnel

Agency: Department Of Business Regulation

Securities Regulation

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	2.0	95,058	2.0	96,654
CHIEF SECURITIES EXAMINER	00137A	1.0	96,308	1.0	96,773
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	153,090	0.0	0
PRINCIPAL SECURITIES EXAMINER	0AB31A	1.0	78,682	1.0	79,073
SECURITIES EXAMINER	0AB24A	2.0	109,239	2.0	112,711
SENIOR SECURITIES EXAMINER	0AB28A	2.0	134,745	2.0	137,535
Subtotal Classified		9.0	667,122	8.0	522,746
Subtotal		9.0	667,122	8.0	522,746
Seasonal/Special Salaries/Wages			2,300		2,300
Turnover			(35,327)		0
Total Salaries			634,095		525,046
Benefits					
FICA			47,534		40,167
Health Benefits			140,330		126,637
Payroll Accrual			3,691		3,067
Retiree Health			42,168		30,822
Retirement			172,686		150,032
Subtotal			406,409		350,725
Total Salaries and Benefits		9.0	1,040,504	8.0	875,771
Cost Per FTE Position			115,612		109,471
Statewide Benefit Assessment			25,048		20,739
Payroll Costs		9.0	1,065,552	8.0	896,510
Total Personnel		9.0	1,065,552	8.0	896,510
Distribution by Source of Funds					
General Revenue		9.0	1,065,552	8.0	896,510
Total All Funds		9.0	1,065,552	8.0	896,510

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

Mission

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island. To monitor activities of all licensees such as producers, adjusters and appraisers. To ensure consumer access to an equitable insurance market and respond to consumer inquiries and investigate complaints to ensure consumers are treated fairly.

Description

The Insurance Division is responsible for conducting financial examinations of the 27 domestic insurance companies to ensure financial solvency and is also responsible for overseeing the market conduct of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The Division also performs several licensing functions including, but not limited to, the licensing of over 1,300 insurance companies, over 120,000 insurance producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to protect consumers and maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was reaccredited in December 1998, December 2003, June 2009, and in June 2014, each time receiving the maximum accreditation period of five years.

Statutory History

All chapters of R.I. General Laws § 27-1, RIGL § 42-14, and § 28-29 through § 28-38, and all Insurance Division regulations.

Budget

Agency: Department Of Business Regulation

Insurance Regulation

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	5,126,088	5,022,465	5,931,271	5,997,654	6,126,943
Total Expenditures	5,126,088	5,022,465	5,931,271	5,997,654	6,126,943
Expenditures by Object					
Salary and Benefits	3,794,351	3,742,348	4,106,531	4,041,595	4,159,576
Contract Professional Services	1,207,786	1,136,753	1,635,421	1,700,648	1,778,048
Operating Supplies and Expenses	118,705	136,061	185,819	251,911	185,819
Aid To Local Units Of Government	0	125	0	0	0
Subtotal: Operating	5,120,842	5,015,287	5,927,771	5,994,154	6,123,443
Capital Purchases And Equipment	5,246	7,179	3,500	3,500	3,500
Subtotal: Other	5,246	7,179	3,500	3,500	3,500
Total Expenditures	5,126,088	5,022,465	5,931,271	5,997,654	6,126,943
Expenditures by Source of Funds					
General Revenue	3,639,227	3,576,491	3,919,342	3,919,342	3,967,219
Restricted Receipts	1,486,861	1,445,975	2,011,929	2,078,312	2,159,724
Total Expenditures	5,126,088	5,022,465	5,931,271	5,997,654	6,126,943

Personnel

Agency: Department Of Business Regulation

Insurance Regulation

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	61,569	1.0	61,870
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	134,619	1.0	135,278
CHIEF INSURANCE EXAMINER	00139A	1.0	102,791	1.0	105,569
CHIEF OF LEGAL SERVICES	00139A	1.0	102,959	1.0	103,457
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	142,314	1.0	143,002
INSURANCE ANALYST	0AB24A	3.0	161,394	3.0	166,672
INSURANCE EXAMINER	0AB24A	6.0	308,686	6.0	319,831
INSURANCE EXAMINER-IN-CHARGE	0AB36A	3.0	306,488	3.0	312,560
LEGAL COUNSEL	00132A	1.0	69,798	1.0	72,684
LICENSING AIDE	0AB15A	4.0	174,452	4.0	177,088
PRINCIPAL INSURANCE ANALYST	0AB31A	4.0	321,046	4.0	323,772
PRINCIPAL INSURANCE EXAMINER	0AB31A	2.0	148,206	2.0	151,474
SENIOR ACCOUNTANT	0AB23A	1.0	60,200	1.0	60,499
SENIOR INSURANCE ANALYST	0AB28A	1.0	62,464	1.0	64,920
SENIOR INSURANCE EXAMINER	0AB28A	5.0	331,464	5.0	339,657
Subtotal Classified		35.0	2,488,450	35.0	2,538,333
Subtotal		35.0	2,488,450	35.0	2,538,333
Seasonal/Special Salaries/Wages			23,000		23,000
Turnover			(16,970)		0
Total Salaries			2,494,480		2,561,333
Benefits					
FICA			190,522		195,872
Health Benefits			395,524		406,167
Payroll Accrual			14,524		14,955
Retiree Health			165,883		150,354
Retirement			682,128		729,725
Subtotal			1,448,581		1,497,073
Total Salaries and Benefits		35.0	3,943,061	35.0	4,058,406
Cost Per FTE Position			112,659		115,954
Statewide Benefit Assessment			98,534		101,170
Payroll Costs		35.0	4,041,595	35.0	4,159,576
Purchased Services					
Clerical and Temporary Services			2,648		2,648

Personnel

Agency: Department Of Business Regulation

Insurance Regulation

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Purchased Services				
Management & Consultant Services		1,688,000		1,765,400
Training and Educational Services		10,000		10,000
Subtotal		1,700,648		1,778,048
Total Personnel	35.0	5,742,243	35.0	5,937,624
Distribution by Source of Funds				
General Revenue	34.0	3,774,491	34.0	3,888,460
Restricted Receipts	1.0	1,967,752	1.0	2,049,164
Total All Funds	35.0	5,742,243	35.0	5,937,624

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Board of Accountancy

Mission

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Description

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions. The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law. Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above. Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Statutory History

R.I. General Laws § 5-3.1, et seq. (1956) relate to the Board of Accountancy.

Budget

Agency: Department Of Business Regulation

Board of Accountancy

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	5,332	4,485	5,883	5,883	5,883
Total Expenditures	5,332	4,485	5,883	5,883	5,883
Expenditures by Object					
Contract Professional Services	250	0	0	0	0
Operating Supplies and Expenses	5,082	4,485	5,883	5,883	5,883
Subtotal: Operating	5,332	4,485	5,883	5,883	5,883
Total Expenditures	5,332	4,485	5,883	5,883	5,883
Expenditures by Source of Funds					
General Revenue	5,332	4,485	5,883	5,883	5,883
Total Expenditures	5,332	4,485	5,883	5,883	5,883

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing & Gaming & Athletic Licensing

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

To enforce, adjust, amend, and interpret all rules and regulations of all professional boxing, and mixed martial arts events in the state.

Description

Commercial Licensing and Gaming and Athletics Licensing is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, auctioneers, liquor wholesalers, manufacturers, breweries, wineries, farmer wineries, brew pubs, brew on premise, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, class P caterer, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of gaming and athletics licensing activities, including boxing, and mixed martial arts and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law. The division is also responsible for the licensing, registration and oversight of Medical Marijuana Program participants who commercially produce, manufacture, or sell medical marijuana and industrial hemp. The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Statutory History

R.I. General Law § 5-58 relates to auctioneers; § 5-20.5 relates to real estate; § 5-20.7 relates to real estate appraisers; § 5-38 relates to automobile body repair shops; § 5-50 relates to pre-opening of health club sales campaigns; § 6-31 relates to unit pricing; § 23-26 relates to bedding and upholstered furniture; § 31-44 and § 31-44.1 relate to mobile and manufactured homes; § 42-14.2 relates to auto wrecking and salvage yards; § 31-37 relates to advertising and sale of motor fuel at retail; § 31-46-7 relates to auto body salvage re-builders' licenses; and § 3-1 relates to alcoholic beverages. RIGL § 21-28.6 relates to the Medical Marijuana Program; § 2-26 relates to industrial hemp. RIGL § 41-1 Sports, Racing, and Athletics. On 8/11/2016 the statute was amended to Division of Gaming and Athletics Licensing.

Budget

Agency: Department Of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	1,968,154	2,251,496	2,086,360	1,980,897	1,959,085
Total Expenditures	1,968,154	2,251,496	2,086,360	1,980,897	1,959,085
Expenditures by Object					
Salary and Benefits	1,829,218	2,053,674	1,874,357	1,705,301	1,737,082
Contract Professional Services	10,361	45,215	1,040	1,040	1,040
Operating Supplies and Expenses	125,216	144,734	127,762	191,355	137,762
Assistance And Grants	0	0	80,000	80,000	80,000
Subtotal: Operating	1,964,795	2,243,623	2,083,159	1,977,696	1,955,884
Capital Purchases And Equipment	3,359	7,873	3,201	3,201	3,201
Subtotal: Other	3,359	7,873	3,201	3,201	3,201
Total Expenditures	1,968,154	2,251,496	2,086,360	1,980,897	1,959,085
Expenditures by Source of Funds					
General Revenue	845,173	938,966	1,135,403	1,054,015	1,014,252
Restricted Receipts	1,122,981	1,312,530	950,957	926,882	944,833
Total Expenditures	1,968,154	2,251,496	2,086,360	1,980,897	1,959,085

Personnel

Agency: Department Of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR REAL ESTATE	00135A	1.0	99,432	1.0	99,908
ASSOCIATE DIRECTOR DIVISION OF COMMERCIAL LIC AND REGUL	00141A	1.0	129,299	1.0	129,931
CHIEF LICENSING EXAMINER-RACING & ATHLETICS	00137A	1.0	87,688	1.0	91,311
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	1.0	81,754	1.0	82,160
FISCAL CLERK	03714A	0.6	38,353	0.6	39,193
IMPLEMENTATION AIDE	0AB22A	1.0	61,784	1.0	62,091
LICENSING AIDE	0AB15A	4.0	166,163	4.0	169,550
PARI-MUTUEL OPERATIONS SPECIALIST	03726A	4.0	228,841	4.0	234,226
SYSTEMS ANALYST	0AB24A	1.0	59,645	1.0	59,942
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	(0.6)	0	(0.6)	0
Subtotal Classified		14.0	952,959	14.0	968,312
Unclassified					
STATE INSPECTOR-RACING AND ATHLETICS	00859H	0.0	15,764	0.0	15,843
Subtotal Unclassified		0.0	15,764	0.0	15,843
Subtotal		14.0	968,723	14.0	984,155
Transfer In			63,937		64,154
Total Salaries			1,032,660		1,048,309
Benefits					
FICA			79,820		81,013
Health Benefits			188,590		193,680
Payroll Accrual			5,975		6,081
Retiree Health			67,623		60,608
Retirement			290,465		306,607
Subtotal			632,473		647,989
Total Salaries and Benefits		14.0	1,665,133	14.0	1,696,298
Cost Per FTE Position			118,938		121,164
Statewide Benefit Assessment			40,168		40,784
Payroll Costs		14.0	1,705,301	14.0	1,737,082
Purchased Services					
Clerical and Temporary Services			640		640
Other Contracts			400		400
Subtotal			1,040		1,040
Total Personnel		14.0	1,706,341	14.0	1,738,122

Personnel

Agency: Department Of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	9.0	980,119	9.0	993,949
Restricted Receipts	5.0	726,222	5.0	744,173
Total All Funds	14.0	1,706,341	14.0	1,738,122

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Boards for Design Professionals

Mission

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Description

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects. Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints. In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

Statutory History

The Boards of Land Surveyors and Engineers were established by R.I. General Laws § 5-8 in 1938. In 1990, RIGL § 5-8 was amended and RIGL § 5-8.1 was enacted, creating two Boards– The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous. RIGL § 23-27 established the Board of Architects in 1936. This law was repealed in its entirety in 1977 and was replaced by RIGL § 5-1. RIGL § 5-51 establishes the Board of Landscape Architects.

Budget

Agency: Department Of Business Regulation

Boards for Design Professionals

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	5	0	0	0	0
Total Expenditures	5	0	0	0	0
Expenditures by Object					
Salary and Benefits	7	0	0	0	0
Contract Professional Services	1	0	0	0	0
Operating Supplies and Expenses	(3)	0	0	0	0
Subtotal: Operating	5	0	0	0	0
Total Expenditures	5	0	0	0	0
Expenditures by Source of Funds					
General Revenue	5	0	0	0	0
Total Expenditures	5	0	0	0	0

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Office of the Health Insurance Commissioner

Mission

While ensuring the solvency of health insurers, the Office of the Health Insurance Commissioner strives to protect consumers, encourage the fair treatment of providers, and work collaboratively with all interested parties to improve the health care system's quality, accessibility, and affordability.

Description

The Office of the Health Insurance Commissioner (OHIC) is the first state agency dedicated solely to health insurance oversight – balancing traditional regulation with policy development. OHIC's core functions include: (1) consumer protection and market conduct; (2) health plan form and rate review across multiple health insurance lines of business (3) statutory and regulatory enforcement; and (4) policy development for health care system reform. OHIC oversees health insurer business practices in a market comprising over one billion dollars of premium. The Department of Business Regulation shares certain administrative and regulatory services and personnel with the Office of the Health Insurance Commissioner as directed by statute.

Statutory History

R.I. General Laws § 42-14.5-1 established the Office of the Health Insurance Commissioner. Applicable insurer regulations are found in various chapters of the RIGL § 27 and RIGL § 42.

Budget

Agency: Department Of Business Regulation

Office of Health Insurance Commissioner

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	2,644,720	2,921,825	2,572,277	2,760,011	2,631,572
Total Expenditures	2,644,720	2,921,825	2,572,277	2,760,011	2,631,572
Expenditures by Object					
Salary and Benefits	1,754,370	1,978,165	1,851,716	1,736,817	1,909,814
Contract Professional Services	826,479	852,751	618,625	869,225	595,757
Operating Supplies and Expenses	58,250	84,032	101,936	153,969	126,001
Subtotal: Operating	2,639,099	2,914,948	2,572,277	2,760,011	2,631,572
Capital Purchases And Equipment	5,621	6,877	0	0	0
Subtotal: Other	5,621	6,877	0	0	0
Total Expenditures	2,644,720	2,921,825	2,572,277	2,760,011	2,631,572
Expenditures by Source of Funds					
General Revenue	1,483,193	1,560,423	1,717,106	1,717,106	1,730,887
Federal Funds	1,022,931	1,001,014	376,948	534,495	112,983
Restricted Receipts	138,596	360,389	478,223	508,410	787,702
Total Expenditures	2,644,720	2,921,825	2,572,277	2,760,011	2,631,572

Personnel

Agency: Department Of Business Regulation

Office of Health Insurance Commissioner

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE OFFICER	00722A	1.0	51,334	1.0	53,223
ASSOCIATE DIRECTOR FOR PLANNING- POLICY & REGULATION	00743A	1.0	102,747	1.0	143,686
DEPUTY EXECUTIVE ASSISTANT/ COMMUNICATIONS	00841A	0.0	35,575	0.0	0
DIRECTOR OF CONSUMER PROTECTION/ EDUCATION PROGRAMS	00835A	0.0	0	1.0	85,904
EXECUTIVE ASSISTANT/CHIEF OF STAFF	00841A	2.0	245,758	2.0	242,699
EXECUTIVE DIRECTOR	00836A	1.0	101,792	1.0	102,299
HEALTH ECONOMIC SPECIALIST	00831A	1.0	76,717	1.0	81,199
HEALTH INSURANCE COMMISSIONER	00854A	1.0	246,931	1.0	252,592
PRINCIPAL POLICY ASSOCIATE	00837A	2.0	213,217	2.0	194,542
SENIOR POLICY ANALYST	00839A	0.0	0	1.0	98,195
SENIOR POLICY ANALYST/PUBLIC INFORMATION	00831A	2.0	151,978	2.0	86,849
Subtotal Unclassified		11.0	1,226,049	13.0	1,341,188
Subtotal		11.0	1,226,049	13.0	1,341,188
Transfer Out			(87,853)		(100,416)
Total Salaries			1,138,196		1,240,772
Benefits					
FICA			80,281		87,945
Health Benefits			82,084		103,046
Payroll Accrual			6,620		7,225
Retiree Health			75,691		72,833
Retirement			308,986		348,984
Subtotal			553,662		620,033
Total Salaries and Benefits		11.0	1,691,858	13.0	1,860,805
Cost Per FTE Position			153,805		143,139
Statewide Benefit Assessment			44,959		49,009
Payroll Costs		11.0	1,736,817	13.0	1,909,814
Purchased Services					
Management & Consultant Services			869,225		595,757
Subtotal			869,225		595,757
Total Personnel		11.0	2,606,042	13.0	2,505,571

Personnel

Agency: Department Of Business Regulation

Office of Health Insurance Commissioner

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	6.0	1,603,492	6.0	1,647,986
Federal Funds	2.0	527,640	2.0	112,683
Restricted Receipts	3.0	474,910	5.0	744,902
Total All Funds	11.0	2,606,042	13.0	2,505,571

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design & Fire Professionals

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

Description

The Building, Design and Fire Professionals division's purpose is to streamline the state review, regulation, and enforcement for all professions related to building and construction design, inspection, and enforcement of the building and fire codes. The Division is comprised of the Office of the State Fire Marshal (OSFM), the Fire Safety Code Board of Appeal and Review (FSCBAR), the State Building Office (SBO), which includes the Building Code Commission (BCC), the Contractors' Registration and Licensing Board (CRLB), the Boards of Registration for Professional Engineers and Professional Land Surveyors, the Board of Examination and Registration of Architects, and the Board of Examiners of Landscape Architects.

The OSFM has four units: investigations, inspections, plan review, and the Rhode Island State Bomb Squad (Squad). The investigations unit is responsible for conducting fire investigations where arson is suspected, and/or an injury or death has occurred. The Squad is responsible for responding to, mitigating, and investigating all incidents involving explosive materials. The Squad also provides tactical assistance to Federal, State, and local law enforcement entities. The inspections & plan review units work collaboratively and are responsible for reviewing construction plans, issuing building permits and inspecting new and remodeled structures relating to fire safety.

The FSCBAR is charged with the development and administrative review of a comprehensive fire safety code covering the State. Furthermore, the FSCBAR evaluates the proposed use of new fire-related technologies and provides the OSFM, other state agencies and all municipal fire departments with legal, regulatory and technical information.

The SBO is comprised of the BCC which establishes the minimum requirements necessary to protect public health, safety and welfare in the built environment by promulgating model building codes, and conducts plan reviews, inspects and issues building permits on all state-owned buildings; the CRLB which is tasked with the registration and regulation of all contractors, and the licensing and regulation of eight professions in the construction industry, taking administrative action against persons who violate applicable laws and regulations and providing consumer protection through a dispute resolution process; and the Engineers, Land Surveyors, Landscape Architects and Architects Boards for which the Division is responsible for enforcing the laws and regulations applicable to each of those professions.

Statutory History

R.I. General Laws § 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL § 5-65 et al., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. RIGL § 23-28.3-1 governs the Fire Code Board of Appeal and Review. RIGL § 23-28 establishes the Rhode Island State Fire Marshal and defines its duties. RIGL § 5-8.1 establishes the Boards of Engineers Land Surveyors, RIGL § 5-1 establishes the Board of Architects. RIGL § 5-51 establishes the Board of Landscape Architects.

Budget

Agency: Department Of Business Regulation

Division of Building, Design and Fire Professionals

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Contractor's Registration and Licensing Board	328,201	1,553,043	1,809,290	1,608,868	1,242,939
Fire Code Board of Appeal and Review	0	333,666	348,370	357,354	360,346
Fire Marshal	0	4,421,462	5,418,216	5,480,422	5,693,782
State Building Code Commission	0	1,645,575	1,482,826	1,521,033	1,743,461
Total Expenditures	328,201	7,953,746	9,058,702	8,967,677	9,040,528
Expenditures by Object					
Salary and Benefits	270,653	5,998,808	6,352,167	6,447,015	6,344,723
Contract Professional Services	1,784	256,324	380,653	386,036	356,036
Operating Supplies and Expenses	55,764	1,210,191	1,830,722	1,661,448	1,974,769
Assistance And Grants	0	(8,646)	0	32,517	75,000
Aid To Local Units Of Government	0	(575)	0	0	90,000
Subtotal: Operating	328,201	7,456,101	8,563,542	8,527,016	8,840,528
Capital Purchases And Equipment	0	497,645	495,160	440,661	200,000
Subtotal: Other	0	497,645	495,160	440,661	200,000
Total Expenditures	328,201	7,953,746	9,058,702	8,967,677	9,040,528
Expenditures by Source of Funds					
General Revenue	0	5,576,627	5,846,047	5,846,047	6,335,410
Federal Funds	0	6,585	378,840	511,006	656,000
Restricted Receipts	328,201	1,946,556	2,267,456	2,099,551	1,776,105
Operating Transfers from Other Funds	0	423,977	566,359	511,073	273,013
Total Expenditures	328,201	7,953,746	9,058,702	8,967,677	9,040,528

Personnel

Agency: Department Of Business Regulation

Division of Building, Design and Fire Professionals

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	55,448	1.0	56,953
ARCHITECT BUILDING COMMISSION	00335A	1.0	89,719	1.0	90,166
ASSISTANT EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	03629A	3.0	181,536	3.0	188,893
BOMB TECHNICIAN I	03626A	0.0	0	1.0	49,810
BOMB TECHNICIAN III	03632A	1.0	73,205	1.0	76,222
BUILDING CONSTRUCTION INSPECTOR	00320A	1.0	44,737	1.0	46,059
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	82,257	1.0	82,932
CHIEF DEPUTY FIRE MARSHAL	00134A	2.0	154,405	2.0	161,781
CHIEF OF FIRE SAFETY INSPECTIONS	03627A	1.0	71,536	1.0	71,892
CHIEF OF INSPECTIONS	00135A	4.0	366,759	4.0	373,216
CHIEF PLAN REVIEW OFFICER FIRE SAFETY	03627A	1.0	59,349	1.0	61,185
CHIEF STATE BUILDING CODE OFFICIAL (PLUMBING/MECH INSP)	00332A	1.0	87,038	1.0	87,458
CLERK SECRETARY	04016A	1.0	43,645	1.0	43,862
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	117,108	1.0	122,765
DIRECTOR OF FIRE TRAINING	00136A	1.0	86,127	1.0	90,721
ENFORCEMENT AIDE	00319A	1.0	56,789	0.0	0
EXECUTIVE ASSISTANT	00118A	1.0	48,363	1.0	48,603
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF APPEAL & REVIEW	03640A	1.0	110,149	1.0	110,697
FIRE INVESTIGATOR	03622A	1.0	43,974	1.0	45,618
FIRE INVESTIGATOR II	03624A	1.0	47,511	1.0	49,349
FIRE INVESTIGATOR III	03627A	2.0	119,324	2.0	123,895
FIRE INVESTIGATOR IV	03630A	1.0	61,617	1.0	64,132
FIRE SAFETY INSPECTOR II	03623A	13.0	608,917	13.0	630,740
FIRE SAFETY TRAINING OFFICER	03628A	2.0	133,054	2.0	133,715
HEARING OFFICER CONTRACTORS' REGISTRATION BOARD	00334A	1.0	99,016	1.0	99,499
IMPLEMENTATION AIDE	00322A	2.0	121,482	0.0	0
LICENSING AIDE	00315A	1.0	50,441	0.0	0
LICENSING AIDE	03615A	1.0	42,001	1.0	42,210
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	85,270	1.0	88,803
PRINCIPAL STATE BUILDING CODE OFFICIAL (BUILDING)	00331A	1.0	73,795	1.0	74,162
PRINCIPAL STATE BUILDING CODE OFFICIAL (ELECTRICAL)	00331A	2.0	139,493	2.0	144,076
PROGRAMMING SERVICES OFFICER	00131A	1.0	74,754	0.0	0

Personnel

Agency: Department Of Business Regulation

Division of Building, Design and Fire Professionals

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
SENIOR STATE BUILDING CODE OFFICIAL (BUILDING)	00328A	1.0	65,883	1.0	66,210
STATE BUILDING CODE COMMISSIONER	00142A	1.0	115,335	1.0	115,909
Subtotal Classified		55.0	3,610,037	51.0	3,441,533
Unclassified					
ADMINISTRATIVE AIDE	04514A	1.0	43,060	1.0	43,275
ADMINISTRATIVE ASSISTANT	00323A	2.0	116,986	2.0	120,008
ASSISTANT ADMINISTRATIVE OFFICER	04521A	1.0	58,530	1.0	58,816
STATE FIRE MARSHAL	00843A	1.0	125,053	1.0	130,773
Subtotal Unclassified		5.0	343,629	5.0	352,872
Subtotal		60.0	3,953,666	56.0	3,794,405
Overtime (1.5)			143,670		150,232
Turnover			(89,283)		0
Total Salaries			4,008,053		3,944,637
Benefits					
Contract Stipends			9,000		9,300
FICA			301,859		296,727
Health Benefits			598,627		576,682
Payroll Accrual			22,703		22,349
Retiree Health			256,979		222,732
Retirement			1,131,503		1,158,001
Subtotal			2,320,671		2,285,791
Total Salaries and Benefits		60.0	6,328,724	56.0	6,230,428
Cost Per FTE Position			105,479		111,258
Statewide Benefit Assessment			118,291		114,295
Payroll Costs		60.0	6,447,015	56.0	6,344,723
Purchased Services					
Buildings and Ground Maintenance			1,185		1,185
Clerical and Temporary Services			1,200		1,200
Design and Engineering Services			4,200		4,200
Information Technology			40,700		40,700
Medical Services			12,439		12,439
Training and Educational Services			326,312		296,312
Subtotal			386,036		356,036

Personnel

Agency: Department Of Business Regulation

Division of Building, Design and Fire Professionals

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Total Personnel	60.0	6,833,051	56.0	6,700,759
Distribution by Source of Funds				
General Revenue	48.0	5,056,768	46.0	5,398,207
Federal Funds	0.0	56,000	0.0	56,000
Restricted Receipts	12.0	1,649,871	10.0	1,173,539
Operating Transfers from Other Funds	0.0	70,412	0.0	73,013
Total All Funds	60.0	6,833,051	56.0	6,700,759

Program Summary

DEPARTMENT OF BUSINESS REGULATION

Office of Cannabis Regulation

Mission

The Office of Cannabis Regulation (OCR) is charged with regulating adult use marijuana, medical marijuana, and industrial hemp in order to safeguard public health, maintain public safety, and prevent youth access.

Description

OCR has oversight over legal cannabis in the state, which is comprised of three elements: adult use marijuana, medical marijuana, and industrial hemp. The FY 2017 enacted budget moved regulation of the commercial aspects of the state's medical marijuana program from the Department of Health to the Department of Business Regulation. This includes overseeing the state's medical marijuana dispensaries (known as compassion centers); medical marijuana cultivators; caregivers who can grow marijuana on behalf of patients; and patients who choose to grow for themselves. The 2016 General Assembly passed the Hemp Growth Act, which established DBR as the regulator of hemp growers and handlers. As the regulator of adult use marijuana, OCR is tasked with licensing marijuana cultivators, processors, and retailers. OCR processes license application, conducts inspections, and undertakes enforcement actions when needed. OCR works alongside the Division of Taxation to ensure proper collection of marijuana excise and sales taxes, and to license and collect tax from entities selling cannabidiol (CBD) products derived from industrial hemp. OCR aids local and state law enforcement in the proper enforcement of the state's cannabis laws. OCR also helps the Department of Public Health and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals to address the prevention, treatment, and public health aspects of a legal cannabis market.

Statutory History

R.I. General Laws § 21-28.11 establish The Office of Cannabis Regulation. RIGL § 21-28.6 establishes DBR's role as the regulator of the commercial medical marijuana market. RIGL § 2-26 gives DBR oversight over industrial hemp.

Budget

Agency: Department Of Business Regulation

Office of Cannabis Regulation

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Medical Marijuana Program	0	0	1,346,264	1,327,252	1,413,888
State-Control Adult Use Marijuana	0	0	0	0	3,216,792
Total Expenditures	0	0	1,346,264	1,327,252	4,630,680
Expenditures by Object					
Salary and Benefits	0	0	774,663	763,288	2,266,716
Contract Professional Services	0	0	20,000	20,000	20,000
Operating Supplies and Expenses	0	0	496,601	488,964	1,288,964
Subtotal: Operating	0	0	1,291,264	1,272,252	3,575,680
Capital Purchases And Equipment	0	0	55,000	55,000	1,055,000
Subtotal: Other	0	0	55,000	55,000	1,055,000
Total Expenditures	0	0	1,346,264	1,327,252	4,630,680
Expenditures by Source of Funds					
Restricted Receipts	0	0	1,346,264	1,327,252	4,630,680
Total Expenditures	0	0	1,346,264	1,327,252	4,630,680

Personnel

Agency: Department Of Business Regulation

Office of Cannabis Regulation

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	49,916	1.0	51,761
ADMINISTRATIVE OFFICER	0AB24A	1.0	53,567	1.0	56,139
CHIEF OF INSPECTIONS	00135A	0.0	0	1.0	78,631
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	2.0	167,401	6.0	449,745
ECONOMIC AND POLICY ANALYST I	00130A	1.0	64,941	1.0	67,602
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	1.0	104,949	1.0	105,667
INTERDEPARTMENTAL PROJECT MANAGER	00139A	0.0	0	1.0	90,333
INVESTIGATIVE AUDITOR	00133A	1.0	72,555	1.0	75,551
LICENSING AIDE	00315A	0.0	0	3.0	119,127
SENIOR ECONOMIC AND POLICY ANALYST	00134A	0.0	0	1.0	75,753
SENIOR LEGAL COUNSEL	00134A	0.0	0	1.0	75,753
Subtotal Classified		7.0	513,329	18.0	1,246,062
Unclassified					
ADMINISTRATIVE OFFICER	00822A	0.0	0	1.0	50,324
PRINCIPAL AUDITOR	08728A	0.0	0	1.0	65,144
Subtotal Unclassified		0.0	0	2.0	115,468
Subtotal		7.0	513,329	20.0	1,361,530
Turnover			(45,181)		0
Total Salaries			468,148		1,361,530
Benefits					
FICA			35,814		104,974
Health Benefits			78,081		267,383
Payroll Accrual			2,730		7,964
Retiree Health			31,132		79,922
Retirement			128,892		391,165
Subtotal			276,649		851,408
Total Salaries and Benefits		7.0	744,797	20.0	2,212,938
Cost Per FTE Position			106,400		110,647
Statewide Benefit Assessment			18,491		53,778
Payroll Costs		7.0	763,288	20.0	2,266,716
Purchased Services					
Management & Consultant Services			20,000		20,000
Subtotal			20,000		20,000

Personnel

Agency: Department Of Business Regulation

Office of Cannabis Regulation

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Total Personnel	7.0	783,288	20.0	2,286,716
Distribution by Source of Funds				
Restricted Receipts	7.0	783,288	20.0	2,286,716
Total All Funds	7.0	783,288	20.0	2,286,716

Agency Summary

EXECUTIVE OFFICE OF COMMERCE

Agency Mission

To promote and encourage the preservation, expansion, and sound development of new and existing industry, business, commerce, agriculture, tourism, and recreational facilities in the State. To create opportunities for economic stability and employment through a business climate that fosters opportunity for all Rhode Islanders.

Agency Description

The Executive Office of Commerce is authorized and established as the state's lead agency for economic development throughout Rhode Island and serves as the principal agency of the executive branch of state government for managing the promotion of commerce and the economy within the State. The Secretary of Commerce, appointed by the Governor with the advice and consent of the Senate, oversees the Executive Office of Commerce. The Secretary is charged with coordinating a cohesive direction of the State's economic development activities. The agency oversees the state's Office of Housing and Community Development, the Commerce Corporation (and all pass-through grant appropriations), the I-195 Redevelopment District Commission, and the Department of Business Regulation. From time to time, the Executive Office of Commerce is also tasked with facilitating other special governmental programs and initiatives.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I General Laws § 42-64.19 establishes the Executive Office of Commerce.

Budget

Executive Office Of Commerce

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	795,704	885,562	1,921,663	1,921,121	2,642,830
Housing and Community Development	15,915,409	16,417,995	23,206,530	19,978,734	21,262,032
Quasi-Public Appropriations	14,058,345	19,536,750	21,568,258	21,568,258	24,872,258
Economic Development Initiatives Fund	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000
Commerce Programs	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000
Total Expenditures	49,869,458	53,240,307	59,996,451	56,768,113	76,949,120
Expenditures by Object					
Salary And Benefits	1,812,455	1,863,147	2,049,603	2,068,081	2,350,151
Contract Professional Services	51	0	0	0	0
Operating Supplies And Expenses	466,815	375,338	417,617	419,028	730,041
Assistance And Grants	14,493,892	19,055,673	22,697,723	19,449,496	20,721,420
Subtotal: Operating	16,773,213	21,294,158	25,164,943	21,936,605	23,801,612
Capital Purchases And Equipment	2,900	9,301	10,453,250	10,453,250	13,513,250
Operating Transfers	33,093,345	31,936,848	24,378,258	24,378,258	39,634,258
Subtotal: Other	33,096,245	31,946,149	34,831,508	34,831,508	53,147,508
Total Expenditures	49,869,458	53,240,307	59,996,451	56,768,113	76,949,120
Expenditures by Source of Funds					
General Revenue	32,403,452	30,235,018	28,181,129	28,179,484	44,264,097
Federal Funds	8,275,588	11,267,298	17,611,003	14,384,462	15,421,017
Restricted Receipts	6,662,699	4,312,991	4,754,319	4,754,167	4,754,006
Operating Transfers From Other Funds	2,527,719	7,425,000	9,450,000	9,450,000	12,510,000
Total Expenditures	49,869,458	53,240,307	59,996,451	56,768,113	76,949,120
FTE Authorization	17.0	16.0	14.0	14.0	15.0

Personnel Agency Summary

Executive Office Of Commerce

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Classified	11.0	895,087	11.0	907,017
Unclassified	3.0	453,468	4.0	594,827
Subtotal	14.0	1,348,555	15.0	1,501,844
Turnover		(24,397)		0
Total Salaries		1,324,158		1,501,844
Benefits				
FICA		96,356		110,157
Health Benefits		135,496		153,533
Payroll Accrual		7,719		8,773
Retiree Health		88,057		88,159
Retirement		363,992		428,362
Subtotal		691,620		788,984
Total Salaries and Benefits	14.0	2,015,778	15.0	2,290,828
Cost Per FTE Position		143,984		152,722
Statewide Benefit Assessment		52,303		59,323
Payroll Costs	14.0	2,068,081	15.0	2,350,151
Total Personnel	14.0	2,068,081	15.0	2,350,151
Distribution by Source of Funds				
General Revenue	10.0	1,391,364	11.0	1,659,225
Federal Funds	4.0	628,642	4.0	642,348
Restricted Receipts	0.0	48,075	0.0	48,578
Total All Funds	14.0	2,068,081	15.0	2,350,151

Performance Measures

Executive Office Of Commerce

New Jobs Created/to be Created with Assistance from Commerce Jobs Incentive Programs

The figures represent the number of new jobs created/to be created by businesses that received assistance from Commerce Jobs Incentive Programs. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on the number of jobs approved for the Qualified Jobs Incentive as well as jobs approved under the Rebuild Rhode Island Program for Electric Boat (2) Performance measures are measured by calendar year. As a result, 2019 actuals are current through 8/31/2019 and represent PARTIAL TOTALS to date for 2019; (3) 2020 targets will be set via the Performance Management process.

	<i>Reporting Period: Calendar Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		1,000.00	1,000.00	1,000.00	1,000.00	1,000.00
Actual		1,353.00	2,418.00	257.00	0.00	

New Development Created/to be Created with Assistance from Commerce Real Estate Incentive Programs

The figures represent the square feet of new development created/to be created with assistance from Commerce Real Estate Incentive Programs. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on real estate approved for the Rebuild Rhode Island tax credits, I-195 Redevelopment Fund, Tax Stabilization Incentive, and Tax Increment Financing programs; (2) Performance measures are measured by calendar year. As a result, 2019 actuals are current through 8/31/2019 and represent PARTIAL TOTALS; (3) Projects that came before the Commerce Corporation Board for approval on more than one date are counted in the calendar year during which the project was first approved for Rebuild Rhode Island tax credits; (4) 2020 targets will be set via the Performance Management process.

	<i>Reporting Period: Calendar Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		600,000.00	600,000.00	600,000.00	600,000.00	600,000.00
Actual		887,948.00	2,096,298.00	631,799.00	0.00	

Total Real Estate Investment Leveraged by Commerce Real Estate Investment

The figures represent the total real estate investment leveraged by Commerce Real Estate Investments. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on total project cost of real estate projects approved for the Rebuild Rhode Island tax credits, Tax Stabilization Incentive, Tax Increment Financing, and I-195 Redevelopment Fund programs; (2) Performance measures are measured by calendar year. As a result, 2019 actuals are current through 8/31/2019 and represent PARTIAL TOTALS; (3) These figures represent the total project cost. (4) 2020 targets will be set via the Performance Management process.

	<i>Reporting Period: Calendar Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		160,000,000.00	160,000,000.00	160,000,000.00	160,000,000.00	160,000,000.00
Actual		336,793,401.00	1,369,098,540.00	206,685,089.00	0.00	

Performance Measures

Executive Office Of Commerce

Businesses Assisted by Commerce

The figures below represent the number of businesses assisted by Commerce. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on unique businesses contacted or assisted by members of Commerce in each calendar year. (2) Performance measures are measured by calendar year. As a result, 2018 actuals are current through 9/26/2018 and represent PARTIAL TOTALS. (4) In 2016, Commerce contacted or assisted 2,570 in-state entities and 485 out-of-state entities; in 2017, Commerce contacted or assisted 4,218 in-state entities and 562 out-of-state entities; and in 2018 YTD Commerce has contacted or assisted 6,578 in-state entities and 239 out-of-state entities. (5) For YTD 2019, 877 businesses were contacted by staff. This number is based on interactions logged to Salesforce by Commerce RI staff during the period of January 1, 2019 through September 4, 2019. It includes both in-state entities as well as out-of-state entities. This figure does not include interactions that were not logged to Salesforce.

	<i>Reporting Period: Calendar Year</i>				
	2017	2018	2019	2020	2021
Target	5,000.00	5,000.00	5,000.00	5,000.00	5,000.00
Actual	4,780.00	7,184.00	5,470.00	0.00	

Tourist and Visitor Expenditure Numbers

The figures represent the annual tourist and visitor expenditures over the baseline of 2015. 2019 Data for this metric is not yet available. Please see notes: (1) This metric is measured in calendar years. (2) Proxy measures show positive growth for tourist and visitor expenditures. In FY2019, the 5% hotel tax revenue was up 26% over FY15 (FY15 = \$17,219,528, FY19 = \$21,690,421). (3) In 2018, the traveler economy in Rhode Island reached \$6.8 billion, including visitor spending, tourism-related construction, and supporting industries, which is a \$0.8 billion increase over 2015.

	<i>Reporting Period: Calendar Year</i>				
	2017	2018	2019	2020	2021
Target	200,000,000.00	200,000,000.00	200,000,000.00	800,000,000.00	800,000,000.00
Actual	500,000,000.00	800,000,000.00	0.00	0.00	

Program Summary

EXECUTIVE OFFICE OF COMMERCE

Central Management

Mission

To coordinate the administration and financing of the functions within the Executive Office of Commerce.

Description

The Central Management function of the Executive Office of Commerce is overseen by the Secretary of Commerce. This unit oversees the various functions of the Executive Office of Commerce and is responsible for the strategic planning, administration, and financing of economic development in the state.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce.

Budget

Agency: Executive Office Of Commerce

Central Management

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	795,704	885,562	1,921,663	1,921,121	2,642,830
Total Expenditures	795,704	885,562	1,921,663	1,921,121	2,642,830
Expenditures by Object					
Salary and Benefits	699,704	710,293	736,281	735,739	967,408
Operating Supplies and Expenses	161,000	172,640	182,132	182,132	282,172
Assistance And Grants	0	2,531	0	0	390,000
Subtotal: Operating	860,704	885,465	918,413	917,871	1,639,580
Capital Purchases And Equipment	0	0	1,003,250	1,003,250	1,003,250
Operating Transfers	(65,000)	98	0	0	0
Subtotal: Other	(65,000)	98	1,003,250	1,003,250	1,003,250
Total Expenditures	795,704	885,562	1,921,663	1,921,121	2,642,830
Expenditures by Source of Funds					
General Revenue	795,704	885,562	1,921,663	1,921,121	2,642,830
Total Expenditures	795,704	885,562	1,921,663	1,921,121	2,642,830

Personnel

Agency: Executive Office Of Commerce

Central Management

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
DEPUTY CHIEF OF STAFF/POLICY	00845A	1.0	136,059	2.0	275,839
EXECUTIVE ASSISTANT	00836A	1.0	96,945	1.0	97,428
SECRETARY OF COMMERCE	00856A	1.0	220,464	1.0	221,560
Subtotal Unclassified		3.0	453,468	4.0	594,827
Subtotal		3.0	453,468	4.0	594,827
Transfer In			39,612		41,801
Turnover			(3,750)		0
Total Salaries			489,330		636,628
Benefits					
FICA			32,285		43,765
Health Benefits			25,240		40,323
Payroll Accrual			2,851		3,714
Retiree Health			32,541		37,371
Retirement			134,164		180,460
Subtotal			227,081		305,633
Total Salaries and Benefits		3.0	716,411	4.0	942,261
Cost Per FTE Position			238,804		235,565
Statewide Benefit Assessment			19,328		25,147
Payroll Costs		3.0	735,739	4.0	967,408
Total Personnel		3.0	735,739	4.0	967,408
Distribution by Source of Funds					
General Revenue		3.0	735,739	4.0	967,408
Total All Funds		3.0	735,739	4.0	967,408

Program Summary

EXECUTIVE OFFICE OF COMMERCE

Housing & Community Development

Mission

To provide financial and operational support for all housing programs administered by the Housing Resources Commission, Federal Community Development Block (CDBG) program, and related programs. To provide opportunities for healthy and affordable housing through production and the coordination of the homeless system and implementation of the State's plan to end homelessness.

Description

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers Federal Community Development Block Grant (CDBG) funds and related programs. The Housing Resources Commission (HRC) is responsible for the disbursement of funds for a rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

Statutory History

R.I. General Laws § 42-64.19-7(h) establishes the state's housing and community development functions within the Executive Office of Commerce.

Budget

Agency: Executive Office Of Commerce

Housing and Community Development

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Housing and Community Development	9,252,710	12,105,003	18,452,211	15,224,567	16,508,026
Housing Resources Commission	6,662,699	4,312,991	4,754,319	4,754,167	4,754,006
Total Expenditures	15,915,409	16,417,995	23,206,530	19,978,734	21,262,032
Expenditures by Object					
Salary and Benefits	1,112,751	1,152,854	1,313,322	1,332,342	1,382,743
Contract Professional Services	51	0	0	0	0
Operating Supplies and Expenses	305,815	202,698	235,485	236,896	447,869
Assistance And Grants	14,493,892	15,053,142	21,657,723	18,409,496	19,431,420
Subtotal: Operating	15,912,509	16,408,693	23,206,530	19,978,734	21,262,032
Capital Purchases And Equipment	2,900	9,301	0	0	0
Subtotal: Other	2,900	9,301	0	0	0
Total Expenditures	15,915,409	16,417,995	23,206,530	19,978,734	21,262,032
Expenditures by Source of Funds					
General Revenue	977,122	837,706	841,208	840,105	1,087,009
Federal Funds	8,275,588	11,267,298	17,611,003	14,384,462	15,421,017
Restricted Receipts	6,662,699	4,312,991	4,754,319	4,754,167	4,754,006
Total Expenditures	15,915,409	16,417,995	23,206,530	19,978,734	21,262,032

Personnel

Agency: Executive Office Of Commerce

Housing and Community Development

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	99,030	1.0	104,504
ASSISTANT CHIEF OF PLANNING	00137A	1.0	93,498	1.0	93,963
CHIEF OFFICE OF HOUSING AND COMMUNITY DEVELOPMENT	00138A	1.0	113,638	1.0	114,204
HOUSING COMMISSION COORDINATOR	00128A	3.0	215,932	3.0	217,005
PRINCIPAL PLANNER	00129A	1.0	70,142	1.0	72,988
PRINCIPAL PLANNER	00829A	2.0	142,079	2.0	142,786
PRINCIPAL PLANNER	03529A	1.0	78,538	1.0	78,928
PROGRAMMING SERVICES OFFICER	00131A	1.0	82,230	1.0	82,639
Subtotal Classified		11.0	895,087	11.0	907,017
Subtotal		11.0	895,087	11.0	907,017
Transfer Out			(39,612)		(41,801)
Turnover			(20,647)		0
Total Salaries			834,828		865,216
Benefits					
FICA			64,071		66,392
Health Benefits			110,256		113,210
Payroll Accrual			4,868		5,059
Retiree Health			55,516		50,788
Retirement			229,828		247,902
Subtotal			464,539		483,351
Total Salaries and Benefits		11.0	1,299,367	11.0	1,348,567
Cost Per FTE Position			118,124		122,597
Statewide Benefit Assessment			32,975		34,176
Payroll Costs		11.0	1,332,342	11.0	1,382,743
Total Personnel		11.0	1,332,342	11.0	1,382,743
Distribution by Source of Funds					
General Revenue		7.0	655,625	7.0	691,817
Federal Funds		4.0	628,642	4.0	642,348
Restricted Receipts		0.0	48,075	0.0	48,578
Total All Funds		11.0	1,332,342	11.0	1,382,743

Program Summary

EXECUTIVE OFFICE OF COMMERCE

Quasi-Public Appropriations

Mission

To supervise the work of the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other special governmental programs and initiatives in the areas of economic development and research.

Description

This program includes appropriations made to the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other related initiatives.

Statutory History

R.I. General Laws § 42-64.19-6(1) charges the Secretary of Commerce with the supervision of the Rhode Island Commerce Corporation's works, which include pass-through funding to the I-195 Redevelopment District Commission and other economic development programs and functions. RIGL § 42-64 establishes the Rhode Island Commerce Corporation. RIGL §42-64.14 is the I-195 Redevelopment Act of 2011, of which §42-64.14-5 establishes the I-195 Redevelopment District Commission.

Budget

Agency: Executive Office Of Commerce

Quasi-Public Appropriations

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
I-195 Redevelopment District Commission	1,681,657	1,186,000	1,211,000	1,211,000	2,401,000
Quonset Development Corporation	1,607,066	7,000,000	9,000,000	9,000,000	11,000,000
RI Commerce Corporation	7,224,514	7,474,514	7,431,022	7,431,022	7,485,022
RI Commerce Corporation Pass Through Grants	3,545,108	3,876,236	3,926,236	3,926,236	3,986,236
Total Expenditures	14,058,345	19,536,750	21,568,258	21,568,258	24,872,258
Expenditures by Object					
Assistance And Grants	0	4,000,000	1,040,000	1,040,000	900,000
Subtotal: Operating	0	4,000,000	1,040,000	1,040,000	900,000
Capital Purchases And Equipment	0	0	9,450,000	9,450,000	12,510,000
Operating Transfers	14,058,345	15,536,750	11,078,258	11,078,258	11,462,258
Subtotal: Other	14,058,345	15,536,750	20,528,258	20,528,258	23,972,258
Total Expenditures	14,058,345	19,536,750	21,568,258	21,568,258	24,872,258
Expenditures by Source of Funds					
General Revenue	11,530,626	12,111,750	12,118,258	12,118,258	12,362,258
Operating Transfers from Other Funds	2,527,719	7,425,000	9,450,000	9,450,000	12,510,000
Total Expenditures	14,058,345	19,536,750	21,568,258	21,568,258	24,872,258

Program Summary

EXECUTIVE OFFICE OF COMMERCE

Economic Development Initiatives Fund

Mission

To be utilized to fund various economic development initiatives and programs within state government.

Description

This program includes funds for specific development initiatives and programs that the Executive Office uses to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island. Funding was initially appropriated for the Economic Development Initiatives Fund as part of the FY2016 Appropriations Act to support a number of new initiatives and programs designed to spur the development of commerce in the state.

Budget

Agency: Executive Office Of Commerce

Economic Development Initiatives Fund

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Economic Development Initiatives Fund	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000
Total Expenditures	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000
Expenditures by Object					
Operating Transfers	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000
Subtotal: Other	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000
Total Expenditures	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000
Expenditures by Source of Funds					
General Revenue	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000
Total Expenditures	17,800,000	14,300,000	12,100,000	12,100,000	26,100,000

Program Summary

EXECUTIVE OFFICE OF COMMERCE

Commerce Programs

Mission

To house the economic initiatives that will carry out the mission of the Executive Office of Commerce.

Description

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island.

Budget

Agency: Executive Office Of Commerce

Commerce Programs

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Economic Initiatives	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000
Total Expenditures	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000
Expenditures by Object					
Operating Transfers	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000
Subtotal: Other	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000
Total Expenditures	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000
Expenditures by Source of Funds					
General Revenue	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000
Total Expenditures	1,300,000	2,100,000	1,200,000	1,200,000	2,072,000

Agency Summary

DEPARTMENT OF LABOR & TRAINING

Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, leads to an improved economy, and enhance the quality of life for all residents in Rhode Island.

Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services for Rhode Island's job seekers and business community. It executes programs and administers laws governing seven program areas: Central Management, Income Support, Workforce Development Services, Inured Workers Services, Workforce Regulation and Safety, the Labor Relations Board, and the Governor's Workforce Board. The Central Management program is responsible for strategic planning, policy development, and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources. The Income Support program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), Temporary Caregivers Insurance (TCI), and the Police and Fire Relief Fund. The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers with skilled workers. The Injured Workers Services program operates the State's Workers' Compensation System. The Chief Judge Robert F. Arrigan Rehabilitation Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unit educates and provides information to workers and employers regarding Workers' Compensation laws and regulations. The Workforce Regulation and Safety program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures. The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices. The Governor's Workforce Board was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island businesses.

Statutory History

R.I. General Laws § 42-16 created the department in 1996. RIGL § 42-6 authorizes the appointment of the Director of Labor and Training.

Budget

Department Of Labor And Training

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	5,248,494	1,061,259	1,019,628	993,998	1,143,453
Workforce Development Services	25,662,308	22,875,795	26,473,191	35,960,318	24,662,964
Workforce Regulation and Safety	2,898,194	4,163,710	3,231,560	3,227,383	3,867,652
Income Support	364,573,674	369,432,198	384,980,928	380,263,013	390,478,119
Injured Workers Services	9,062,839	9,515,560	10,573,722	12,991,825	12,361,069
Labor Relations Board	415,832	471,970	441,669	441,176	382,908
Governor's Workforce Board	17,633,844	26,261,188	22,293,397	25,316,163	31,839,007
Total Expenditures	425,495,185	433,781,681	449,014,095	459,193,876	464,735,172
Expenditures by Object					
Salary And Benefits	40,107,312	40,163,767	42,113,114	42,467,725	44,827,772
Contract Professional Services	4,329,317	4,264,981	4,484,541	4,899,414	5,155,284
Operating Supplies And Expenses	12,164,625	12,232,183	10,766,354	13,037,781	12,858,444
Assistance And Grants	360,967,840	369,639,559	383,275,525	390,428,003	393,534,812
Subtotal: Operating	417,569,094	426,300,490	440,639,534	450,832,923	456,376,312
Capital Purchases And Equipment	480,151	37,647	150,300	136,692	134,599
Operating Transfers	7,445,940	7,443,544	8,224,261	8,224,261	8,224,261
Subtotal: Other	7,926,091	7,481,191	8,374,561	8,360,953	8,358,860
Total Expenditures	425,495,185	433,781,681	449,014,095	459,193,876	464,735,172
Expenditures by Source of Funds					
General Revenue	12,876,544	15,403,229	14,679,932	14,673,124	22,922,142
Federal Funds	37,929,711	34,053,953	38,284,651	45,312,942	36,742,412
Restricted Receipts	29,479,713	33,482,929	30,022,846	36,379,619	33,283,256
Operating Transfers From Other Funds	206,165	(0)	0	0	0
Other Funds	345,003,052	350,841,570	366,026,666	362,828,190	371,787,361
Total Expenditures	425,495,185	433,781,681	449,014,095	459,193,876	464,735,172
FTE Authorization	428.7	409.7	390.7	390.7	395.7

Personnel Agency Summary

Department Of Labor And Training

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Classified	376.7	24,444,691	380.7	24,892,644
Unclassified	14.0	1,353,063	15.0	1,371,299
Subtotal	390.7	25,797,754	395.7	26,263,943
Transfer In		66,804		69,456
Overtime (1.5)		40,400		40,400
Seasonal/Special Salaries/Wages		153,545		153,545
Turnover		(182,031)		(40,807)
Total Salaries		25,876,472		27,166,537
Benefits				
FICA		1,975,585		2,029,701
Health Benefits		4,751,043		5,180,087
Payroll Accrual		150,123		157,992
Retiree Health		1,708,476		1,583,818
Retirement		6,991,181		7,643,855
Subtotal		15,576,408		16,595,453
Total Salaries and Benefits	390.7	41,452,880	395.7	43,761,990
Cost Per FTE Position		106,099		110,594
Statewide Benefit Assessment		1,014,845		1,065,782
Payroll Costs	390.7	42,467,725	395.7	44,827,772
Purchased Services				
Clerical and Temporary Services		66,783		85,399
Information Technology		475,091		477,233
Legal Services		276,061		276,061
Management & Consultant Services		707,685		819,785
Medical Services		1,896,905		1,896,905
Other Contracts		1,418,323		1,541,335
Training and Educational Services		58,565		58,565
Subtotal		4,899,414		5,155,284
Total Personnel	390.7	47,367,139	395.7	49,983,056
Distribution by Source of Funds				
General Revenue	85.7	3,561,121	90.7	4,068,953
Federal Funds	178.5	17,888,210	178.5	21,176,184
Restricted Receipts	52.0	16,720,922	52.0	15,415,285
Other Funds	74.5	9,196,885	74.5	9,322,633
Total All Funds	390.7	47,367,139	395.7	49,983,056

Performance Measures

Department Of Labor And Training

Timeliness of Unemployment Insurance (UI) First Benefit Payments

The figures below represent the percentage of initial UI claims for benefits paid within 14 days. The United States Department of Labor has set a target of 87 percent. [Notes: Updated actual data for 2017 and target for 2017 & 2018. 2018 actual data indicated includes 1st through 3rd quarter - 4th quarter 2018 data is not yet available. Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	87.00%	93.00%	87.00%	87.00%	87.00%
Actual	93.40%	92.10%	91.80%	0.00%	

Timeliness of UI Adjudication Decisions

The figures below represent the percentage of contested UI claims adjudicated within 21 days. The United States Department of Labor has set a target of 80 percent. [Notes: Updated target for 2017 & 2018. 2018 actual data indicated includes 1st through 3rd quarter - 4th quarter 2018 data is not yet available. Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	80.00%	80.00%	80.00%	80.00%	80.00%
Actual	92.10%	91.40%	90.00%	0.00%	

UI Call Center Wait Times

The figures below represent the average amount of time in minutes a caller spends on hold before reaching an agent in the UI call center. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	15.00	10.00	15.00	20.00	20.00
Actual	10.00	13.00	19.00	0.00	

Timeliness of Labor Standards Case Closure

Labor Standards cases are considered closed when the wage claim is dismissed as not valid, settled, or referred to a hearing. The figures below represent the percentage of cases closed in 90 days or less from date of assignment to examiner. [Notes: 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	75.00%	65.00%	62.00%	45.00%	45.00%
Actual	45.00%	56.00%	34.00%	0.00%	

Real Jobs Rhode Island Employer Engagement

The figures below represent the number of employers participating in Real Jobs Partnerships. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	200.00	200.00	400.00	324.00	324.00
Actual	330.00	235.00	370.00	0.00	

Performance Measures

Department Of Labor And Training

Real Jobs Rhode Island Job Placements

The figures below represent job placements through Real Jobs Partnerships. [Note: Missing values appear as zeros in the measure.]

Frequency: Annual

Reporting Period: Calendar Year

	2017	2018	2019	2020	2021
Target	557.00	590.00	1,500.00	2,108.00	2,108.00
Actual	800.00	2,300.00	2,830.00	0.00	

Program Summary

DEPARTMENT OF LABOR & TRAINING

Central Management

Mission

To provide leadership, management and strategic planning for the development and implementation of a cost efficient and effective service delivery system. To provide competent legal representation and consultation to all departmental staffing the execution of programs and services. To provide comprehensive financial management, professional staff development and management information services to all divisions within the department.

Description

The Department, headed by the Director of Labor and Training, has seven programmatic functions. These include Central Management, Workforce Development Services, Workforce Regulation & Safety, Income Support, Injured Workers Services, the Labor Relations Board, and the Governor's Workforce Board.

The Central Management program, led by the Director's Office, provides leadership, management, strategic and budgetary planning, communications, and program oversight for all departmental initiatives. This includes the coordination and sharing of information with the Governor's Office, the Department of Administration, all state departments and agencies, U.S. Department of Labor and state and federal stakeholders.

The Central Management Program provides administrative services for the Department including business and financial services, active data and performance management, and legal services. The Business Office is responsible for preparing annual budgets, performing appropriation control and cash management functions, federal and state financial reporting requirements, and the Department's purchasing functions.

The Data and Performance Unit is responsible for tracking, analyzing and dissemination of all programmatic data for the Department.

The Legal Services Unit is responsible for establishing, managing and maintaining legal resources to support the Department of Labor and Training. The Unit also provides proactive legal assistance to the Director and various divisions within the Department.

Furthermore, Central Management includes the operation of a central stock and mail room, coordination of the maintenance and support of Department facilities, incident response and management, and other ancillary services. Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration.

Statutory History

R.I. General Laws § 42 establishes the responsibilities of the Director.

Budget

Agency: Department Of Labor And Training

Central Management

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	5,248,494	1,061,259	1,019,628	993,998	1,143,453
Total Expenditures	5,248,494	1,061,259	1,019,628	993,998	1,143,453
Expenditures by Object					
Salary and Benefits	662,138	256,079	195,394	208,585	212,252
Contract Professional Services	101,971	67,099	56,178	15,846	15,846
Operating Supplies and Expenses	4,193,897	738,020	767,795	769,306	915,094
Assistance And Grants	8	12	18	18	18
Subtotal: Operating	4,958,014	1,061,209	1,019,385	993,755	1,143,210
Capital Purchases And Equipment	290,480	50	243	243	243
Subtotal: Other	290,480	50	243	243	243
Total Expenditures	5,248,494	1,061,259	1,019,628	993,998	1,143,453
Expenditures by Source of Funds					
General Revenue	4,701,833	808,945	797,120	797,120	940,901
Restricted Receipts	340,496	252,314	222,508	196,878	202,552
Operating Transfers from Other Funds	206,165	(0)	0	0	0
Total Expenditures	5,248,494	1,061,259	1,019,628	993,998	1,143,453

Personnel

Agency: Department Of Labor And Training

Central Management

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	56,262	1.0	56,951
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	2.0	186,245	2.0	187,925
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	110,240	1.0	110,788
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	1.0	81,914	1.0	84,340
ASSISTANT CHIEF OF PLANNING	00137A	1.0	93,498	1.0	93,962
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	3.0	192,527	3.0	199,917
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	128,486	1.0	129,114
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERVICES	00143A	1.0	132,472	1.0	133,132
ASSISTANT DIRECTOR FOR BUSINESS AFFAIRS (DLT)	00139A	1.0	105,044	1.0	105,569
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	81,319	1.0	81,712
CENTRAL MAIL ROOM CLERK	00311G	2.0	77,796	2.0	77,796
CHIEF IMPLEMENTATION AIDE	00128A	3.0	213,262	3.0	216,475
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	99,514	1.0	100,792
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	1.0	82,053	1.0	82,053
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	5.0	372,065	5.0	383,432
DEPUTY DIRECTOR (DLT)	00144A	1.0	151,808	1.0	152,558
DLT BUSINESS OFFICER	00321A	3.0	156,080	3.0	160,280
EMPLOYMENT AND TRAINING ASSISTANT	00316A	1.0	52,593	1.0	52,853
FISCAL MANAGEMENT OFFICER	00B26A	1.0	77,588	1.0	77,960
IMPLEMENTATION AIDE	00322A	1.0	59,600	1.0	59,888
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	84,846	1.0	84,846
LEGAL ASSISTANT	00319A	1.0	43,430	1.0	44,627
PRINCIPAL DLT BUSINESS OFFICER	00127A	2.0	129,386	2.0	131,516
PROGRAMMING SERVICES OFFICER	00131A	1.0	73,578	1.0	75,128
SENIOR COMPUTER OPERATOR	00318A	2.0	96,014	2.0	97,397
SENIOR DLT BUSINESS OFFICER	00324A	4.0	255,240	4.0	258,898
SUPERVISING DLT BUSINESS OFFICER	00132A	1.0	91,970	1.0	92,427
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	74,752	1.0	75,128
ZFTE RECONCILIATION TO AUTHORIZATION	00000A	0.7	0	0.7	0
Subtotal Classified		45.7	3,359,582	45.7	3,407,464
Unclassified					
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	20948f	1.0	135,000	1.0	135,000

Personnel

Agency: Department Of Labor And Training

Central Management

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
EXECUTIVE COUNSEL	00839A	1.0	115,456	1.0	115,456
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	4.5	465,080	4.5	466,620
SPECIAL ASSISTANT	00829A	1.0	67,480	1.0	70,260
Subtotal Unclassified		7.5	783,016	7.5	787,336
Subtotal		53.2	4,142,598	53.2	4,194,800
Transfer Out			(4,012,726)		(4,063,094)
Total Salaries			129,872		131,706
Benefits					
FICA			9,936		10,076
Health Benefits			18,461		18,955
Payroll Accrual			757		770
Retiree Health			8,637		7,731
Retirement			35,792		37,811
Subtotal			73,583		75,343
Total Salaries and Benefits		53.2	203,455	53.2	207,049
Cost Per FTE Position			3,824		3,892
Statewide Benefit Assessment			5,130		5,203
Payroll Costs		53.2	208,585	53.2	212,252
Purchased Services					
Legal Services			5,000		5,000
Management & Consultant Services			10,846		10,846
Subtotal			15,846		15,846
Total Personnel		53.2	224,431	53.2	228,098
Distribution by Source of Funds					
General Revenue		53.2	84,205	53.2	84,956
Restricted Receipts		0.0	140,226	0.0	143,142
Total All Funds		53.2	224,431	53.2	228,098

Program Summary

DEPARTMENT OF LABOR & TRAINING

Workforce Development Services

Mission

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

Description

The Workforce Development Services consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs: The Employment Service subprogram provides Rhode Island workers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff. For example, in serving Veterans these services are provided by representatives who are Veterans and they are given priority for referrals to job openings. The Workforce Innovation and Opportunity Act subprogram provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities. The Trade Adjustment Assistance (TAA) subprogram provides monetary benefits and/or educational assistance to workers who have lost their jobs or whose hours of work and wages have been reduced due to increase imports or a shift in production out of the United States. DLT coordinates with the USDOL's Employment and Training Administration, filing petitions on behalf of the affected worker. TAA services may include job search assistance, educational, interest and aptitude assessment, waivers to training for individuals who pursue employment utilizing their current skills, educational and occupational training, on-the- job training, and relocation allowances and wage supplements. The Alternative Trade Adjustment Assistance program (ATAA) provides additional support services to individuals 50 years of age and older. The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible. Additional skills building, training and education opportunities are explored once the work requirement has been achieved.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created trade related training programs.

Budget

Agency: Department Of Labor And Training

Workforce Development Services

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Employment Services	3,639,216	3,611,677	4,040,138	3,965,888	3,603,105
Labor Market Information	727,852	765,654	750,903	817,947	833,327
Veteran Services	665,499	596,619	643,314	561,961	534,990
WIOA & Other Training Programs	20,629,741	17,901,845	21,038,836	30,614,522	19,691,542
Total Expenditures	25,662,308	22,875,795	26,473,191	35,960,318	24,662,964
Expenditures by Object					
Salary and Benefits	9,994,199	9,716,877	11,036,338	10,614,341	11,036,198
Contract Professional Services	234,778	481,152	372,241	201,624	201,624
Operating Supplies and Expenses	2,348,842	3,304,872	3,213,977	4,338,818	2,742,740
Assistance And Grants	13,015,850	9,266,610	11,750,881	20,718,888	10,596,533
Subtotal: Operating	25,593,669	22,769,511	26,373,437	35,873,671	24,577,095
Capital Purchases And Equipment	68,639	11,096	60,493	47,386	46,608
Operating Transfers	0	95,189	39,261	39,261	39,261
Subtotal: Other	68,639	106,285	99,754	86,647	85,869
Total Expenditures	25,662,308	22,875,795	26,473,191	35,960,318	24,662,964
Expenditures by Source of Funds					
General Revenue	640,921	744,420	826,757	826,757	1,178,301
Federal Funds	24,756,709	21,366,666	25,449,292	35,055,746	23,445,003
Other Funds	264,678	764,710	197,142	77,815	39,660
Total Expenditures	25,662,308	22,875,795	26,473,191	35,960,318	24,662,964

Personnel

Agency: Department Of Labor And Training

Workforce Development Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	56,263	1.0	56,953
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	109,850	1.0	110,788
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	7.0	520,542	7.0	525,211
ASSISTANT DIRECTOR FOR LABOR MARKET INFO & MGMT SVS DLT	00139A	1.0	120,766	1.0	121,363
ASSISTANT DIRECTOR FOR PLANNING AND PROGRAM DEVEL (DLT)	00139A	1.0	120,351	1.0	120,937
BUSINESS SERVICES SPECIALIST	00324A	5.0	292,957	5.0	294,416
CHIEF IMPLEMENTATION AIDE	00128A	1.0	64,720	1.0	67,990
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	72,597	1.0	72,960
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	4.0	367,413	4.0	371,711
CHIEF OF RESEARCH AND ANALYSIS	00134A	1.0	93,506	1.0	93,965
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	11.0	858,925	11.0	867,616
DISABLED VETERANS JOB ASSISTANT	00320A	2.0	100,509	2.0	101,010
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	103,297	1.0	103,806
LOCAL VETERANS EMPLOYMENT REPRESENTATIVE	00320A	2.0	98,059	2.0	98,545
MANAGEMENT ASSISTANCE SUPERVISOR	00131A	3.0	233,524	3.0	237,646
OFFICE MANAGER	00123A	1.0	54,594	1.0	54,867
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	00322A	0.0	0	1.0	47,231
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	00323A	35.0	2,007,649	35.0	2,018,052
PRINCIPAL EMPLOYMENT SECURITY INTERVIEWER	00323A	1.0	65,722	1.0	66,040
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	00126A	2.0	125,278	2.0	125,889
SENIOR RESEARCH TECHNICIAN	00323A	3.0	175,960	3.0	176,829
Subtotal Classified		84.0	5,642,482	85.0	5,733,825
Subtotal		84.0	5,642,482	85.0	5,733,825
Transfer Out			(703,286)		(707,168)
Transfer In			1,674,084		1,697,209
Turnover			(138,325)		(66,560)
Total Salaries			6,474,955		6,657,306

Personnel

Agency: Department Of Labor And Training

Workforce Development Services

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Benefits				
FICA		495,189		509,157
Health Benefits		1,156,168		1,287,869
Payroll Accrual		37,685		38,847
Retiree Health		430,580		390,783
Retirement		1,764,003		1,889,262
Subtotal		3,883,625		4,115,918
Total Salaries and Benefits	84.0	10,358,580	85.0	10,773,224
Cost Per FTE Position		123,316		126,744
Statewide Benefit Assessment		255,761		262,974
Payroll Costs	84.0	10,614,341	85.0	11,036,198
Purchased Services				
Information Technology		4,843		4,843
Management & Consultant Services		158,575		158,575
Other Contracts		38,046		38,046
Training and Educational Services		160		160
Subtotal		201,624		201,624
Total Personnel	84.0	10,815,965	85.0	11,237,822
Distribution by Source of Funds				
General Revenue	0.0	0	1.0	83,165
Federal Funds	84.0	10,815,965	84.0	11,154,657
Total All Funds	84.0	10,815,965	85.0	11,237,822

Program Summary

DEPARTMENT OF LABOR & TRAINING

Workforce Regulation & Safety

Mission

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Description

The Workforce Regulation & Safety program is charged with enforcing the safety laws and regulations that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws. The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework. The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures. The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public. The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions. The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Statutory History

In 1939, R.I. General Laws § 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training, effective August 6, 1996 by 96-H-8219 Sub A.

Budget

Agency: Department Of Labor And Training

Workforce Regulation and Safety

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Labor Standards	553,452	709,881	641,075	606,863	1,041,170
Occupational Safety	743,084	931,177	691,723	714,185	732,958
Professional Regulations	1,601,658	2,522,652	1,898,762	1,906,335	2,093,524
Total Expenditures	2,898,194	4,163,710	3,231,560	3,227,383	3,867,652
Expenditures by Object					
Salary and Benefits	2,319,177	3,526,525	2,814,629	2,693,230	3,145,892
Contract Professional Services	45,576	17,772	19,188	142,484	284,068
Operating Supplies and Expenses	522,321	618,507	394,824	388,762	436,100
Assistance And Grants	231	230	349	349	349
Subtotal: Operating	2,887,305	4,163,034	3,228,990	3,224,825	3,866,409
Capital Purchases And Equipment	10,889	676	2,570	2,558	1,243
Subtotal: Other	10,889	676	2,570	2,558	1,243
Total Expenditures	2,898,194	4,163,710	3,231,560	3,227,383	3,867,652
Expenditures by Source of Funds					
General Revenue	2,898,194	4,163,710	3,231,560	3,227,383	3,867,652
Total Expenditures	2,898,194	4,163,710	3,231,560	3,227,383	3,867,652

Personnel

Agency: Department Of Labor And Training

Workforce Regulation and Safety

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00324A	1.0	68,275	1.0	68,613
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	110,239	1.0	110,787
APPRENTICESHIP TRAINING COORDINATOR	00324A	1.0	59,441	1.0	59,737
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	00140A	1.0	105,144	1.0	105,666
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	00330A	1.0	64,540	1.0	67,090
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM. OF ELECT.)	00330A	1.0	67,563	1.0	69,503
CHIEF ELEVATOR INSPECTOR	00330A	1.0	78,124	1.0	78,513
CHIEF IMPLEMENTATION AIDE	00128A	1.0	63,888	1.0	66,771
CHIEF IMPLEMENTATION AIDE	00328A	1.0	77,886	1.0	78,271
CHIEF LABOR STANDARDS EXAMINER	00330A	1.0	86,200	1.0	86,942
CHIEF LICENSING EXAMINER-DIVISION OF COMM LICEN & REGUL	00333A	1.0	94,249	1.0	94,704
CHIEF MECHANICAL INVESTIGATOR (BD OF MECHANICAL EXAMINERS)	00330A	1.0	77,962	1.0	78,333
CHIEF PLUMBING INVESTIGATOR (BD OF PLUMBING EXAMINERS)	00330A	1.0	82,358	1.0	85,650
CHIEF PREVAILING WAGE INVESTIGATOR	00330A	1.0	83,648	1.0	84,055
CLERK SECRETARY	00B16A	1.0	46,169	1.0	46,399
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	84,113	1.0	87,832
IMPLEMENTATION AIDE	00322A	3.0	179,121	3.0	180,009
INDUSTRIAL SAFETY TECHNICIAN (BOILER INSPECTION)	00322A	1.0	64,122	1.0	64,436
LABOR STANDARDS EXAMINER	00322A	4.0	224,790	7.0	367,601
PREVAILING WAGE INVESTIGATOR	00322A	1.0	55,210	1.0	55,486
SENIOR INDUSTRIAL SAFETY SPECIALIST (DLT)	00328A	1.0	59,817	1.0	62,133
SENIOR PREVAILING WAGE INVESTIGATOR	00327A	1.0	66,576	1.0	66,907
SUPERVISOR APPRENTICESHIP TRAINING PROGRAMS	00327A	2.0	149,004	2.0	149,746
Subtotal Classified		29.0	2,048,439	32.0	2,215,184
Unclassified					
CHIEF HOISTING ENGINEER INVESTIGATOR	00328A	1.0	64,956	1.0	67,530
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.5	48,782	1.5	147,321
Subtotal Unclassified		1.5	113,738	2.5	214,851
Subtotal		30.5	2,162,177	34.5	2,430,035
Transfer Out			(839,447)		(849,911)
Transfer In			327,834		332,195

Personnel

Agency: Department Of Labor And Training

Workforce Regulation and Safety

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Total Salaries		1,650,564		1,912,319
Benefits				
FICA		126,173		146,222
Health Benefits		283,321		348,557
Payroll Accrual		9,603		11,144
Retiree Health		109,764		112,251
Retirement		448,605		539,863
Subtotal		977,466		1,158,037
Total Salaries and Benefits	30.5	2,628,030	34.5	3,070,356
Cost Per FTE Position		86,165		88,996
Statewide Benefit Assessment		65,200		75,536
Payroll Costs	30.5	2,693,230	34.5	3,145,892
Purchased Services				
Clerical and Temporary Services		18,616		37,232
Information Technology		1,642		3,284
Management & Consultant Services		112,100		224,200
Other Contracts		9,226		18,452
Training and Educational Services		900		900
Subtotal		142,484		284,068
Total Personnel	30.5	2,835,714	34.5	3,429,960
Distribution by Source of Funds				
General Revenue	30.5	2,835,714	34.5	3,429,960
Total All Funds	30.5	2,835,714	34.5	3,429,960

Program Summary

DEPARTMENT OF LABOR & TRAINING

Income Support

Mission

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement. For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods to excel. For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

Description

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits. Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating. Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work-related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions. TDI also provides up to 4 weeks of Temporary Caregiver benefits for individuals to care for a seriously ill child, spouse, domestic partner, parent, parent-in-law, or grandparent or to bond with a newborn child, adopted child or foster child. The Caregiver must provide medical proof of the seriously ill family member and bonding claims must provide proof of relationship with the child to meet eligibility requirements. The minimum earnings standard is the same as for TDI. Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

Statutory History

R.I. General Laws § 28-39 through § 28-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. RIGL § 45-19 relates to Police and Fire Funds.

Budget

Agency: Department Of Labor And Training

Income Support

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Fire and Police	4,219,764	3,781,503	3,811,080	3,810,698	3,837,259
TDI	194,405,200	200,485,810	203,094,524	207,415,375	211,912,701
Unemployment Insurance	165,948,710	165,164,885	178,075,324	169,036,939	174,728,158
Total Expenditures	364,573,674	369,432,198	384,980,928	380,263,013	390,478,119
Expenditures by Object					
Salary and Benefits	19,034,160	18,364,615	17,890,164	18,987,447	20,254,576
Contract Professional Services	1,344,610	949,064	1,265,871	702,373	702,873
Operating Supplies and Expenses	3,300,299	5,890,413	4,633,007	4,581,343	4,644,358
Assistance And Grants	333,342,524	336,865,543	352,931,821	347,731,822	356,616,284
Subtotal: Operating	357,021,593	362,069,635	376,720,863	372,002,985	382,218,091
Capital Purchases And Equipment	111,429	14,207	75,065	75,028	75,028
Operating Transfers	7,440,652	7,348,356	8,185,000	8,185,000	8,185,000
Subtotal: Other	7,552,081	7,362,563	8,260,065	8,260,028	8,260,028
Total Expenditures	364,573,674	369,432,198	384,980,928	380,263,013	390,478,119
Expenditures by Source of Funds					
General Revenue	4,219,764	5,264,184	3,932,826	3,930,688	3,852,380
Federal Funds	13,173,002	12,687,287	12,835,359	10,257,196	13,297,409
Restricted Receipts	2,442,534	1,403,867	2,383,219	3,324,753	1,580,628
Other Funds	344,738,374	350,076,860	365,829,524	362,750,375	371,747,701
Total Expenditures	364,573,674	369,432,198	384,980,928	380,263,013	390,478,119

Personnel

Agency: Department Of Labor And Training

Income Support

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	59,505	1.0	59,801
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	129,532	1.0	130,176
ASSISTANT COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRAMS	00129A	1.0	69,142	1.0	69,486
BENEFIT CLAIMS SPECIALIST	00323A	45.0	2,620,823	45.0	2,640,825
BUSINESS SERVICES SPECIALIST	00324A	2.0	113,220	2.0	113,784
CHIEF IMPLEMENTATION AIDE	00128A	1.0	62,020	1.0	65,062
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	3.0	267,284	3.0	272,280
CHIEF REFEREE - BOARD OF REVIEW	00138A	1.0	116,734	1.0	117,311
COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRAMS	00131A	1.0	74,754	1.0	75,126
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	102,262	1.0	102,772
EMPLOYMENT AND TRAINING ASSISTANT	00316A	2.0	95,586	2.0	96,056
EMPLOYMENT AND TRAINING FINANCIAL ANALYST	00132A	1.0	77,672	1.0	78,058
EMPLOYMENT AND TRAINING MANAGER	00126A	11.0	694,029	11.0	699,253
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	00320A	10.0	480,605	10.0	492,874
EMPLOYMENT & TRAINING INTERVIEWER & INTERPR (PORTUGUESE	00320A	3.0	141,183	3.0	144,946
FRAUD AND OVERPAYMENT INVESTIGATOR	00321A	4.0	213,551	4.0	214,610
INTERPRETER (SPANISH)	00316A	3.0	132,889	3.0	134,337
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	112,512	1.0	116,748
NURSING CARE EVALUATOR	00520A	3.0	226,995	3.0	230,825
OFFICE MANAGER	00123A	1.0	65,857	1.0	66,182
PRINCIPAL CLERK-TYPIST	00312A	1.0	39,744	1.0	39,942
PRINCIPAL EMPLOYMENT AND TRAINING MANAGER	00130A	6.0	470,723	6.0	475,389
REFEREE - BOARD OF REVIEW	00137A	3.0	335,133	3.0	336,749
SENIOR EMPLOYMENT AND TRAINING INTERVIEWER	00320A	47.0	2,364,419	47.0	2,385,754
SENIOR EMPLOYMENT AND TRAINING MANAGER	00128A	1.0	61,350	1.0	64,060
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	00126A	7.0	454,712	7.0	456,973
SENIOR RESEARCH TECHNICIAN	00323A	1.0	64,210	1.0	64,529
SENIOR REVENUE POLICY ANALYST (DOR)	00323A	1.0	57,378	1.0	57,664
SENIOR WORD PROCESSING TYPIST	00312A	3.0	118,116	3.0	119,629
Subtotal Classified		166.0	9,821,940	166.0	9,921,201
Unclassified					

Personnel

Agency: Department Of Labor And Training

Income Support

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
CHAIRPERSON MEMBER OF BOARD OF REVIEW (ES)	00837A	1.0	100,289	1.0	54,270
CONFIDENTIAL SECRETARY	00818A	1.0	57,609	1.0	57,896
LEGAL COUNSEL (BOARD OF REVIEW)	00889F	0.5	48,782	0.5	48,782
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.5	48,782	0.5	48,782
Subtotal Unclassified		3.0	255,462	3.0	209,730
Subtotal		169.0	10,077,402	169.0	10,130,931
Transfer Out			(1,218,542)		(1,237,773)
Transfer In			2,624,223		2,649,430
Overtime (1.5)			40,400		40,400
Seasonal/Special Salaries/Wages			56,562		56,562
Turnover			(43,706)		(40,807)
Total Salaries			11,536,339		12,278,743
Benefits					
FICA			878,898		891,055
Health Benefits			2,184,194		2,372,227
Payroll Accrual			66,796		71,269
Retiree Health			760,699		715,068
Retirement			3,108,653		3,445,043
Subtotal			6,999,240		7,494,662
Total Salaries and Benefits		169.0	18,535,579	169.0	19,773,405
Cost Per FTE Position			109,678		117,002
Statewide Benefit Assessment			451,868		481,171
Payroll Costs		169.0	18,987,447	169.0	20,254,576
Purchased Services					
Clerical and Temporary Services			34,218		34,218
Information Technology			86,314		86,814
Legal Services			250,000		250,000
Management & Consultant Services			224,200		224,200
Medical Services			50,000		50,000
Other Contracts			57,640		57,640
Subtotal			702,373		702,873
Total Personnel		169.0	19,689,820	169.0	20,957,449

Personnel

Agency: Department Of Labor And Training

Income Support

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	0.0	225,465	0.0	121,735
Federal Funds	94.5	7,072,245	94.5	10,021,527
Restricted Receipts	0.0	3,195,224	0.0	1,491,553
Other Funds	74.5	9,196,885	74.5	9,322,633
Total All Funds	169.0	19,689,820	169.0	20,957,449

Program Summary

DEPARTMENT OF LABOR & TRAINING

Injured Workers Services

Mission

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Description

The Injured Workers Services program monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation Administrative Unit, the Chief Judge Robert F. Arrigan Rehabilitation Center, and the Fraud Prevention and Compliance Unit. The work of the Division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals. The Division also responds to compliance and fraud issues. The Workers' Compensation Administrative Unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The Unit collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court. It also provides limited reimbursement to eligible insurers and claimants. The Education sub-unit provides training services throughout the State for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act. The Unit also has an outreach program to educate high school students on workplace safety and employee rights. These services are funded through the Administrative Fund assessment and are offered at no charge. The Arrigan Rehabilitation Center's Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Arrigan Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment. Through physical and vocational training, it assists clients to overcome the physical and vocational obstacles that may impede their ability to return to work and to stay employed. The Fraud Prevention and Compliance Unit detects, prevents, and refers for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, and ensures employer and insurer compliance with the requirements of the Workers' Compensation Act.

Statutory History

R.I. General Laws § 28-29 through § 28-38 contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

Budget

Agency: Department Of Labor And Training

Injured Workers Services

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Education & Rehabilitation	5,054,197	5,112,668	5,106,233	5,940,195	6,190,408
Workers' Comp Compliance	4,008,642	4,402,891	5,467,489	7,051,630	6,170,661
Total Expenditures	9,062,839	9,515,560	10,573,722	12,991,825	12,361,069
Expenditures by Object					
Salary and Benefits	4,313,227	4,666,013	5,618,971	5,324,988	5,395,902
Contract Professional Services	2,320,752	2,502,595	2,469,501	3,608,067	3,614,228
Operating Supplies and Expenses	1,439,657	1,295,747	1,415,347	1,592,158	1,841,036
Assistance And Grants	1,002,809	1,042,498	1,065,396	2,462,105	1,505,396
Subtotal: Operating	9,076,445	9,506,853	10,569,215	12,987,318	12,356,562
Capital Purchases And Equipment	(13,606)	8,707	4,507	4,507	4,507
Subtotal: Other	(13,606)	8,707	4,507	4,507	4,507
Total Expenditures	9,062,839	9,515,560	10,573,722	12,991,825	12,361,069
Expenditures by Source of Funds					
Restricted Receipts	9,062,839	9,515,560	10,573,722	12,991,825	12,361,069
Total Expenditures	9,062,839	9,515,560	10,573,722	12,991,825	12,361,069

Personnel

Agency: Department Of Labor And Training

Injured Workers Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	00321A	1.0	59,559	1.0	59,848
ASSISTANT ADMINISTRATOR OF VOCATIONAL REHAB (REHAB)	00132A	1.0	77,672	1.0	78,058
ASSISTANT ADMINISTRATOR REHABILITATION UNIT	00137A	1.0	110,795	1.0	111,342
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	1.0	64,560	1.0	67,822
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT (COTA)	00320A	2.0	98,058	2.0	98,546
CHIEF ADMINISTRATOR DIVISION OF REHAB AND EDUC (DLT)	00140A	1.0	128,580	1.0	129,207
CHIEF DATA OPERATIONS	00333A	1.0	97,085	1.0	97,558
CHIEF INVESTIGATOR WORKERS COMPENSATION (FRAUD PRV UNT)	0AB38A	1.0	116,891	1.0	117,458
COMPENSATION CLAIMS ANALYST	00322A	3.0	167,455	3.0	169,097
DATA ANALYST II	00138A	1.0	84,846	1.0	84,846
EDUCATION UNIT REPRESENTATIVE	00326A	2.0	133,017	2.0	135,740
EMPLOYMENT AND TRAINING ASSISTANT	00316A	1.0	45,711	1.0	45,938
IMPLEMENTATION AIDE	0AB22A	1.0	58,865	1.0	59,152
INVESTIGATIVE AIDE WORKERS COMPENSATION FRAUD PREV UNIT	00315A	1.0	44,651	1.0	44,874
INVESTIGATOR WORKERS COMPENSATION FRAUD PREV UNIT	0AB30A	6.0	470,353	6.0	475,671
MEDICAL ASSISTANT	00320A	2.0	95,524	2.0	97,825
MEDICAL RECORDS TECHNICIAN	00320A	1.0	48,399	1.0	49,273
PHYSICAL THERAPY ASSISTANT	00320A	5.0	258,240	5.0	260,393
SENIOR WORD PROCESSING TYPIST	00312A	3.0	123,206	3.0	123,820
SUPERVISOR OF VOCATIONAL REHABILITATION (DISAB. DETER.)	00329A	1.0	68,450	1.0	68,790
UNIT CLAIMS MANAGER	00326A	1.0	74,154	1.0	74,518
WORKERS' COMPENSATION PATIENT CARE COORDINATOR	00520A	2.0	170,478	2.0	170,478
Subtotal Classified		39.0	2,596,549	39.0	2,620,254
Subtotal		39.0	2,596,549	39.0	2,620,254
Transfer In			617,976		623,587
Seasonal/Special Salaries/Wages			9,200		9,200
Total Salaries			3,223,725		3,253,041

Personnel

Agency: Department Of Labor And Training

Injured Workers Services

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Benefits				
FICA		246,525		248,786
Health Benefits		618,369		634,375
Payroll Accrual		18,753		18,972
Retiree Health		214,381		190,949
Retirement		875,895		921,281
Subtotal		1,973,923		2,014,363
Total Salaries and Benefits	39.0	5,197,648	39.0	5,267,404
Cost Per FTE Position		133,273		135,062
Statewide Benefit Assessment		127,340		128,498
Payroll Costs	39.0	5,324,988	39.0	5,395,902
Purchased Services				
Clerical and Temporary Services		3,479		3,479
Information Technology		382,291		382,291
Management & Consultant Services		112,100		112,100
Medical Services		1,846,905		1,846,905
Other Contracts		1,205,787		1,211,948
Training and Educational Services		57,505		57,505
Subtotal		3,608,067		3,614,228
Total Personnel	39.0	8,933,055	39.0	9,010,130
Distribution by Source of Funds				
Restricted Receipts	39.0	8,933,055	39.0	9,010,130
Total All Funds	39.0	8,933,055	39.0	9,010,130

Program Summary

DEPARTMENT OF LABOR & TRAINING

Labor Relations Board

Mission

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Description

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self-organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Statutory History

The statutory basis for the RI State Labor Relations Board is contained within R.I. General Laws § 28-7, et seq.; § 28-9.1 through § 28-9.7; and § 36-11.

Budget

Agency: Department Of Labor And Training

Labor Relations Board

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Labor Relations	415,832	471,970	441,669	441,176	382,908
Total Expenditures	415,832	471,970	441,669	441,176	382,908
Expenditures by Object					
Salary and Benefits	290,955	336,284	383,985	384,206	317,606
Contract Professional Services	71,110	78,101	28,421	31,531	31,531
Operating Supplies and Expenses	53,014	57,585	28,489	25,117	33,449
Assistance And Grants	17	16	22	22	22
Subtotal: Operating	415,096	471,986	440,917	440,876	382,608
Capital Purchases And Equipment	736	(17)	752	300	300
Subtotal: Other	736	(17)	752	300	300
Total Expenditures	415,832	471,970	441,669	441,176	382,908
Expenditures by Source of Funds					
General Revenue	415,832	471,970	441,669	441,176	382,908
Total Expenditures	415,832	471,970	441,669	441,176	382,908

Personnel

Agency: Department Of Labor And Training

Labor Relations Board

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Classified					
LABOR BOARD CASE AGENT	00128A	1.0	79,718	1.0	80,106
Subtotal Classified		1.0	79,718	1.0	80,106
Unclassified					
ADMINISTRATOR LABOR RELATIONS BOARD	00833A	1.0	103,303	1.0	56,265
Subtotal Unclassified		1.0	103,303	1.0	56,265
Subtotal		2.0	183,021	2.0	136,371
Seasonal/Special Salaries/Wages			87,783		87,783
Total Salaries			270,804		224,154
Benefits					
FICA			20,718		17,149
Health Benefits			23,548		24,188
Payroll Accrual			1,436		1,168
Retiree Health			12,171		8,005
Retirement			48,300		37,556
Subtotal			106,173		88,066
Total Salaries and Benefits		2.0	376,977	2.0	312,220
Cost Per FTE Position			188,489		156,110
Statewide Benefit Assessment			7,229		5,386
Payroll Costs		2.0	384,206	2.0	317,606
Purchased Services					
Clerical and Temporary Services			10,470		10,470
Legal Services			21,061		21,061
Subtotal			31,531		31,531
Total Personnel		2.0	415,737	2.0	349,137
Distribution by Source of Funds					
General Revenue		2.0	415,737	2.0	349,137
Total All Funds		2.0	415,737	2.0	349,137

Program Summary

DEPARTMENT OF LABOR & TRAINING

Governor's Workforce Board

Mission

To unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders.

Description

The Governor's Workforce Board is the state's primary policy-making body on workforce development matters. The Governor's Workforce Board invests in a range of initiatives, programs and services serving thousands of Rhode Island businesses and Rhode Islanders annually through Real Jobs RI, Real Pathways RI, Real Skills for Youth, the Work Immersion and Incumbent Worker Training Programs. The Governor's Workforce Board is mandated by statute to utilize funds collected under the Job Development Assessment to invest in initiatives to create a resilient economy while meeting local demand.

Statutory History

R.I. General Laws § 42-102 established the Governor's Workforce Board.

Budget

Agency: Department Of Labor And Training

Governor's Workforce Board

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Governor's Workforce Board Operations	17,633,844	26,261,188	22,293,397	25,316,163	31,839,007
Total Expenditures	17,633,844	26,261,188	22,293,397	25,316,163	31,839,007
Expenditures by Object					
Salary and Benefits	3,493,456	3,297,374	4,173,633	4,254,928	4,465,346
Contract Professional Services	210,520	169,199	273,141	197,489	305,114
Operating Supplies and Expenses	306,595	327,039	312,915	1,342,277	2,245,667
Assistance And Grants	13,606,401	22,464,650	17,527,038	19,514,799	24,816,210
Subtotal: Operating	17,616,972	26,258,261	22,286,727	25,309,493	31,832,337
Capital Purchases And Equipment	11,584	2,927	6,670	6,670	6,670
Operating Transfers	5,288	0	0	0	0
Subtotal: Other	16,872	2,927	6,670	6,670	6,670
Total Expenditures	17,633,844	26,261,188	22,293,397	25,316,163	31,839,007
Expenditures by Source of Funds					
General Revenue	0	3,950,000	5,450,000	5,450,000	12,700,000
Restricted Receipts	17,633,844	22,311,188	16,843,397	19,866,163	19,139,007
Total Expenditures	17,633,844	26,261,188	22,293,397	25,316,163	31,839,007

Personnel

Agency: Department Of Labor And Training

Governor's Workforce Board

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	50,893	1.0	52,742
ASSISTANT CHIEF OF PLANNING	00137A	1.0	98,173	1.0	98,663
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	1.0	81,850	1.0	82,246
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	164,103	2.0	164,520
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	6.0	416,116	6.0	431,593
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	84,846	1.0	84,846
Subtotal Classified		12.0	895,981	12.0	914,610
Unclassified					
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE BOARD	00839A	1.0	97,544	1.0	103,117
Subtotal Unclassified		1.0	97,544	1.0	103,117
Subtotal		13.0	993,525	13.0	1,017,727
Transfer Out			(229,882)		(236,713)
Transfer In			1,826,570		1,861,694
Turnover			0		66,560
Total Salaries			2,590,213		2,709,268
Benefits					
FICA			198,146		207,256
Health Benefits			466,982		493,916
Payroll Accrual			15,093		15,822
Retiree Health			172,244		159,031
Retirement			709,933		773,039
Subtotal			1,562,398		1,649,064
Total Salaries and Benefits		13.0	4,152,611	13.0	4,358,332
Cost Per FTE Position			319,432		335,256
Statewide Benefit Assessment			102,317		107,014
Payroll Costs		13.0	4,254,928	13.0	4,465,346
Purchased Services					
Management & Consultant Services			89,864		89,864
Other Contracts			107,625		215,250
Subtotal			197,489		305,114
Total Personnel		13.0	4,452,417	13.0	4,770,460

Personnel

Agency: Department Of Labor And Training

Governor's Workforce Board

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Restricted Receipts	13.0	4,452,417	13.0	4,770,460
Total All Funds	13.0	4,452,417	13.0	4,770,460

Agency Summary

DEPARTMENT OF REVENUE

Agency Mission

The mission of the Department of Revenue is to administer its programs, and consistently execute the laws and regulations with integrity and accountability, thereby instilling public confidence in the work performed by the department.

Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has eight programmatic functions, including the Office of the Director, Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, State Aid and Collections.

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 substitute A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142).

Budget

Department Of Revenue

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Director of Revenue	1,951,951	2,079,993	2,141,620	2,115,104	2,155,214
Office of Revenue Analysis	732,773	744,999	841,407	841,407	903,842
Lottery Division	359,303,282	392,855,218	420,149,414	434,475,460	434,586,420
Municipal Finance	2,646,596	2,472,152	2,465,897	2,383,569	2,448,854
Taxation	28,155,798	34,865,776	30,950,719	33,007,853	38,155,962
Registry of Motor Vehicles	25,980,890	27,149,804	31,378,244	32,054,700	37,839,934
State Aid	93,823,871	116,773,938	154,360,294	150,474,552	155,141,400
Division of Collections	0	263,510	899,649	875,766	850,492
Total Expenditures	512,595,161	577,205,390	643,187,244	656,228,411	672,082,118
Expenditures by Object					
Salary And Benefits	50,394,028	54,674,417	61,053,763	61,029,450	62,345,290
Contract Professional Services	3,493,801	4,654,600	5,048,050	5,455,350	9,574,774
Operating Supplies And Expenses	363,439,953	399,447,616	421,199,704	437,747,318	443,571,114
Assistance And Grants	1,095,181	1,136,786	1,079,108	1,178,312	1,178,312
Capital Purchases And Equipment	55,497	0	86,900	0	0
Aid To Local Units Of Government	93,812,421	116,942,725	154,410,991	150,525,249	155,141,400
Subtotal: Operating	512,290,881	576,856,145	642,878,516	655,935,679	671,810,890
Capital Purchases And Equipment	248,199	292,458	238,530	228,530	238,530
Operating Transfers	56,081	56,788	70,198	64,202	32,698
Subtotal: Other	304,280	349,245	308,728	292,732	271,228
Total Expenditures	512,595,161	577,205,390	643,187,244	656,228,411	672,082,118
Expenditures by Source of Funds					
General Revenue	148,430,073	179,734,158	216,254,237	214,537,942	229,096,871
Federal Funds	1,640,041	1,490,091	1,969,581	2,272,923	1,580,404
Restricted Receipts	2,089,078	1,957,889	3,605,253	3,705,613	5,559,629
Other Funds	360,435,969	394,023,252	421,358,173	435,711,933	435,845,214
Total Expenditures	512,595,161	577,205,390	643,187,244	656,228,411	672,082,118
FTE Authorization	529.5	604.5	602.5	602.5	611.5

Personnel Agency Summary

Department Of Revenue

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Classified	494.5	29,677,244	503.5	30,813,355
Unclassified	108.0	7,798,835	108.0	7,944,001
Subtotal	602.5	37,476,079	611.5	38,757,356
Overtime		239,900		239,900
Overtime (1.5)		751,453		901,104
Seasonal/Special Salaries/Wages		424,133		424,133
Turnover		(1,367,615)		(2,058,009)
Total Salaries		37,523,950		38,264,484
Benefits				
FICA		2,804,865		2,889,690
Health Benefits		6,801,340		6,996,649
Payroll Accrual		210,233		213,201
Retiree Health		2,401,203		2,143,700
Retirement		9,861,554		10,395,063
Subtotal		22,079,195		22,638,303
Total Salaries and Benefits	602.5	59,603,145	611.5	60,902,787
Cost Per FTE Position		98,926		99,596
Statewide Benefit Assessment		1,426,305		1,442,503
Payroll Costs	602.5	61,029,450	611.5	62,345,290
Purchased Services				
Buildings and Ground Maintenance		28,771		28,771
Clerical and Temporary Services		21,425		21,425
Information Technology		4,718,232		8,767,656
Legal Services		145,000		45,000
Management & Consultant Services		251,622		251,622
Other Contracts		290,300		460,300
Subtotal		5,455,350		9,574,774
Total Personnel	602.5	66,484,800	611.5	71,920,064
Distribution by Source of Funds				
General Revenue	458.5	48,154,530	466.5	52,144,708
Federal Funds	38.0	2,240,189	38.0	1,547,670
Restricted Receipts	0.0	2,680,593	1.0	4,534,609
Other Funds	106.0	13,409,488	106.0	13,693,077
Total All Funds	602.5	66,484,800	611.5	71,920,064

Performance Measures

Department Of Revenue

Timeliness of Municipal Reporting

The Division of Municipal Finance uses numerous tools to track the fiscal health of Rhode Island's communities. RI General Laws § 45-12-22.2 requires municipalities to submit a quarterly report on or before the 25th day of the month succeeding the end of each fiscal quarter, certifying the status of the municipal budget, including the school budget. That data is used to identify potential areas for budget shortfalls. The figures below represent the percentage of municipal quarterly reports submitted to the Division on time. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	60.00%	70.00%	25.00%	25.00%	30.00%
Actual	32.00%	17.80%	23.00%	0.00%	

DMV Transaction Wait Times

The Rhode Island Division of Motor Vehicles (DMV) tracks transaction wait times to examine operational efficiency. DMV uses the indicators to recommend process adjustments to improve customer experience. The figures below represent the average wait time for licenses and registrations at DMV's Cranston headquarters. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	20.00	30.00	60.00	60.00	60.00
Actual	59.00	63.00	58.00	0.00	

Tax Returns Filed Online

The figures below represent the percentage of tax returns that are filed online with the Division of Taxation versus traditional means of filing. This measure is under development, and currently includes the following categories of tax returns: bank, insurance, and other tax types/fees from legacy system, IFTA (fuel), and personal income tax. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	70.00%	75.00%	87.00%	90.00%	91.00%
Actual	85.00%	86.00%	89.00%	0.00%	

Problem Gambling Treatment Sessions

The Problem Gambling program, featuring a Helpline and promotion of treatment options, was established in RIGL § 42-61.2-14, enacted in 2012. The previous iteration of this measure represented the percentage of individuals surveyed in the Needs Assessment Study who were aware of the existence of the Problem Gambling Helpline and available treatment options at that time. Since that Needs Assessment was completed, the Problem Gambling Program has expanded significantly and now includes (in addition to the Helpline number) a direct line to the Problem Gambling Services of Rhode Island Treatment Program, which was specifically established as part of the expansion of the Problem Gambling Program. The figures below represent the number of treatment sessions provided each year. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target	300.00	350.00	750.00	1,300.00	1,600.00
Actual	606.00	656.00	816.00	0.00	

Program Summary

DEPARTMENT OF REVENUE

Director of Revenue

Mission

The mission of the Director's Office is to ensure that the Department's programs are efficiently organized and implemented utilizing the resources provided by the taxpayers through the annual appropriation process.

Description

The Director's Office oversees the overall operation of the Department. The Office of Legal Services provides legal advice and support to the Director and programs within the Department.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 substitute A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142).

Budget

Agency: Department Of Revenue

Director of Revenue

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Director of Revenue	1,951,951	2,079,993	2,141,620	2,115,104	2,155,214
Total Expenditures	1,951,951	2,079,993	2,141,620	2,115,104	2,155,214
Expenditures by Object					
Salary and Benefits	1,258,950	1,307,331	1,366,972	1,309,603	1,431,106
Contract Professional Services	0	480	0	0	0
Operating Supplies and Expenses	693,001	767,079	772,623	803,476	722,083
Subtotal: Operating	1,951,951	2,074,889	2,139,595	2,113,079	2,153,189
Capital Purchases And Equipment	0	5,104	2,025	2,025	2,025
Subtotal: Other	0	5,104	2,025	2,025	2,025
Total Expenditures	1,951,951	2,079,993	2,141,620	2,115,104	2,155,214
Expenditures by Source of Funds					
General Revenue	1,951,951	2,079,993	2,141,620	2,115,104	2,155,214
Total Expenditures	1,951,951	2,079,993	2,141,620	2,115,104	2,155,214

Personnel

Agency: Department Of Revenue

Director of Revenue

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	1.0	150,228	1.0	150,976
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	115,751	1.0	116,327
CHIEF IMPLEMENTATION AIDE	00128A	2.0	138,323	2.0	140,927
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	116,056	1.0	116,633
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	69,141	1.0	69,485
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	113,580	1.0	119,218
DEPUTY CHIEF OF LEGAL SERVICES	00137A	0.0	0	1.0	57,615
LEGAL COUNSEL	00132A	1.0	71,029	1.0	73,969
PROGRAMMING SERVICES OFFICER	00131A	1.0	71,839	1.0	74,829
Subtotal Classified		9.0	845,947	10.0	919,979
Unclassified					
DIRECTOR DEPARTMENT OF REVENUE	20956F	1.0	130,100	1.0	130,100
Subtotal Unclassified		1.0	130,100	1.0	130,100
Subtotal		10.0	976,047	11.0	1,050,079
Transfer Out			(112,671)		(113,232)
Turnover			(25,290)		(25,800)
Total Salaries			838,086		911,047
Benefits					
FICA			63,916		69,553
Health Benefits			84,868		96,820
Payroll Accrual			4,882		5,317
Retiree Health			55,732		53,479
Retirement			229,014		258,903
Subtotal			438,412		484,072
Total Salaries and Benefits		10.0	1,276,498	11.0	1,395,119
Cost Per FTE Position			127,650		126,829
Statewide Benefit Assessment			33,105		35,987
Payroll Costs		10.0	1,309,603	11.0	1,431,106
Total Personnel		10.0	1,309,603	11.0	1,431,106
Distribution by Source of Funds					
General Revenue		10.0	1,309,603	11.0	1,431,106
Total All Funds		10.0	1,309,603	11.0	1,431,106

Program Summary

DEPARTMENT OF REVENUE

Office of Revenue Analysis

Mission

The Office of Revenue Analysis' mission is to analyze, evaluate, and appraise the tax system of the State of Rhode Island. The Office makes recommendations for the revision of the State's tax system that are in the best interests of the State's economy. The Office also provides objective information and unbiased advice on the State's revenue system and the impact of proposed changes to it.

Description

The Office of Revenue Analysis is responsible for making recommendations to the State's tax system with the best interests of the economy of Rhode Island. The Office is charged with the preparation of cost benefit analyses of all tax proposals. It publishes an annual Unified Economic Development Report and a biennial Tax Expenditures Report. It prepares fiscal analysis notes on bills that pertain to revenues. It also publishes a monthly report on cash collections. In conjunction with the Budget Office, it forecasts the State's general revenues as part of the biannual Revenue Estimating Conference. Finally, a representative of the Office serves as an ex officio member of the Council of Economic Advisors.

Statutory History

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142-3).

Budget

Agency: Department Of Revenue

Office of Revenue Analysis

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Revenue Analysis	732,773	744,999	841,407	841,407	903,842
Total Expenditures	732,773	744,999	841,407	841,407	903,842
Expenditures by Object					
Salary and Benefits	681,094	657,255	734,053	780,585	794,126
Contract Professional Services	7,500	1,300	0	0	0
Operating Supplies and Expenses	44,179	86,444	106,329	59,797	108,691
Subtotal: Operating	732,773	744,999	840,382	840,382	902,817
Capital Purchases And Equipment	0	0	1,025	1,025	1,025
Subtotal: Other	0	0	1,025	1,025	1,025
Total Expenditures	732,773	744,999	841,407	841,407	903,842
Expenditures by Source of Funds					
General Revenue	732,773	744,999	841,407	841,407	903,842
Total Expenditures	732,773	744,999	841,407	841,407	903,842

Personnel

Agency: Department Of Revenue

Office of Revenue Analysis

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
DATA ANALYST III	00142A	1.0	106,916	1.0	112,521
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	2.0	191,176	2.0	196,460
SENIOR ECONOMIC AND POLICY ANALYST	00134A	2.0	167,511	2.0	168,680
Subtotal Classified		5.0	465,603	5.0	477,661
Unclassified					
CHIEF OF REVENUE ANALYSIS	00845A	1.0	148,222	1.0	148,960
Subtotal Unclassified		1.0	148,222	1.0	148,960
Subtotal		6.0	613,825	6.0	626,621
Transfer Out			(106,916)		(112,521)
Turnover			(10,920)		(11,098)
Total Salaries			495,989		503,002
Benefits					
FICA			37,272		38,042
Health Benefits			56,740		57,926
Payroll Accrual			2,886		2,936
Retiree Health			32,984		29,527
Retirement			135,122		142,825
Subtotal			265,004		271,256
Total Salaries and Benefits		6.0	760,993	6.0	774,258
Cost Per FTE Position			126,832		129,043
Statewide Benefit Assessment			19,592		19,868
Payroll Costs		6.0	780,585	6.0	794,126
Total Personnel		6.0	780,585	6.0	794,126
Distribution by Source of Funds					
General Revenue		6.0	780,585	6.0	794,126
Total All Funds		6.0	780,585	6.0	794,126

Program Summary

DEPARTMENT OF REVENUE

Lottery Division

Mission

The mission of the Rhode Island Lottery is to generate revenue for the State of Rhode Island through the responsible management and sale of entertaining lottery products while incorporating the highest standards of security and integrity, setting and achieving challenging goals, emphasizing customer service and maintaining the public trust.

Description

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. The Lottery operates as an enterprise fund, and its financial information is included in the State Comprehensive Annual Financial Report. The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), Keno, and instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball®, Mega Millions, and Lucky for Life tickets. It collects all revenues and remits prize funds as required to the Multi-State Lottery Association. R.I. Gen. Laws § 42-61-15 requires that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions, and Lottery operating expenses. R.I. Gen. Laws § 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Tiverton. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe. R.I. Gen. Laws § 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at Twin River and Tiverton Casino.

Statutory History

R.I. General Laws § 42-61 provides the general authority for the State Division of Lottery. RIGL § 42-142 establishes the State Lottery as a division of the Department of Revenue.

Budget

Agency: Department Of Revenue

Lottery Division

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Lottery Division	359,303,282	392,855,218	420,149,414	434,475,460	434,586,420
Total Expenditures	359,303,282	392,855,218	420,149,414	434,475,460	434,586,420
Expenditures by Object					
Salary and Benefits	9,012,860	11,115,420	12,114,230	12,225,333	12,486,779
Contract Professional Services	1,272,137	34,283	129,011	129,011	129,011
Operating Supplies and Expenses	348,835,741	381,466,598	407,563,505	421,679,244	421,528,758
Assistance And Grants	182,544	238,917	171,713	270,917	270,917
Subtotal: Operating	359,303,282	392,855,218	419,978,459	434,304,505	434,415,465
Capital Purchases And Equipment	0	0	170,955	170,955	170,955
Subtotal: Other	0	0	170,955	170,955	170,955
Total Expenditures	359,303,282	392,855,218	420,149,414	434,475,460	434,586,420
Expenditures by Source of Funds					
Other Funds	359,303,282	392,855,218	420,149,414	434,475,460	434,586,420
Total Expenditures	359,303,282	392,855,218	420,149,414	434,475,460	434,586,420

Personnel

Agency: Department Of Revenue

Lottery Division

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
PROJECT COORDINATOR	00826A	1.0	69,929	1.0	70,278
Subtotal Classified		1.0	69,929	1.0	70,278
Unclassified					
ACCOUNTING MANAGER	00829A	1.0	74,935	1.0	75,308
ADMINISTRATIVE ASSISTANT	00825A	1.0	64,395	1.0	66,976
ADMINISTRATIVE OFFICER	00822A	1.0	66,481	1.0	66,806
ASSISTANT CONTROLLER	00824A	2.0	125,852	2.0	126,477
ASSISTANT FIELD REPRESENTATIVE	00818A	1.0	51,480	1.0	51,737
ASSISTANT MANAGER-MARKETING- AGENT LICENSES & REPRES.	00826A	2.0	143,188	2.0	143,902
ASSISTANT PRODUCTION MANAGER (LOTTERY)	00824A	1.0	70,600	1.0	70,950
ASSISTANT PRODUCTION WORKER	00818A	2.0	98,058	2.0	98,546
CASINO COMPLIANCE REPRESENTATIVE	00825A	21.0	1,278,593	21.0	1,319,139
CASINO COMPLIANCE REPRESENTATIVE	00825JA	1.0	57,692	1.0	59,940
CASINO COMPLIANCE SUPERVISOR	00829A	4.0	289,739	4.0	297,602
CASINO FINANCIAL ANALYST	00832A	2.0	159,345	2.0	166,173
CASINO FINANCIAL ANALYST SUPERVISOR	00834A	1.0	101,870	1.0	102,365
CASINO GAMING OPERATIONS INVEIGATOR	00826A	6.0	389,387	6.0	396,144
CASINO INSPECTIONS AND STANDARDS COMPLIANCE MANAGER	00832A	1.0	84,124	1.0	84,543
CASINO IT SUPPORT SPECIALIST	00826A	1.0	66,599	1.0	66,931
CASINO OPERATIONS AND COMPLIANCE MANAGER	00841A	1.0	120,724	1.0	121,325
CASINO SECURITY INSPECTOR	00827A	7.0	470,044	7.0	480,517
CASINO SENIOR COMPLIANCE SUPERVISOR	00834A	2.0	178,714	2.0	183,139
CASINO SURVEILLANCE ANALYST	00832A	2.0	162,033	2.0	166,173
CASINO TABLE GAMES ACCOUNTING MANAGER	00833A	2.0	159,417	2.0	166,498
CHIEF FISCAL AFFAIRS AND CONTROLLER	00837A	1.0	99,897	1.0	100,788
COMPUTER PROGRAMMER	00825A	1.0	60,886	1.0	64,311
CONFIDENTIAL INVESTIGATOR	00831A	1.0	85,038	1.0	85,462
DEPUTY DIRECTOR (LOTTERY)	00842A	1.0	147,856	1.0	148,592
DIRECTOR MANAGEMENT INFORMATION SYSTEMS (LOTTERY)	00839A	1.0	127,082	1.0	127,714
EXECUTIVE SECRETARY	00822A	1.0	68,166	1.0	68,506
FIELD REPRESENTATIVE (LOTTERY)	00822A	10.0	604,132	10.0	609,168
FINANCE ADMINISTRATION (MANAGER)	00839A	1.0	116,031	1.0	116,609
INFORMATION TECHNOLOGY SECURITY MANAGER	00829A	1.0	68,306	1.0	71,131

Personnel

Agency: Department Of Revenue

Lottery Division

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
INSTANT TICKET DEVELOPMENT SUPERVISOR	00827A	1.0	79,703	1.0	80,100
INTERNAL AUDITOR	00833JA	1.0	78,360	1.0	81,597
JUNIOR MAINTENANCE PERSON	00801A	1.0	33,062	1.0	33,622
LICENSING CLERK	00820A	1.0	57,840	1.0	58,127
LOTTERY DIRECTOR	00816JF	1.0	157,142	1.0	157,142
LOTTERY SALES & MARKETING MANAGER	00834A	1.0	95,016	1.0	95,488
MAINTENANCE PERSON (LOTTERY)	00822A	1.0	66,746	1.0	67,078
MANAGER MARKETING AGENT LICENSES AND REPRESENTATIVES	00834A	1.0	95,016	1.0	95,488
PRINCIPAL PROJECTS MANAGER	00831A	2.0	170,077	2.0	170,923
PROBLEM GAMBLING PROGRAM MGR	00836A	1.0	92,827	1.0	97,428
PRODUCTION CLERK	00822A	1.0	56,805	1.0	57,088
PRODUCTION MANAGER (LOTTERY)	00828A	1.0	79,222	1.0	79,616
RECEPTIONIST	00817A	1.0	58,202	1.0	58,475
SECRETARY	00818A	3.0	156,892	3.0	157,674
SECURITY MANAGER	00827A	1.0	72,772	1.0	73,135
SOFTWARE SUPPORT SPECIALIST	00833A	1.0	82,427	1.0	87,123
STAFF ATTORNEY VII	00840A	1.0	104,614	1.0	110,226
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	00822A	1.0	56,805	1.0	57,088
TICKET ACCOUNTING CLERK (LOTTERY)	00820A	1.0	54,494	1.0	56,591
VALIDATIONS OFFICER	00817JA	1.0	43,277	1.0	44,487
VIDEO LOTTERY SUPERVISOR	00827A	1.0	76,238	1.0	76,617
VIDEO LOTTERY SYSTEMS MANAGER	00827A	1.0	69,307	1.0	69,652
Subtotal Unclassified		105.0	7,427,508	105.0	7,568,237
Subtotal		106.0	7,497,437	106.0	7,638,515
Transfer In			112,671		113,232
Overtime			239,900		239,900
Turnover			(164,929)		(177,480)
Total Salaries			7,685,079		7,814,167
Benefits					
FICA			567,095		577,641
Health Benefits			1,100,524		1,144,366
Payroll Accrual			43,379		44,247
Retiree Health			495,104		444,617
Retirement			2,040,059		2,162,566
Subtotal			4,246,161		4,373,437

Personnel

Agency: Department Of Revenue

Lottery Division

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	106.0	11,931,240	106.0	12,187,604
Cost Per FTE Position		112,559		114,977
Statewide Benefit Assessment		294,093		299,175
Payroll Costs	106.0	12,225,333	106.0	12,486,779
Purchased Services				
Buildings and Ground Maintenance		10,361		10,361
Clerical and Temporary Services		19,425		19,425
Management & Consultant Services		99,225		99,225
Subtotal		129,011		129,011
Total Personnel	106.0	12,354,344	106.0	12,615,790
Distribution by Source of Funds				
Other Funds	106.0	12,354,344	106.0	12,615,790
Total All Funds	106.0	12,354,344	106.0	12,615,790

Program Summary

DEPARTMENT OF REVENUE

Municipal Finance

Mission

The Division of Municipal Finance's mission is to fulfill its mandates in an efficient and timely manner while providing guidance to municipalities and their stakeholders in matters relating to property taxes, state aid, and maintaining local fiscal stability. We promote the highest standards in local government transparency, fiscal policy, and decision-making by serving as a conduit for information and education for taxpayers, for government officials, and for the public.

Description

The Division of Municipal Finance (DMF) is a governmental agency within the Rhode Island Department of Revenue. Under the guidance of the state's laws and regulations, DMF assists in the development of policy and provides guidance to and about municipalities for its stakeholders which include municipalities, fire districts, the Governor's office, state legislator, other state agencies, local associations, and the public as a whole. Apart from providing guidance, the Division is responsible for calculations related to municipal state aid and reimbursement programs, operating and providing useful data through the Municipal Transparency Portal and the Division's website, staffing state oversight under the Fiscal Stability Act, monitoring and reporting on compliance with municipal tax levy cap as well as granting exemptions, receiving, processing, analyzing, and approving of municipal, school district, and fire district financial reporting, providing technical assistance to municipalities and assessors, calculating the relative wealth of municipalities, monitoring, reporting, and providing fiscal impacts on proposed and enacted legislative changes, and reporting on legislative mandates.

Statutory History

R.I. General Laws § 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

Budget

Agency: Department Of Revenue

Municipal Finance

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Municipal Affairs	2,646,596	2,472,152	2,465,897	2,383,569	2,448,854
Total Expenditures	2,646,596	2,472,152	2,465,897	2,383,569	2,448,854
Expenditures by Object					
Salary and Benefits	1,488,634	1,416,708	1,384,737	1,300,576	1,336,577
Contract Professional Services	40,323	31,054	45,000	45,000	45,000
Operating Supplies and Expenses	88,492	88,067	77,920	79,753	159,734
Assistance And Grants	911,368	896,765	905,018	905,018	905,018
Aid To Local Units Of Government	117,779	39,559	50,697	50,697	0
Subtotal: Operating	2,646,596	2,472,152	2,463,372	2,381,044	2,446,329
Capital Purchases And Equipment	0	0	2,525	2,525	2,525
Subtotal: Other	0	0	2,525	2,525	2,525
Total Expenditures	2,646,596	2,472,152	2,465,897	2,383,569	2,448,854
Expenditures by Source of Funds					
General Revenue	2,646,596	2,472,152	2,465,897	2,383,569	2,448,854
Total Expenditures	2,646,596	2,472,152	2,465,897	2,383,569	2,448,854

Personnel

Agency: Department Of Revenue

Municipal Finance

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	107,507	1.0	113,112
PRODUCTIVITY PROJECT DIRECTOR	00130A	1.0	66,554	1.0	69,657
STATE AID AND FINANCE SPECIALIST - MUNICIPAL AFFAIRS	00332A	6.0	476,335	6.0	486,501
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	00135A	1.0	76,847	1.0	78,533
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00833A	1.0	97,261	1.0	97,735
Subtotal Classified		10.0	824,504	10.0	845,538
Subtotal		10.0	824,504	10.0	845,538
Turnover			(27,595)		(28,349)
Total Salaries			796,909		817,189
Benefits					
FICA			60,964		62,515
Health Benefits			137,431		140,787
Payroll Accrual			4,633		4,766
Retiree Health			52,993		47,970
Retirement			216,168		231,071
Subtotal			472,189		487,109
Total Salaries and Benefits		10.0	1,269,098	10.0	1,304,298
Cost Per FTE Position			126,910		130,430
Statewide Benefit Assessment			31,478		32,279
Payroll Costs		10.0	1,300,576	10.0	1,336,577
Purchased Services					
Legal Services			45,000		45,000
Subtotal			45,000		45,000
Total Personnel		10.0	1,345,576	10.0	1,381,577
Distribution by Source of Funds					
General Revenue		10.0	1,345,576	10.0	1,381,577
Total All Funds		10.0	1,345,576	10.0	1,381,577

Program Summary

DEPARTMENT OF REVENUE

Taxation

Mission

The Division of Taxation's mission is to foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial, and ethical conduct. The Division administers and collects all taxes as required by Rhode Island law in the most efficient and cost effective manner, and assist taxpayers by helping them understand and meet their tax responsibilities.

Description

The Division of Taxation administers over 58 different state taxes and fees including Personal Income Tax, Corporate Income Tax and Sales Tax and is responsible for collecting and distributing over \$3.2 Billion annually in taxes and fees to fund services to Rhode Islanders.

Statutory History

R.I. General Laws § 42-142 establishes the Division of Taxation within the Department of Revenue.

Budget

Agency: Department Of Revenue

Taxation

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Assessment and Review	3,555,190	3,708,452	4,104,127	3,872,537	4,198,512
Compliance and Collection	3,675,548	4,065,973	4,835,172	4,623,993	4,882,331
Employer Tax	3,256,386	3,351,148	3,450,789	3,564,532	3,637,992
Field Audit	7,234,208	7,176,252	7,909,414	7,469,533	7,599,789
Tax Administrator	1,837,318	2,320,296	2,950,809	3,279,511	3,570,871
Tax Processing Division	8,597,148	14,243,654	7,700,408	10,197,747	14,266,467
Total Expenditures	28,155,798	34,865,776	30,950,719	33,007,853	38,155,962
Expenditures by Object					
Salary and Benefits	22,012,244	23,001,575	25,970,380	25,869,336	26,996,238
Contract Professional Services	1,554,771	4,162,034	1,249,429	1,349,429	4,299,429
Operating Supplies and Expenses	4,574,524	7,560,137	3,695,637	5,753,815	6,825,022
Assistance And Grants	165	0	1,273	1,273	1,273
Subtotal: Operating	28,141,704	34,723,746	30,916,719	32,973,853	38,121,962
Capital Purchases And Equipment	14,094	142,030	34,000	34,000	34,000
Subtotal: Other	14,094	142,030	34,000	34,000	34,000
Total Expenditures	28,155,798	34,865,776	30,950,719	33,007,853	38,155,962
Expenditures by Source of Funds					
General Revenue	24,749,038	31,359,628	27,326,969	29,288,321	34,237,840
Federal Funds	1,340,610	1,375,345	1,424,338	1,465,153	1,495,230
Restricted Receipts	933,463	962,768	990,653	1,017,906	1,164,098
Other Funds	1,132,687	1,168,035	1,208,759	1,236,473	1,258,794
Total Expenditures	28,155,798	34,865,776	30,950,719	33,007,853	38,155,962

Personnel

Agency: Department Of Revenue

Taxation

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTANT	00320A	1.0	49,029	1.0	49,273
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	1.0	65,409	1.0	66,908
ASSOCIATE DIRECTOR- REVENUE SERVICES (TAXATION)	00144A	1.0	151,554	1.0	152,304
ASSOCIATE DIRECTOR TAX POLICY	00143A	1.0	105,143	1.0	108,030
BUSINESS ANALYST (DOR)	00328A	5.0	304,333	5.0	364,523
CHIEF BUSINESS MANAGEMENT OFFICER	00134A	2.0	148,064	2.0	151,318
CHIEF IMPLEMENTATION AIDE	00128A	1.0	59,009	1.0	61,391
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	89,237	1.0	93,395
CHIEF OF EXAMINATION (TAXATION)	00142A	1.0	111,795	1.0	115,909
CHIEF OF TAX PROCESSING SERVICES	00140A	4.0	469,187	4.0	471,514
CHIEF REVENUE AGENT	00138A	11.0	1,121,433	11.0	1,135,524
CLERK	00307A	4.0	137,278	4.0	139,800
DATA ANALYST I	00134A	4.0	302,323	6.0	465,800
EMPLOYER REGISTRATION SUPERVISOR	00326A	1.0	60,943	1.0	61,245
EXECUTIVE DIRECTOR (DOA)/TAX ADMINISTRATOR	00152A	1.0	182,959	1.0	183,868
IMPLEMENTATION AIDE	00322A	1.0	48,018	1.0	49,523
LEGAL OFFICER (TAXATION)	00133A	1.0	71,331	1.0	71,686
PRINCIPAL REVENUE AGENT	00831A	19.0	1,530,934	19.0	1,543,479
PROGRAMMING SERVICES OFFICER	00131A	2.0	149,410	2.0	151,259
REVENUE AGENT	00328A	1.0	79,060	1.0	79,452
REVENUE AGENT I	00324A	13.0	673,181	13.0	854,440
REVENUE AGENT II	00326A	19.0	1,094,814	19.0	1,136,049
REVENUE OFFICER	00321A	3.0	141,841	3.0	145,103
REVENUE OFFICER I	00322A	26.0	1,231,856	26.0	1,270,392
REVENUE OFFICER II	00324A	7.0	354,879	7.0	367,796
REVENUE OFFICER SPECIAL INVESTIGATIONS	00326A	3.0	212,906	3.0	216,243
SENIOR BUSINESS ANALYST (DOR)	00331A	2.0	136,256	2.0	142,070
SENIOR REVENUE AGENT	00328A	38.0	2,622,373	38.0	2,624,248
SENIOR REVENUE OFFICER	00324A	6.0	338,134	6.0	339,787
SUPERVISING REVENUE OFFICER	00831A	5.0	402,796	5.0	412,055
TAX AIDE I	00316A	18.0	773,831	18.0	786,327
TAX AIDE II	00318A	13.0	615,010	13.0	622,358
TAX EXAMINER (DOA)	00321A	7.0	382,496	7.0	387,513
TAX INVESTIGATOR	00323A	4.0	198,697	5.0	255,861
TAXPAYER SERVICE SPECIALIST	00323A	23.0	1,264,773	23.0	1,345,896
TELLER	00315A	1.0	51,030	1.0	51,284

Personnel

Agency: Department Of Revenue

Taxation

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Classified					
TRAINING SUPERVISOR	00326A	1.0	59,367	1.0	61,654
Subtotal Classified		252.0	15,790,689	255.0	16,535,277
Subtotal		252.0	15,790,689	255.0	16,535,277
Transfer In			106,916		112,521
Overtime (1.5)			340,368		355,913
Seasonal/Special Salaries/Wages			423,980		423,980
Turnover			(630,013)		(855,505)
Total Salaries			16,031,940		16,572,186
Benefits					
FICA			1,199,106		1,256,340
Health Benefits			2,759,162		2,946,737
Payroll Accrual			88,898		93,461
Retiree Health			1,015,273		939,917
Retirement			4,171,890		4,555,114
Subtotal			9,234,329		9,791,569
Total Salaries and Benefits		252.0	25,266,269	255.0	26,363,755
Cost Per FTE Position			100,263		103,387
Statewide Benefit Assessment			603,067		632,483
Payroll Costs		252.0	25,869,336	255.0	26,996,238
Purchased Services					
Clerical and Temporary Services			2,000		2,000
Information Technology			1,085,232		4,135,232
Legal Services			100,000		0
Management & Consultant Services			152,397		152,397
Other Contracts			9,800		9,800
Subtotal			1,349,429		4,299,429
Total Personnel		252.0	27,218,765	255.0	31,295,667
Distribution by Source of Funds					
General Revenue		215.0	23,728,433	217.0	27,606,923
Federal Funds		37.0	1,432,419	37.0	1,462,496
Restricted Receipts		0.0	1,002,769	1.0	1,148,961
Other Funds		0.0	1,055,144	0.0	1,077,287
Total All Funds		252.0	27,218,765	255.0	31,295,667

Program Summary

DEPARTMENT OF REVENUE

Registry of Motor Vehicles

Mission

The Division of Motor Vehicles is responsible for ensuring consistent administration and enforcement of all laws pertaining to the operation and registration of motor vehicles and is committed to providing excellent customer service with integrity and transparency.

Description

The Division of Motor Vehicles (DMV) is a governmental agency within the Department of Revenue for the State of Rhode Island. Under the direction of the Administrator, the Division administers motor vehicle and transportation related laws, specifically motor vehicle titling, registration and licensing laws, transportation safety laws, motor vehicle franchise dealer and manufacturer laws, and other motor vehicle related laws and regulations. Responsibilities administered by the division include motor vehicle registration, testing for the licensing of motor vehicle operators, inspection of motor vehicles, enforcement of laws relating to the issuance, suspension, and revocation of motor vehicle registrations and driver's licenses, and administration of the financial responsibility program.

Statutory History

R.I. General Laws § 42-142 entitled "Department of Revenue" establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

Budget

Agency: Department Of Revenue

Registry of Motor Vehicles

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Registry of Motor Vehicles	25,951,363	27,135,320	31,349,005	32,025,461	37,810,695
Vehicle Value Commission	29,527	14,484	29,239	29,239	29,239
Total Expenditures	25,980,890	27,149,804	31,378,244	32,054,700	37,839,934
Expenditures by Object					
Salary and Benefits	15,940,246	16,916,355	18,740,521	18,796,451	18,527,172
Contract Professional Services	489,841	554,678	3,564,610	3,901,910	5,071,334
Operating Supplies and Expenses	9,204,016	9,475,727	8,894,911	9,281,033	14,187,626
Assistance And Grants	1,104	1,104	1,104	1,104	1,104
Capital Purchases And Equipment	55,497	0	86,900	0	0
Subtotal: Operating	25,690,704	26,947,864	31,288,046	31,980,498	37,787,236
Capital Purchases And Equipment	234,105	145,153	20,000	10,000	20,000
Operating Transfers	56,081	56,788	70,198	64,202	32,698
Subtotal: Other	290,186	201,941	90,198	74,202	52,698
Total Expenditures	25,980,890	27,149,804	31,378,244	32,054,700	37,839,934
Expenditures by Source of Funds					
General Revenue	25,482,961	27,035,058	29,140,414	29,554,343	34,354,349
Federal Funds	299,431	114,746	545,243	807,770	85,174
Restricted Receipts	198,498	0	1,692,587	1,692,587	3,400,411
Total Expenditures	25,980,890	27,149,804	31,378,244	32,054,700	37,839,934

Personnel

Agency: Department Of Revenue

Registry of Motor Vehicles

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADJUDICATION SERVICE REPRESENTATIVE	00318A	9.0	426,630	10.0	470,687
ADMINISTRATIVE OFFICER	00124A	1.0	68,814	1.0	69,153
ADMINISTRATOR, DIVISION OF MOTOR VEHICLES	00150A	1.0	142,600	1.0	148,390
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	96,402	1.0	98,661
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	108,289	1.0	110,788
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS. CONTR. DIV.	00125A	1.0	58,555	1.0	58,555
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR CUSTOMER SVS	00140A	1.0	120,916	1.0	121,517
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR SAFETY & REG	00140A	1.0	110,401	1.0	110,950
AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	00317A	1.0	44,703	1.0	44,926
AUTOMOTIVE SERVICE SPECIALIST	00318A	3.0	139,179	3.0	140,853
CDL PROGRAM COORDINATOR (DMV)	00134A	1.0	96,209	1.0	96,683
CHIEF MOTOR VEHICLE FLEET REGISTRATION PROGRAMS (DOA)	00128A	1.0	75,740	1.0	76,117
CHIEF MOTOR VEHICLE SAFETY AND EMISSION CONTROL DIV.	00135A	1.0	91,384	1.0	91,838
CHIEF OFFICE OF ENFORCEMENT AND INSPECTIONS (DOA)	00137A	1.0	83,884	1.0	87,350
CHIEF OF LEGAL SERVICES	00139A	1.0	100,044	1.0	100,542
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	00135A	1.0	87,032	1.0	87,465
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	00134A	2.0	172,075	2.0	179,831
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	120,431	1.0	121,030
CHIEF PROGRAM DEVELOPMENT	00134A	3.0	280,881	3.0	289,995
COORDINATOR- MOTOR CARRIER & SCHOOL BUS SAFETY PRG/DOA	00133A	1.0	92,913	1.0	93,375
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	00315A	44.5	1,781,901	44.5	1,823,037
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	00318A	18.0	802,830	18.0	818,077
CUSTOMER SERVICE REPRESENTATIVE III (DMV)	00321A	34.0	1,796,665	34.0	1,817,752
CUSTOMER SERVICE SPECIALIST I	00315A	3.0	138,206	3.0	138,895
CUSTOMER SERVICE SPECIALIST III	00323A	0.0	0	1.0	27,858
DATA ANALYST II	00138A	1.0	91,620	1.0	92,076
DATABASE MANAGEMENT SYSTEM SPECIALIST	00126A	3.0	160,683	3.0	167,073
DATABASE MANAGEMENT SYSTEM SPECIALIST	00326A	3.0	199,660	3.0	202,798
FISCAL MANAGEMENT OFFICER	03326A	1.0	60,837	1.0	61,140
IMPLEMENTATION AIDE	00122A	1.0	55,077	1.0	55,351
INFORMATION AIDE	00315A	8.0	350,454	8.0	354,864

Personnel

Agency: Department Of Revenue

Registry of Motor Vehicles

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
INTERPRETING INTERVIEWER (SPANISH)	00319A	1.0	57,032	1.0	57,311
LICENSE INVESTIGATOR	00322A	5.0	282,857	5.0	285,351
LICENSING AIDE	00315A	3.0	132,324	3.0	133,834
MOTOR VEHICLE APPEALS OFFICER	00324A	11.0	655,678	12.0	721,452
MOTOR VEHICLE OPERATOR EXAMINER	00319A	10.0	479,468	10.0	485,064
PRINCIPAL CLERK-STENOGRAPHER	00313A	1.0	45,123	1.0	45,346
PRINCIPAL CLERK-TYPIST	00312A	1.0	45,706	1.0	45,933
PROGRAMMING SERVICES OFFICER	00131A	4.0	300,512	4.0	312,965
PROPERTY CONTROL AND SUPPLY OFFICER	00317A	2.0	89,357	2.0	92,098
SENIOR AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	00321A	2.0	91,942	2.0	94,189
SENIOR COMMUNITY DEVELOPMENT TRAINING SPECIALIST	00326A	1.0	74,710	1.0	75,066
SENIOR MOTOR VEHICLE OPERATOR EXAMINER	00000A	0.0	0	2.0	49,262
SENIOR MOTOR VEHICLE OPERATOR EXAMINER	00321A	1.0	48,685	1.0	48,928
SENIOR TELLER	00318A	4.0	193,084	4.0	196,589
SENIOR WORD PROCESSING TYPIST	00312A	1.0	47,693	1.0	47,930
SUPERVISOR- MOTOR VEHICLE CUSTOMER SERVICES	03327A	3.0	203,863	3.0	110,319
SUPERVISOR OF BRANCH OFFICE SERVICES (MOTOR VEHICLES)	03325A	9.0	481,984	9.0	496,253
TELLER	00315A	2.0	85,050	2.0	85,474
Subtotal Classified		210.5	11,270,083	215.5	11,540,991
Subtotal		210.5	11,270,083	215.5	11,540,991
Overtime (1.5)			411,085		545,191
Seasonal/Special Salaries/Wages			153		153
Turnover			(461,850)		(911,300)
Total Salaries			11,219,471		11,175,035
Benefits					
FICA			841,592		849,502
Health Benefits			2,581,340		2,527,240
Payroll Accrual			62,902		59,722
Retiree Health			718,761		600,492
Retirement			2,945,447		2,911,108
Subtotal			7,150,042		6,948,064
Total Salaries and Benefits		210.5	18,369,513	215.5	18,123,099
Cost Per FTE Position			87,266		84,098

Personnel

Agency: Department Of Revenue

Registry of Motor Vehicles

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		426,938		404,073
Payroll Costs	210.5	18,796,451	215.5	18,527,172
Purchased Services				
Buildings and Ground Maintenance		18,410		18,410
Information Technology		3,603,000		4,602,424
Other Contracts		280,500		450,500
Subtotal		3,901,910		5,071,334
Total Personnel	210.5	22,698,361	215.5	23,598,506
Distribution by Source of Funds				
General Revenue	209.5	20,212,767	214.5	20,127,684
Federal Funds	1.0	807,770	1.0	85,174
Restricted Receipts	0.0	1,677,824	0.0	3,385,648
Total All Funds	210.5	22,698,361	215.5	23,598,506

Program Summary

DEPARTMENT OF REVENUE

State Aid

Mission

The Division of Municipal Finance annually calculates and distributes State Aid to qualifying cities and towns. The Executive Summary and Technical Appendix include the distributions of State Aid to individual cities and towns.

Description

For the purposes of budget presentation, State Aid programs are separated from the Division of Municipal Finance's budget. Below is a summary of all appropriated State Aid programs. It should be noted that State Library Aid is appropriated under the Department of Administration. The Payment-in-Lieu-of-Taxes (PILOT) program reimburses communities for up to 27.0 percent of what they would have collected in property taxes from certain designated tax-exempt property (subject to appropriation). Data used to determine distribution amounts is updated annually to reflect the most recent data. The Distressed Communities Relief Fund provides state assistance to municipalities with the highest property tax burdens relative to the wealth of taxpayers. Municipalities are eligible that meet the statutorily determined distressed test in three of four categories. Municipal Incentive Aid is a category of state assistance with the purpose of encouraging municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Each municipality with a pension fund deemed critical must submit a Funding Improvement Plan under RIGL45-13.2. The 1998 General Assembly enacted the Motor Vehicle Excise Tax Phase-Out legislation to phase out the excise tax on motor vehicles and trailers over an original seven-year period, however, there have been various legislative changes to the legislation since its inception.

Statutory History

The State Aid Program was transferred from the Department of Administration as part of the FY 2012 Budget.

Budget

Agency: Department Of Revenue

State Aid

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
State Aid	93,823,871	116,773,938	154,360,294	150,474,552	155,141,400
Total Expenditures	93,823,871	116,773,938	154,360,294	150,474,552	155,141,400
Expenditures by Object					
Contract Professional Services	129,229	(129,229)	0	0	0
Aid To Local Units Of Government	93,694,642	116,903,167	154,360,294	150,474,552	155,141,400
Subtotal: Operating	93,823,871	116,773,938	154,360,294	150,474,552	155,141,400
Total Expenditures	93,823,871	116,773,938	154,360,294	150,474,552	155,141,400
Expenditures by Source of Funds					
General Revenue	92,866,754	115,778,818	153,438,281	149,479,432	154,146,280
Restricted Receipts	957,117	995,121	922,013	995,120	995,120
Total Expenditures	93,823,871	116,773,938	154,360,294	150,474,552	155,141,400

Program Summary

DEPARTMENT OF REVENUE

Division of Collections

Mission

The Central Collections Unit (CCU) assists state agencies in the monitoring and collection of debts owed to the state utilizing the most efficient methods and adhering to the highest professional standards.

Description

Within the Rhode Island Department of Revenue, the Central Collections Unit was established in fiscal year 2019 with the core purpose of assisting state and quasi-state agencies in the collection of statutorily designated debts. The CCU collaborates with partner agencies to establish processes to ensure efficient and cost-effective measures to collect debts owed to the state.

Statutory History

The Governor has submitted legislation pertaining to the creation of this Division in Sections 2 and 3 of Article 4 in the FY 2019 Appropriations Act.

Budget

Agency: Department Of Revenue

Division of Collections

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Collections	0	263,510	899,649	875,766	850,492
Total Expenditures	0	263,510	899,649	875,766	850,492
Expenditures by Object					
Salary and Benefits	0	259,774	742,870	747,566	773,292
Contract Professional Services	0	0	60,000	30,000	30,000
Operating Supplies and Expenses	0	3,565	88,779	90,200	39,200
Subtotal: Operating	0	263,339	891,649	867,766	842,492
Capital Purchases And Equipment	0	171	8,000	8,000	8,000
Subtotal: Other	0	171	8,000	8,000	8,000
Total Expenditures	0	263,510	899,649	875,766	850,492
Expenditures by Source of Funds					
General Revenue	0	263,510	899,649	875,766	850,492
Total Expenditures	0	263,510	899,649	875,766	850,492

Personnel

Agency: Department Of Revenue

Division of Collections

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Classified					
ADMINISTRATIVE OFFICER	00124A	5.0	252,559	5.0	260,182
CHIEF OF LEGAL SERVICES	00139A	1.0	91,906	1.0	95,986
PROGRAMMING SERVICES OFFICER	00131A	1.0	66,024	1.0	67,463
Subtotal Classified		7.0	410,489	7.0	423,631
Unclassified					
SENIOR LEGAL COUNSEL	00134A	1.0	93,005	1.0	96,704
Subtotal Unclassified		1.0	93,005	1.0	96,704
Subtotal		8.0	503,494	8.0	520,335
Turnover			(47,018)		(48,477)
Total Salaries			456,476		471,858
Benefits					
FICA			34,920		36,097
Health Benefits			81,275		82,773
Payroll Accrual			2,653		2,752
Retiree Health			30,356		27,698
Retirement			123,854		133,476
Subtotal			273,058		282,796
Total Salaries and Benefits		8.0	729,534	8.0	754,654
Cost Per FTE Position			91,192		94,332
Statewide Benefit Assessment			18,032		18,638
Payroll Costs		8.0	747,566	8.0	773,292
Purchased Services					
Information Technology			30,000		30,000
Subtotal			30,000		30,000
Total Personnel		8.0	777,566	8.0	803,292
Distribution by Source of Funds					
General Revenue		8.0	777,566	8.0	803,292
Total All Funds		8.0	777,566	8.0	803,292

Agency Summary

GENERAL ASSEMBLY

Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process.

Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

Budget

General Assembly

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
General Assembly	5,666,275	6,359,805	6,305,160	10,435,613	6,373,767
Fiscal Advisory Staff	1,477,516	1,676,157	1,987,588	2,019,930	2,011,174
Legislative Council	4,073,704	4,185,225	5,208,213	5,118,967	5,042,783
Joint Comm. on Legislative Services	22,956,334	23,732,146	26,035,705	29,161,144	27,342,009
Auditor General	5,100,409	4,838,419	6,085,820	6,019,256	6,130,604
Special Legislative Commissions	7,841	6,864	13,629	13,900	13,900
Total Expenditures	39,282,079	40,798,616	45,636,115	52,768,810	46,914,237
Expenditures by Object					
Salary And Benefits	33,843,374	34,801,110	38,500,770	39,188,071	39,548,219
Contract Professional Services	442,260	392,724	680,500	1,550,100	700,100
Operating Supplies And Expenses	2,622,492	2,686,302	3,588,345	6,998,139	3,726,918
Assistance And Grants	2,183,814	2,033,621	2,300,000	2,300,000	2,300,000
Subtotal: Operating	39,091,940	39,913,757	45,069,615	50,036,310	46,275,237
Capital Purchases And Equipment	190,139	884,859	566,500	2,732,500	639,000
Subtotal: Other	190,139	884,859	566,500	2,732,500	639,000
Total Expenditures	39,282,079	40,798,616	45,636,115	52,768,810	46,914,237
Expenditures by Source of Funds					
General Revenue	37,831,305	39,348,616	43,804,101	50,963,049	45,075,055
Restricted Receipts	1,450,774	1,450,000	1,832,014	1,805,761	1,839,182
Total Expenditures	39,282,079	40,798,616	45,636,115	52,768,810	46,914,237
FTE Authorization	298.5	298.5	298.5	298.5	298.5

Personnel Agency Summary

General Assembly

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Unclassified	298.5	23,436,723	298.5	23,543,308
Subtotal	298.5	23,436,723	298.5	23,543,308
Seasonal/Special Salaries/Wages		570,000		570,000
Total Salaries		24,006,723		24,113,308
Benefits				
Contract Stipends		30,000		30,000
FICA		1,795,177		1,806,393
Health Benefits		4,988,650		5,109,562
Payroll Accrual		133,853		134,794
Retiree Health		1,433,564		1,271,666
Retirement		5,875,114		6,153,292
Subtotal		14,256,358		14,505,707
Total Salaries and Benefits	298.5	38,263,081	298.5	38,619,015
Cost Per FTE Position		128,185		129,377
Statewide Benefit Assessment		924,990		929,204
Payroll Costs	298.5	39,188,071	298.5	39,548,219
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Clerical and Temporary Services		80,000		80,000
Design and Engineering Services		125,000		0
Information Technology		495,000		220,000
Legal Services		290,000		225,000
Management & Consultant Services		553,500		168,500
Other Contracts		3,100		3,100
Subtotal		1,550,100		700,100
Total Personnel	298.5	40,738,171	298.5	40,248,319
Distribution by Source of Funds				
General Revenue	298.5	39,090,135	298.5	38,585,762
Restricted Receipts	0.0	1,648,036	0.0	1,662,557
Total All Funds	298.5	40,738,171	298.5	40,248,319

Program Summary

GENERAL ASSEMBLY

General Assembly

Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process.

Description

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution. The composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

Budget

Agency: General Assembly

General Assembly

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	5,666,275	6,359,805	6,305,160	10,435,613	6,373,767
Total Expenditures	5,666,275	6,359,805	6,305,160	10,435,613	6,373,767
Expenditures by Object					
Salary and Benefits	3,723,217	3,796,425	3,834,207	3,792,135	3,824,184
Contract Professional Services	364,367	321,543	442,000	917,000	452,000
Operating Supplies and Expenses	1,549,801	1,496,750	1,856,953	3,702,978	1,925,583
Subtotal: Operating	5,637,385	5,614,718	6,133,160	8,412,113	6,201,767
Capital Purchases And Equipment	28,890	745,087	172,000	2,023,500	172,000
Subtotal: Other	28,890	745,087	172,000	2,023,500	172,000
Total Expenditures	5,666,275	6,359,805	6,305,160	10,435,613	6,373,767
Expenditures by Source of Funds					
General Revenue	5,666,275	6,359,805	6,305,160	10,435,613	6,373,767
Total Expenditures	5,666,275	6,359,805	6,305,160	10,435,613	6,373,767

Personnel

Agency: General Assembly

General Assembly

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
REPRESENTATIVE	00509F	0.0	1,209,308	0.0	1,209,308
REPRESENTATIVE-SPEAKER OF THE HOUSE	00511F	0.0	32,683	0.0	32,683
SENATOR	00509F	0.0	604,654	0.0	604,654
SENATOR-PRESIDENT OF THE SENATE	00511F	0.0	32,683	0.0	32,683
Subtotal Unclassified		0.0	1,879,328	0.0	1,879,328
Subtotal		0.0	1,879,328	0.0	1,879,328
Seasonal/Special Salaries/Wages			475,000		475,000
Total Salaries			2,354,328		2,354,328
Benefits					
FICA			158,802		159,156
Health Benefits			1,196,433		1,228,128
Payroll Accrual			8,395		8,395
Subtotal			1,363,630		1,395,679
Total Salaries and Benefits		0.0	3,717,958	0.0	3,750,007
Cost Per FTE Position			0		0
Statewide Benefit Assessment			74,177		74,177
Payroll Costs		0.0	3,792,135	0.0	3,824,184
Purchased Services					
Clerical and Temporary Services			79,500		79,500
Design and Engineering Services			125,000		0
Legal Services			235,000		220,000
Management & Consultant Services			475,000		150,000
Other Contracts			2,500		2,500
Subtotal			917,000		452,000
Total Personnel		0.0	4,709,135	0.0	4,276,184
Distribution by Source of Funds					
General Revenue		0.0	4,709,135	0.0	4,276,184
Total All Funds		0.0	4,709,135	0.0	4,276,184

Program Summary

GENERAL ASSEMBLY

Fiscal Advisory Staff

Mission

Fiscal Advisory Staff members perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

Description

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Plan. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established in 1959. Statutory provisions for the Fiscal Advisory Staff are contained in R.I General Laws §22-6.

Budget

Agency: General Assembly

Fiscal Advisory Staff

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	1,477,516	1,676,157	1,987,588	2,019,930	2,011,174
Total Expenditures	1,477,516	1,676,157	1,987,588	2,019,930	2,011,174
Expenditures by Object					
Salary and Benefits	1,410,328	1,596,867	1,859,383	1,864,225	1,880,774
Operating Supplies and Expenses	67,188	79,289	103,205	110,705	105,400
Subtotal: Operating	1,477,516	1,676,157	1,962,588	1,974,930	1,986,174
Capital Purchases And Equipment	0	0	25,000	45,000	25,000
Subtotal: Other	0	0	25,000	45,000	25,000
Total Expenditures	1,477,516	1,676,157	1,987,588	2,019,930	2,011,174
Expenditures by Source of Funds					
General Revenue	1,477,516	1,676,157	1,987,588	2,019,930	2,011,174
Total Expenditures	1,477,516	1,676,157	1,987,588	2,019,930	2,011,174

Personnel

Agency: General Assembly

Fiscal Advisory Staff

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	07821F	1.0	62,640	1.0	62,952
ANALYST I	07722F	1.0	62,794	1.0	63,106
ANALYST II	07722F	1.0	62,794	1.0	63,106
ANALYST II	07992F	1.0	69,227	1.0	69,572
ANALYST III	07722F	3.0	188,382	3.0	189,318
ANALYST III	07904F	2.0	144,164	2.0	144,882
DEPUTY FISCAL ADVISOR III	07914F	1.0	131,978	1.0	132,635
HOUSE FISCAL ADVISOR	07836F	1.0	201,276	1.0	202,278
PRINCIPAL ANALYST I	07749F	1.0	117,860	1.0	118,447
PRINCIPAL ANALYST III	07775F	1.0	121,046	1.0	121,648
Subtotal Unclassified		13.0	1,162,161	13.0	1,167,944
Subtotal		13.0	1,162,161	13.0	1,167,944
Total Salaries			1,162,161		1,167,944
Benefits					
FICA			84,947		85,604
Health Benefits			168,934		172,474
Payroll Accrual			6,770		6,821
Retiree Health			77,286		68,557
Retirement			318,226		333,239
Subtotal			656,163		666,695
Total Salaries and Benefits		13.0	1,818,324	13.0	1,834,639
Cost Per FTE Position			139,871		141,126
Statewide Benefit Assessment			45,901		46,135
Payroll Costs		13.0	1,864,225	13.0	1,880,774
Total Personnel		13.0	1,864,225	13.0	1,880,774
Distribution by Source of Funds					
General Revenue		13.0	1,864,225	13.0	1,880,774
Total All Funds		13.0	1,864,225	13.0	1,880,774

Program Summary

GENERAL ASSEMBLY

Legislative Council

Mission

The Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries, and clerical aides provides legislators with information on matters pending or to come before the Legislature. The Legislative Council's principal activity is drafting of legislation for individual members.

Description

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as the Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing, duplication, and delivery of bills to legislators in the House and Senate chambers.

Statutory History

The Legislative Council was established in 1939. Its statutory provisions are contained in R.I. General Laws § 22-8.

Budget

Agency: General Assembly

Legislative Council

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	4,073,704	4,185,225	5,208,213	5,118,967	5,042,783
Total Expenditures	4,073,704	4,185,225	5,208,213	5,118,967	5,042,783
Expenditures by Object					
Salary and Benefits	4,020,244	4,126,074	5,028,444	4,813,698	4,860,333
Contract Professional Services	0	0	5,500	55,500	5,500
Operating Supplies and Expenses	53,460	59,151	129,269	173,769	131,950
Subtotal: Operating	4,073,704	4,185,225	5,163,213	5,042,967	4,997,783
Capital Purchases And Equipment	0	0	45,000	76,000	45,000
Subtotal: Other	0	0	45,000	76,000	45,000
Total Expenditures	4,073,704	4,185,225	5,208,213	5,118,967	5,042,783
Expenditures by Source of Funds					
General Revenue	4,073,704	4,185,225	5,208,213	5,118,967	5,042,783
Total Expenditures	4,073,704	4,185,225	5,208,213	5,118,967	5,042,783

Personnel

Agency: General Assembly

Legislative Council

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ANALYST	07857F	1.0	55,047	1.0	55,321
ANALYST I	07765F	0.6	48,071	0.6	48,310
CHIEF ASST TO DIRECTOR	07991F	1.0	131,582	1.0	132,238
CLERICAL	07811F	1.0	57,042	1.0	57,324
CLERICAL	07950F	2.0	66,698	2.0	67,030
CONSTITUENT LIAISON	07976F	1.0	56,177	1.0	56,456
DEPUTY DIRECTOR	07788F	2.0	201,750	2.0	202,752
DIRECTOR OF LEGISLATIVE COUNCIL	07823F	1.0	132,736	1.0	133,397
LAW CLERK	07742F	1.0	54,646	1.0	54,918
LEGAL COUNSEL	07706F	0.6	26,800	0.6	26,934
LEGAL COUNSEL	07719F	1.8	82,491	1.8	82,902
LEGAL COUNSEL	07734F	0.6	44,661	0.6	44,883
LEGAL COUNSEL	07747F	1.0	84,182	1.0	84,591
LEGAL COUNSEL	07750F	0.6	43,957	0.6	44,176
LEGAL COUNSEL	07777F	0.6	24,961	0.6	25,084
LEGAL COUNSEL	07819F	0.6	25,749	0.6	25,877
LEGAL COUNSEL	07859F	4.2	196,603	4.2	197,583
LEGAL COUNSEL	07864F	1.0	63,861	1.0	64,179
LEGAL COUNSEL	07866F	0.6	62,668	0.6	62,980
LEGAL COUNSEL	07961F	0.6	26,078	0.6	26,208
LEGISLATIVE AIDE	07751F	1.0	67,126	1.0	67,461
LEGISLATIVE AIDE	07778F	0.6	22,035	0.6	22,145
LEGISLATIVE AIDE	07812F	1.0	59,150	1.0	59,444
LEGISLATIVE AIDE	07847F	1.0	55,179	1.0	55,453
LEGISLATIVE AIDE	07886F	1.0	65,187	1.0	65,512
LEGISLATIVE AIDE	07895F	1.0	46,695	1.0	46,927
LEGISLATIVE AIDE	07934F	1.0	48,405	1.0	48,645
LEGISLATIVE ANALYST	07724F	1.0	67,313	1.0	67,648
LEGISLATIVE COUNSEL	07757F	0.6	37,564	0.6	37,751
LEGISLATIVE LEGAL COUNSEL	07792F	0.6	70,778	0.6	71,130
LEGISLATIVE LEGAL COUNSEL	07859F	3.6	174,292	3.6	175,161
LEGISLATIVE RESEARCHER	07907F	1.0	41,726	1.0	41,933
PROOFER	07971F	1.0	34,526	1.0	34,698
RESEARCHER II	07978F	1.0	66,912	1.0	67,245
SECRETARY	07754F	1.0	53,953	1.0	54,221
SECRETARY	07777F	2.0	83,200	2.0	83,614
SECRETARY I	07723F	1.0	58,186	1.0	58,476

Personnel

Agency: General Assembly

Legislative Council

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
SECRETARY I	07974F	1.0	52,232	1.0	52,493
SENIOR LEGAL COUNSEL	07743F	1.0	110,854	1.0	111,404
SENIOR LEGISLATIVE ANALYST	07804F	1.0	65,260	1.0	65,584
SENIOR PROOFER/SECRETARY	07858F	1.0	45,034	1.0	45,258
Subtotal Unclassified		46.2	2,811,367	46.2	2,825,346
Subtotal		46.2	2,811,367	46.2	2,825,346
Total Salaries			2,811,367		2,825,346
Benefits					
FICA			215,069		216,136
Health Benefits			708,790		724,527
Payroll Accrual			16,346		16,476
Retiree Health			186,951		165,843
Retirement			764,121		800,407
Subtotal			1,891,277		1,923,389
Total Salaries and Benefits		46.2	4,702,644	46.2	4,748,735
Cost Per FTE Position			101,789		102,786
Statewide Benefit Assessment			111,054		111,598
Payroll Costs		46.2	4,813,698	46.2	4,860,333
Purchased Services					
Clerical and Temporary Services			500		500
Legal Services			55,000		5,000
Subtotal			55,500		5,500
Total Personnel		46.2	4,869,198	46.2	4,865,833
Distribution by Source of Funds					
General Revenue		46.2	4,869,198	46.2	4,865,833
Total All Funds		46.2	4,869,198	46.2	4,865,833

Program Summary

GENERAL ASSEMBLY

Joint Commission on Legislative Services

Mission

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

Description

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and repairs to equipment and furnishings of the Legislature.

Statutory History

The Joint Committee on Legislative Services was established as a permanent joint committee in 1960. Its statutory provisions are contained in R.I. General Laws § 22-11.

Budget

Agency: General Assembly

Joint Comm. on Legislative Services

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	22,956,334	23,732,146	26,035,705	29,161,144	27,342,009
Total Expenditures	22,956,334	23,732,146	26,035,705	29,161,144	27,342,009
Expenditures by Object					
Salary and Benefits	20,040,846	20,892,530	22,210,985	23,235,107	23,451,674
Contract Professional Services	70,788	68,581	232,000	567,000	232,000
Operating Supplies and Expenses	517,943	597,642	1,000,720	2,503,537	1,041,335
Assistance And Grants	2,183,814	2,033,621	2,300,000	2,300,000	2,300,000
Subtotal: Operating	22,813,391	23,592,374	25,743,705	28,605,644	27,025,009
Capital Purchases And Equipment	142,943	139,772	292,000	555,500	317,000
Subtotal: Other	142,943	139,772	292,000	555,500	317,000
Total Expenditures	22,956,334	23,732,146	26,035,705	29,161,144	27,342,009
Expenditures by Source of Funds					
General Revenue	22,956,334	23,732,146	26,035,705	29,161,144	27,342,009
Total Expenditures	22,956,334	23,732,146	26,035,705	29,161,144	27,342,009

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	07716F	1.0	89,356	1.0	89,801
ADMINISTRATIVE ASSISTANT	07721F	1.0	84,415	1.0	84,835
ADMINISTRATIVE ASSISTANT	07754F	1.0	64,744	1.0	65,065
ADMINISTRATIVE ASSISTANT	07776F	1.0	65,318	1.0	65,644
ADMINISTRATIVE ASSISTANT	07804F	1.0	65,260	1.0	65,584
ADMINISTRATIVE ASSISTANT	07857F	1.0	60,290	1.0	60,590
ADMINISTRATIVE ASSISTANT	07872F	1.0	38,457	1.0	38,648
ADMINISTRATIVE ASSISTANT	07887F	3.0	170,446	3.0	171,294
ADMINISTRATIVE ASSISTANT	07908F	2.0	122,104	2.0	122,711
ADMINISTRATIVE ASSISTANT	07925F	1.0	72,501	1.0	72,862
ADMINISTRATIVE ASSISTANT	07929F	1.0	73,095	1.0	73,458
ADMINISTRATIVE ASSISTANT	07951F	1.0	58,860	1.0	59,153
ADMINISTRATIVE ASSISTANT	07973F	1.0	57,878	1.0	58,166
ADMINISTRATIVE ASSISTANT	07977F	1.0	53,643	1.0	53,910
ADMIN OF HOUSE OVERSIGHT	07936F	1.0	70,268	1.0	70,618
ASSISTANT CLERK - FINANCE	07773F	1.0	49,573	1.0	49,820
ASSISTANT CLERK HOUSE FINANCE	07847F	1.0	50,163	1.0	50,412
ASSISTANT COMPUTER OPERATOR	07951F	1.0	58,860	1.0	59,153
ASSISTANT DIRECTOR	07745F	1.0	91,216	1.0	91,670
ASSISTANT DIRECTOR/CONTROLLER	07714F	1.0	116,724	1.0	117,305
ASSISTANT ENGINEER CAPITOL TV	07859F	1.0	58,562	1.0	58,854
ASSISTANT PROGRAM DIRECTOR	07887F	1.0	55,884	1.0	56,162
ASSISTANT RECORD CLERK/CONST SRVS	07957F	1.0	63,029	1.0	63,343
ASSOCIATE DIRECTOR CONSTR SRVS	07835F	1.0	75,028	1.0	75,402
ASSOCIATE DIRECTOR IT	07993F	1.0	101,765	1.0	102,271
ASST DIRECTOR OF LAW REVISION	07959F	1.0	99,937	1.0	100,434
CAMERA OPERATOR	07797F	1.0	96,160	1.0	96,638
CAPITAL TV TECHNICIAN	07973F	1.0	55,247	1.0	55,522
CHIEF LEGAL COUNSEL	07855F	1.0	165,563	1.0	166,386
CHIEF LEGAL COUNSEL	07999F	1.0	170,920	1.0	171,770
CHIEF OF STAFF	07834F	2.0	350,044	2.0	351,784
CHIEF OF STAFF	07871F	1.0	79,712	1.0	80,108
CHIEF OF STAFF	07873F	1.0	88,276	1.0	88,716
CLERICAL	07817F	1.0	45,960	1.0	46,188
CLERICAL	07950F	2.0	66,698	2.0	67,030
CLERK CORPORATIONS COMMITTEE	07886F	1.0	62,083	1.0	62,392
CLERK-HOUSE FINANCE	07728F	1.0	78,834	1.0	79,226

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
CLERK- LABOR COMMITTEE	07742F	0.6	34,427	0.6	34,599
COMM/MULTI MEDIA SUPPORT STAFF	07922F	1.0	52,903	1.0	53,166
COMM & RESEARCH ANALYST	07886F	1.0	62,083	1.0	62,392
COMMUNICATIONS DIRECTOR	07955F	1.0	59,305	1.0	59,600
COMPUTER ENGINEER	07820H	0.4	17,857	0.4	17,857
CONSTITUENT CASEWORKER	07773F	2.0	99,146	2.0	99,640
CONSTITUENT LIAISON	07773F	1.0	54,530	1.0	54,802
CONSTITUENT LIAISON	07902F	1.0	47,700	1.0	47,937
CONSTITUENT LIAISON	07973F	1.0	55,247	1.0	55,522
CONSTITUENT LIAISON I	07942F	1.0	70,427	1.0	70,777
CONSTITUENT SERVICES LIAISON	07976F	1.0	53,502	1.0	53,768
CONSTITUENT SVS CASEWORKER	07980F	1.0	62,009	1.0	62,317
DATA ANALYST	07824F	3.0	169,003	3.0	169,842
DEP CHIEF OF STAFF/LEGISLATION	07979F	1.0	135,430	1.0	136,103
DEPUTY CHIEF OF STAFF	07714F	1.0	116,724	1.0	117,305
DEPUTY CHIEF OF STAFF	07940F	1.0	88,790	1.0	89,231
DEPUTY DIR CONSTITUENT SERVICE	07835F	1.0	78,779	1.0	79,172
DEPUTY DIRECTOR	07972F	1.0	109,325	1.0	109,856
DEPUTY FISCAL ADVISOR	07793F	1.0	114,916	1.0	115,487
DEPUTY LEGAL COUNSEL	07914F	1.0	131,978	1.0	132,635
DEPUTY LEGISLATIVE DIRECTOR	07835F	1.0	75,028	1.0	75,402
DEPUTY POLICY DIRECTOR	07972F	1.0	97,045	1.0	97,528
DIRECTOR	07875F	1.0	116,258	1.0	116,836
DIRECTOR - CAPITOL TV	07859F	0.6	27,887	0.6	28,026
DIRECTOR OF COMMUNICATIONS	07867F	1.0	123,381	1.0	123,996
DIRECTOR OF COMMUNICATIONS	07929F	1.0	73,095	1.0	73,458
DIRECTOR OF CONSTITNENT SERVIC	07959F	1.0	99,937	1.0	100,434
DIRECTOR OF HOUSE POLICY	07878F	1.0	151,393	1.0	152,146
DIRECTOR OF IT	07914F	1.0	144,547	1.0	145,267
DIRECTOR OF LAW REVISION	07855F	1.0	144,867	1.0	145,588
DIRECTOR OF LEGAL SERVICES - SENATE	07736F	0.6	69,101	0.6	69,444
DIRECTOR OF SENATE POLICY	07711F	1.0	130,045	1.0	130,692
DIRECTOR OF SENATE SERVICES	07826F	1.0	73,120	1.0	73,483
DIR OF CONSTITUENT SERVICES	07915F	1.0	86,427	1.0	86,856
DIR OF LEGAL SVS FOR HSE COMM	07736F	0.6	69,101	0.6	69,444
DIR OF THE LEGIS PRESS BUREAU	07768F	1.0	89,081	1.0	89,524
EXECUTIVE ASSISTANT	07776F	1.0	62,208	1.0	62,518

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE ASSISTANT	07945F	0.5	19,260	0.5	19,356
EXECUTIVE ASSISTANT	07986F	1.0	106,952	1.0	107,485
EXECUTIVE DIRECTOR TO JCLS	07703F	1.0	167,118	1.0	167,949
FISCAL ANALYST	07722F	1.0	62,794	1.0	63,106
FISCAL ANALYST I	07722F	1.0	62,794	1.0	63,106
FISCAL ANALYST II	07722F	1.0	62,794	1.0	63,106
FISCAL ANALYST II	07725F	1.0	76,794	1.0	77,176
HOUSE DIR OF COMMUNICATIONS	07711F	1.0	136,547	1.0	137,227
HOUSE PARLIAMENTARIAN-LEG COUN	07889F	1.0	112,759	1.0	113,320
HOUSE READING CLERK	07820F	0.9	85,400	0.9	85,824
HOUSE RECORDING CLERK	07857H	0.6	50,912	0.6	50,912
IT TECHNICAL SPECIALIST II	07710F	1.0	78,053	1.0	78,441
IT TECHNICAL SPECIALIST II	07871F	1.0	73,070	1.0	73,433
LEGAL COUNSEL	07711F	1.0	143,050	1.0	143,761
LEGAL COUNSEL	07719F	1.8	82,491	1.8	82,902
LEGAL COUNSEL	07731F	0.6	76,046	0.6	76,424
LEGAL COUNSEL	07735F	0.6	40,065	0.6	40,264
LEGAL COUNSEL	07736F	0.6	79,466	0.6	79,861
LEGAL COUNSEL	07775F	1.0	126,810	1.0	127,441
LEGAL COUNSEL	07781F	0.6	34,585	0.6	34,758
LEGAL COUNSEL	07822F	1.0	102,882	1.0	103,394
LEGAL COUNSEL	07843F	0.6	31,226	0.6	31,382
LEGAL COUNSEL	07859F	0.6	27,887	0.6	28,026
LEGAL COUNSEL	07886F	0.6	44,295	0.6	44,499
LEGAL COUNSEL	07907F	0.6	27,419	0.6	27,556
LEGAL COUNSEL	07916F	0.6	51,911	0.6	52,169
LEGAL COUNSEL	07941F	0.6	27,232	0.6	27,368
LEGAL COUNSEL	07963F	1.0	108,303	1.0	108,842
LEGAL COUNSEL - PT	07859F	0.6	27,887	0.6	28,026
LEGAL COUNSEL - PT	07993F	0.6	63,967	0.6	64,285
LEGISLATIVE AIDE	07734F	1.0	78,157	1.0	78,545
LEGISLATIVE AIDE	07758F	1.0	49,012	1.0	49,256
LEGISLATIVE AIDE	07773F	1.0	49,573	1.0	49,820
LEGISLATIVE AIDE	07777F	1.0	45,760	1.0	45,988
LEGISLATIVE AIDE	07778F	3.0	115,686	3.0	116,262
LEGISLATIVE AIDE	07812F	1.0	59,150	1.0	59,444
LEGISLATIVE AIDE	07819F	1.0	45,060	1.0	45,285

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
LEGISLATIVE AIDE	07870H	0.6	19,493	0.6	19,493
LEGISLATIVE AIDE	07926F	0.7	27,146	0.7	27,281
LEGISLATIVE AIDE	07928F	1.0	45,594	1.0	45,821
LEGISLATIVE AIDE	07958F	1.6	71,589	1.6	71,945
LEGISLATIVE AIDE	07983F	3.5	130,755	3.5	131,407
LEGISLATIVE AID - PT	07926F	0.6	21,716	0.6	21,824
LEGISLATIVE ASSISTANT	07713F	1.0	45,835	1.0	46,063
LEGISLATIVE ASSISTANT	07723F	1.0	66,914	1.0	67,247
LEGISLATIVE ASSISTANT	07755F	0.6	37,254	0.6	37,439
LEGISLATIVE ASSISTANT	07764F	0.6	29,882	0.6	30,031
LEGISLATIVE ASSISTANT	07773F	2.0	99,146	2.0	99,640
LEGISLATIVE ASSISTANT	07890F	1.0	66,658	1.0	66,990
LEGISLATIVE FINANCIAL OFFICER	07833F	1.0	74,935	1.0	75,308
LEGISLATIVE GRANTS COORDINATOR	07741F	1.0	83,466	1.0	83,881
LEGISLATIVE GRANTS COORDINATOR	07841F	1.0	63,338	1.0	63,653
LEGISLATIVE PERSONNEL ADMIN	07815F	1.0	125,091	1.0	125,712
LEGISLATIVE PROJECT COORDINATO	07766F	1.0	48,725	1.0	48,968
LEGISLATIVE PROJECT COORDINATO	07856F	1.0	59,437	1.0	59,732
MANAGER COPY CENTER	07941F	1.0	47,657	1.0	47,894
MANAGER DATA SYSTEMS	07784F	1.0	95,287	1.0	95,761
MANAGER HOUSE OPERATIONS	07841F	1.0	60,322	1.0	60,622
NETWORK AND SYSTEMS TECH	07905F	1.0	66,577	1.0	66,908
NETWORK & SYSTEMS TECHNICIANII	07905F	1.0	66,577	1.0	66,908
OPERATIONS PROJECT COORDINATOR	07897F	1.0	57,307	1.0	57,592
POLICY ANALYST	07886F	1.0	68,291	1.0	68,631
POLICY ANALYST	07897F	1.0	57,307	1.0	57,592
POLICY ANALYST I	07722F	1.0	62,794	1.0	63,106
POLICY ANALYST I	07844F	1.0	52,646	1.0	52,908
POLICY ANALYST I	07868H	0.6	39,019	0.6	39,019
POLICY ANALYST II	07738F	1.0	70,283	1.0	70,633
PRESS OPERATOR	07782F	3.0	156,672	3.0	157,452
PRINCIPAL POLICY ANALYST	07737F	1.0	75,641	1.0	76,017
PRODUCTION DIRECTOR	07745F	1.0	91,216	1.0	91,670
PROGRAM OFFICER	07742F	1.0	54,646	1.0	54,918
PROGRAM OFFICER	07806F	1.0	43,997	1.0	44,216
PROJ COORD/LEGISLATIVE AIDE	07779F	0.9	44,149	0.9	44,368
PUBLICIST	07722F	1.0	62,794	1.0	63,106

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
PUBLICIST	07887F	1.0	55,884	1.0	56,162
SECRETARY	07761F	1.2	68,688	1.2	69,030
SECRETARY	07764F	2.0	107,076	2.0	107,610
SECRETARY	07777F	1.0	41,600	1.0	41,807
SECRETARY	07817F	1.0	43,771	1.0	43,989
SECRETARY	07856F	1.0	68,352	1.0	68,692
SECRETARY	07859F	2.0	100,044	2.0	100,542
SECRETARY	07930F	1.0	63,784	1.0	64,101
SECRETARY/CLERK	07802F	1.0	52,902	1.0	53,166
SECRETARY I	07773F	1.0	54,530	1.0	54,802
SECRETARY I	07802F	1.0	59,200	1.0	59,495
SECRETARY OF THE SENATE	07940F	1.0	88,790	1.0	89,231
SENATE FISCAL ADVISOR	07762F	1.0	162,794	1.0	163,605
SENATE PARLIAMENTARIAN - PT	07993F	0.6	58,152	0.6	58,441
SENATE SERVICES ASSISTANT	07758F	1.0	44,556	1.0	44,778
SENIOR DEPUTY CHIEF OF STAFF	07943F	1.0	119,146	1.0	119,739
SENIOR LEGAL COUNSEL	07795F	1.0	73,424	1.0	73,789
SENIOR LEGAL COUNSEL	07906F	1.0	105,089	1.0	105,612
SENIOR POLICY ANALYST	07812F	1.0	59,150	1.0	59,444
SENIOR POLICY ANALYST	07996F	1.0	70,671	1.0	71,023
SENIOR PRESS OPERATOR	07891F	1.0	60,826	1.0	61,129
SENIOR SECRETARY	07989F	1.0	63,940	1.0	64,259
SPEC ASST TO THE MAJORITY LEAD	07714F	1.0	99,340	1.0	99,834
SR ANALYST/LEG COORDINATOR	07996F	1.0	67,306	1.0	67,641
SR LEGISLATIVE FISCAL ANLAYST	07784F	1.0	93,259	1.0	93,724
SR. PRODUCER/DIRECTOR	07729F	1.0	79,779	1.0	80,176
SR PROJECT MANAGER	07738F	1.0	77,311	1.0	77,696
SUPERVISOR CLERICAL SERVICES	07727F	1.0	66,245	1.0	66,575
SUPERVISOR HOUSE OPERATIONS	07783F	1.0	87,243	1.0	87,677
SUPERVISOR VET'S AFFAIRS OFFI	07908F	1.0	65,519	1.0	65,845
TELEVISION MAINTENANCE ENGINEE	07771F	1.0	85,684	1.0	86,110
TV DIRECTOR	07980F	1.0	62,009	1.0	62,317
T.V. TECHNICIAN	07819F	1.0	51,819	1.0	52,078
TV TECHNICIAN	07773F	4.0	198,292	4.0	199,280
T.V. TECHNICIAN II	07730F	1.0	57,916	1.0	58,204
Subtotal Unclassified		194.3	14,210,889	194.3	14,280,933

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Subtotal	194.3	14,210,889	194.3	14,280,933
Seasonal/Special Salaries/Wages		95,000		95,000
Total Salaries		14,305,889		14,375,933
Benefits				
FICA		1,080,385		1,088,019
Health Benefits		2,380,373		2,439,272
Payroll Accrual		82,739		83,348
Retiree Health		945,024		838,285
Retirement		3,880,072		4,063,420
Subtotal		8,368,593		8,512,344
Total Salaries and Benefits	194.3	22,674,482	194.3	22,888,277
Cost Per FTE Position		116,698		117,799
Statewide Benefit Assessment		560,625		563,397
Payroll Costs	194.3	23,235,107	194.3	23,451,674
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Information Technology		495,000		220,000
Management & Consultant Services		68,500		8,500
Subtotal		567,000		232,000
Total Personnel	194.3	23,802,107	194.3	23,683,674
Distribution by Source of Funds				
General Revenue	194.3	23,802,107	194.3	23,683,674
Total All Funds	194.3	23,802,107	194.3	23,683,674

Program Summary

GENERAL ASSEMBLY

Auditor General

Mission

The Office of the Auditor General provides independent audits, accounting, and evaluation of state government programs to the General Assembly for its legislative oversight and capability.

Description

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance. The Office also completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I. General Laws § 22-13.

Budget

Agency: General Assembly

Auditor General

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	5,100,409	4,838,419	6,085,820	6,019,256	6,130,604
Total Expenditures	5,100,409	4,838,419	6,085,820	6,019,256	6,130,604
Expenditures by Object					
Salary and Benefits	4,648,739	4,389,213	5,567,751	5,482,906	5,531,254
Contract Professional Services	7,105	2,600	1,000	10,600	10,600
Operating Supplies and Expenses	426,259	446,606	484,569	493,250	508,750
Subtotal: Operating	5,082,103	4,838,419	6,053,320	5,986,756	6,050,604
Capital Purchases And Equipment	18,306	0	32,500	32,500	80,000
Subtotal: Other	18,306	0	32,500	32,500	80,000
Total Expenditures	5,100,409	4,838,419	6,085,820	6,019,256	6,130,604
Expenditures by Source of Funds					
General Revenue	3,649,635	3,388,419	4,253,806	4,213,495	4,291,422
Restricted Receipts	1,450,774	1,450,000	1,832,014	1,805,761	1,839,182
Total Expenditures	5,100,409	4,838,419	6,085,820	6,019,256	6,130,604

Personnel

Agency: General Assembly

Auditor General

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	07912F	1.0	41,903	1.0	42,111
ADMINISTRATIVE AIDE	07917F	1.0	42,544	1.0	42,756
ADMINISTRATIVE AIDE	07995F	1.0	51,630	1.0	51,887
ADMINISTRATIVE ASSISTANT	07773F	1.0	57,009	1.0	57,293
ADMINISTRATIVE OFFICER	07750F	1.0	76,925	1.0	77,307
ASSISTANT AUDIT MANAGER	07726F	1.0	94,745	1.0	95,217
ASSISTANT DATA SYSTEMS COORD	07976F	1.0	61,528	1.0	61,834
ASST INFORMATION SYSTEMS MGR	07997F	1.0	76,981	1.0	77,364
AUDIT MANAGER	07743F	1.0	106,235	1.0	106,763
AUDIT MANAGER	07788F	1.0	103,067	1.0	103,580
AUDIT MANAGER	07985F	1.0	95,517	1.0	95,993
AUDITOR	07764F	5.0	249,015	5.0	250,255
AUDITOR	07951F	1.0	56,057	1.0	56,336
AUDITOR GENERAL	07703F	1.0	170,673	1.0	171,523
COMMUNICATIONS LIAISON OFFICER	07975F	0.8	55,404	0.8	55,679
CUSTODIAN	07877F	1.0	38,036	1.0	38,224
DEPUTY DIRECTOR	07957F	1.0	72,483	1.0	72,844
INFORMATION SYSTEMS AUDIT MGR	07972F	1.0	97,046	1.0	97,528
LEGAL COUNSEL	07850F	0.6	61,323	0.6	61,628
PRINCIPAL AUDITOR	07747F	2.0	140,208	2.0	140,906
PRINCIPAL AUDITOR	07804F	2.0	130,520	2.0	131,168
PRINCIPAL AUDITOR	07835F	1.0	75,028	1.0	75,401
PRINCIPAL AUDITOR	07929F	1.0	76,575	1.0	76,956
PRINCIPAL AUDITOR	07982F	1.0	78,977	1.0	79,370
PRINCIPAL IT AUDITOR	07997F	1.0	84,679	1.0	85,101
SENIOR AUDIT MANAGER	07752F	1.0	127,405	1.0	128,037
SENIOR AUDIT MANAGER	07792F	1.0	123,862	1.0	124,478
SENIOR AUDIT MANAGER	07906F	1.0	120,101	1.0	120,700
SENIOR AUDITOR	07724F	1.0	64,108	1.0	64,427
SENIOR AUDITOR	07754F	4.0	215,812	4.0	216,884
SENIOR AUDITOR	07824F	1.0	52,000	1.0	52,259
SENIOR I.T. AUDITOR	07901F	1.0	63,861	1.0	64,179
SPECIAL PROJECTS AUDITOR	07752F	0.6	66,472	0.6	66,803
SR TECH RISK ANALYST	07940F	1.0	88,790	1.0	89,231
SUPERVISING AUDITOR	07716F	1.0	77,701	1.0	78,088
SUPERVISING AUDITOR	07771F	1.0	89,968	1.0	90,416
SUPERVISING AUDITOR	07940F	1.0	88,790	1.0	89,231

Personnel

Agency: General Assembly

Auditor General

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Unclassified				
Subtotal Unclassified	45.0	3,372,978	45.0	3,389,757
Subtotal	45.0	3,372,978	45.0	3,389,757
Total Salaries		3,372,978		3,389,757
Benefits				
Contract Stipends		30,000		30,000
FICA		255,974		257,478
Health Benefits		534,120		545,161
Payroll Accrual		19,603		19,754
Retiree Health		224,303		198,981
Retirement		912,695		956,226
Subtotal		1,976,695		2,007,600
Total Salaries and Benefits	45.0	5,349,673	45.0	5,397,357
Cost Per FTE Position		118,882		119,941
Statewide Benefit Assessment		133,233		133,897
Payroll Costs	45.0	5,482,906	45.0	5,531,254
Purchased Services				
Management & Consultant Services		10,000		10,000
Other Contracts		600		600
Subtotal		10,600		10,600
Total Personnel	45.0	5,493,506	45.0	5,541,854
Distribution by Source of Funds				
General Revenue	45.0	3,845,470	45.0	3,879,297
Restricted Receipts	0.0	1,648,036	0.0	1,662,557
Total All Funds	45.0	5,493,506	45.0	5,541,854

Program Summary

GENERAL ASSEMBLY

Special Legislative Commissions

Mission

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional, and national concern.

Description

Included in this program are the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

Budget

Agency: General Assembly

Special Legislative Commissions

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	7,841	6,864	13,629	13,900	13,900
Total Expenditures	7,841	6,864	13,629	13,900	13,900
Expenditures by Object					
Operating Supplies and Expenses	7,841	6,864	13,629	13,900	13,900
Subtotal: Operating	7,841	6,864	13,629	13,900	13,900
Total Expenditures	7,841	6,864	13,629	13,900	13,900
Expenditures by Source of Funds					
General Revenue	7,841	6,864	13,629	13,900	13,900
Total Expenditures	7,841	6,864	13,629	13,900	13,900

Agency Summary

OFFICE OF THE GOVERNOR

Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To process legislative and regulatory opportunities for the State of Rhode Island. To obtain maximum federal funding for the State of Rhode Island. To initiate reform and change in both government and the economy for the betterment of all Rhode Island citizens.

Agency Description

The Office of the Governor is established under the Constitution of Rhode Island as one of the five general offices subject to voter election. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, Community Engagement Office, Policy Office, and Boards and Commissions Office.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the Constitution of Rhode Island are faithfully executing the laws (Article IX, Section 2), commanding the state's military and naval forces (Article IX, Section 3), granting reprieves (Articles IX, Section 4) and pardons (Article IX, Section 13), convening special sessions of the General Assembly (Article IX, Section 7), and preparing and presenting to the General Assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The administration of Governor Gina M. Raimondo began on January 6, 2015.

Budget

Office Of The Governor

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	5,481,059	5,624,462	6,093,211	6,086,325	6,580,157
Total Expenditures	5,481,059	5,624,462	6,093,211	6,086,325	6,580,157
Expenditures by Object					
Salary And Benefits	4,852,049	4,970,645	5,569,066	5,554,856	5,907,600
Contract Professional Services	148,524	145,125	500	500	500
Operating Supplies And Expenses	424,587	504,806	356,545	363,869	404,957
Assistance And Grants	50,000	0	150,000	150,000	250,000
Subtotal: Operating	5,475,160	5,620,576	6,076,111	6,069,225	6,563,057
Capital Purchases And Equipment	5,899	3,886	17,100	17,100	17,100
Subtotal: Other	5,899	3,886	17,100	17,100	17,100
Total Expenditures	5,481,059	5,624,462	6,093,211	6,086,325	6,580,157
Expenditures by Source of Funds					
General Revenue	5,481,059	5,624,462	6,093,211	6,086,325	6,580,157
Total Expenditures	5,481,059	5,624,462	6,093,211	6,086,325	6,580,157
FTE Authorization	45.0	45.0	45.0	45.0	45.0

Personnel Agency Summary

Office Of The Governor

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Unclassified	45.0	4,158,475	45.0	4,407,637
Subtotal	45.0	4,158,475	45.0	4,407,637
Seasonal/Special Salaries/Wages		5,466		5,493
Turnover		(572,815)		(631,768)
Total Salaries		3,591,126		3,781,362
Benefits				
FICA		268,533		271,847
Health Benefits		306,854		381,058
Payroll Accrual		20,936		22,083
Retiree Health		238,861		221,965
Retirement		986,667		1,079,925
Subtotal		1,821,851		1,976,878
Total Salaries and Benefits	45.0	5,412,977	45.0	5,758,240
Cost Per FTE Position		120,288		127,961
Statewide Benefit Assessment		141,879		149,360
Payroll Costs	45.0	5,554,856	45.0	5,907,600
Purchased Services				
Other Contracts		500		500
Subtotal		500		500
Total Personnel	45.0	5,555,356	45.0	5,908,100
Distribution by Source of Funds				
General Revenue	45.0	5,555,356	45.0	5,908,100
Total All Funds	45.0	5,555,356	45.0	5,908,100

Personnel

Agency: Office Of The Governor

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASST (GOV OFFICE)	08315A	0.0	16,194	0.0	0
ASSISTANT DIRECTOR LEGISLATIVE OFFICE (GOV OFF)	08341A	1.0	117,606	1.0	123,430
CHIEF OF STAFF (GOVERNORS OFFICE)	08354A	1.0	195,929	1.0	203,143
CHIEF PERFORMANCE OFFICER (GOV OFFICE)	08351A	1.0	162,689	1.0	168,487
COMMUNICATIONS ASSOCIATE (GOVERNOR'S OFFICE)	08317A	1.0	26,032	1.0	43,740
COMMUNITY AFFAIRS & OUTREACH MANAGER (GOV OFF)	08335A	1.0	89,673	1.0	92,340
CONSTITUENT SERVICES ASSOCIATE II (GOV OFF)	08319A	1.0	49,624	1.0	49,871
CONSTITUENT SVC ASSOCIATE (GOV OFFICE)	08318A	1.0	48,068	1.0	48,307
DEPTY COMMUNICATIONS DIR (GOV	08348A	1.0	140,335	1.0	146,025
DEPUTY CHIEF OF STAFF (GOVERNORS OFFICE)	08351A	1.0	157,738	1.0	166,552
DEPUTY CHIEF OF STAFF (GOVERNORS OFFICE)	08352A	1.0	179,970	1.0	180,865
DEPUTY CHIEF OF STAFF (GOV OFF	08351A	1.0	31,277	0.0	0
DEPUTY CHIEF OF STAFF (GOV OFF)	08352A	1.0	176,836	1.0	180,865
DEPUTY COMMUNICATIONS DIRECTOR (GOV OFF)	08332A	1.0	45,334	1.0	77,076
DEPUTY COUNSEL (GOV OFF)	08345A	1.0	138,397	1.0	139,085
DEPUTY COUNSEL (GOV OFFICE)	08346A	1.0	132,433	1.0	138,079
DEPUTY PRESS SECRETARY (GOV OFF)	08326A	0.0	23,601	0.0	0
DIGITAL COMMUNICATION ASSOC (GOV OFFICE)	08322A	1.0	49,408	1.0	51,218
DIRECTOR OF CONSTITUENT SERVICES (GOV OFF)	08333A	1.0	78,946	1.0	82,545
DIRECTOR OF SCHEDULING (GOVERNORS OFFICE)	08334A	1.0	106,459	1.0	106,988
EDUCATION POLICY ADVISOR (GOV OFF)	08336A	1.0	91,974	1.0	95,517
EXEC ADMINISTRATIVE ASST (GOV	08323A	1.0	57,832	1.0	58,120
EXECUTIVE ASSISTANT TO THE GOVERNOR (GOVERNORS OFFICE)	08327A	1.0	74,743	1.0	75,115
EXECUTIVE COUNSEL (GOVERNOR'S OFFICE)	08347A	1.0	163,267	1.0	164,079
GOVERNOR	00527F	1.0	145,755	1.0	145,755
MANAGER OF APOPINTMENTS (GOV OFF)	08336A	1.0	90,426	1.0	95,517
OFFICE MANAGER (GOVERNORS OFFICE)	08328A	1.0	70,608	1.0	70,959
OUTREACH MANAGER (GOV OFFICE)	08329A	1.0	73,466	1.0	73,831
POLICY ADVISOR (GOV OFF)	08329A	4.0	259,534	8.0	531,112
POLICY ADVISOR (GOV OFF)	08333A	1.0	54,868	1.0	82,375
POLICY ADVISOR (GOV OFF)	08336A	3.0	185,937	2.0	179,967
POLICY ADVISOR (GOV OFFICE)_	08330A	2.0	141,545	2.0	148,521
POLICY DIRECTOR (GOVERNOR'S OFFICE)	08341A	1.0	37,316	0.0	0
PRESS SECRETARY (GOV OFF)	08336A	1.0	95,045	1.0	95,517

Personnel

Agency: Office Of The Governor

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
SCHEDULER (GOVERNOR'S OFFICE)	08324A	1.0	53,789	1.0	55,812
SENIOR ADVISOR (GOVERNOR'S OFF	08351A	1.0	73,701	0.0	0
SENIOR ADVISOR (GOV OFFICE)	08352A	1.0	174,444	1.0	180,865
SPECIAL ASSISTANT TO CHIEF OF STAFF (GOV OFF)	08325A	1.0	62,738	1.0	63,050
SPECIAL ASSISTANT TO THE GOVERNOR (GOV OFF)	08322A	1.0	49,590	1.0	51,399
SPECIAL ASSISTANT TO THE GOVERNOR (GOV OFF)	08328A	1.0	77,669	1.0	78,055
SR ADVISOR / SPECIAL COUNSEL (GOV OFFICE)	08351A	1.0	157,679	1.0	163,455
Subtotal Unclassified		45.0	4,158,475	45.0	4,407,637
Subtotal		45.0	4,158,475	45.0	4,407,637
Seasonal/Special Salaries/Wages			5,466		5,493
Turnover			(572,815)		(631,768)
Total Salaries			3,591,126		3,781,362
Benefits					
FICA			268,533		271,847
Health Benefits			306,854		381,058
Payroll Accrual			20,936		22,083
Retiree Health			238,861		221,965
Retirement			986,667		1,079,925
Subtotal			1,821,851		1,976,878
Total Salaries and Benefits		45.0	5,412,977	45.0	5,758,240
Cost Per FTE Position			120,288		127,961
Statewide Benefit Assessment			141,879		149,360
Payroll Costs		45.0	5,554,856	45.0	5,907,600
Purchased Services					
Other Contracts			500		500
Subtotal			500		500
Total Personnel		45.0	5,555,356	45.0	5,908,100
Distribution by Source of Funds					
General Revenue		45.0	5,555,356	45.0	5,908,100
Total All Funds		45.0	5,555,356	45.0	5,908,100

Agency Summary

OFFICE OF THE LIEUTENANT GOVERNOR

Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Agency Description

The Lieutenant Governor was established under the Constitution of Rhode Island as one of the five general offices subject to voter election. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his or her death, resignation, impeachment, or inability to serve. The Lieutenant Governor appoints members of the general public to serve on boards and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans' affairs, education, economic development, the environment, long-term care, health care, and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power; duties in the case of death, resignation, or impeachment of the Governor; responsibilities in the case of a vacancy of position; and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor. The Lieutenant Governor is statutorily appointed to chair the Long-Term Care Coordinating Council (R.I. General Laws § 23-17.3-2), the Emergency Management Advisory Committee (RIGL § 30-15-6), and the Small Business Advocacy Council (RIGL § 42-91-2).

Budget

Office Of Lieutenant Governor

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Lt. Governor's Office - General	1,001,557	1,108,950	1,147,816	1,145,892	1,163,022
Total Expenditures	1,001,557	1,108,950	1,147,816	1,145,892	1,163,022
Expenditures by Object					
Salary And Benefits	902,451	990,840	1,085,799	1,049,865	1,061,410
Contract Professional Services	45,486	54,511	0	34,010	32,535
Operating Supplies And Expenses	53,205	61,833	61,267	61,267	68,327
Subtotal: Operating	1,001,142	1,107,183	1,147,066	1,145,142	1,162,272
Capital Purchases And Equipment	415	1,766	750	750	750
Subtotal: Other	415	1,766	750	750	750
Total Expenditures	1,001,557	1,108,950	1,147,816	1,145,892	1,163,022
Expenditures by Source of Funds					
General Revenue	1,001,557	1,108,950	1,147,816	1,145,892	1,163,022
Total Expenditures	1,001,557	1,108,950	1,147,816	1,145,892	1,163,022
FTE Authorization	8.0	8.0	8.0	8.0	8.0

Personnel

Agency: Office Of Lieutenant Governor

Lt. Governor's Office - General

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
CHIEF OF STAFF (LT GOV)	08445A	1.0	148,222	1.0	148,960
COMMUNICATIONS DIRECTOR (LT GOV)	08426A	1.0	66,599	1.0	66,931
EXECUTIVE SECRETARY (LT GOV)	08420A	1.0	50,351	1.0	51,636
LIEUTENANT GOVERNOR	00531F	1.0	122,740	1.0	122,740
POLICY ADVISOR (LT. GOVERNOR)	08433A	1.0	87,243	1.0	87,677
POLICY ANALYST (LT GOVERNOR)	08426A	1.0	66,599	1.0	66,931
SENIOR POLICY ADVISOR (LT GOV)	08426A	1.0	66,599	1.0	66,931
SPECIAL PROJECTS MGR (LT GOV)	08420A	1.0	46,330	1.0	47,231
Subtotal Unclassified		8.0	654,683	8.0	659,037
Subtotal		8.0	654,683	8.0	659,037
Total Salaries			654,683		659,037
Benefits					
FICA			49,413		49,978
Health Benefits			92,867		95,364
Payroll Accrual			3,815		3,851
Retiree Health			43,537		38,686
Retirement			179,689		188,461
Subtotal			369,321		376,340
Total Salaries and Benefits		8.0	1,024,004	8.0	1,035,377
Cost Per FTE Position			128,001		129,422
Statewide Benefit Assessment			25,861		26,033
Payroll Costs		8.0	1,049,865	8.0	1,061,410
Purchased Services					
Legal Services			34,010		32,535
Subtotal			34,010		32,535
Total Personnel		8.0	1,083,875	8.0	1,093,945
Distribution by Source of Funds					
General Revenue		8.0	1,083,875	8.0	1,093,945
Total All Funds		8.0	1,083,875	8.0	1,093,945

Agency Summary

SECRETARY OF STATE

Agency Mission

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law: to engage and empower all Rhode Islanders by making government more accessible and transparent; encourage civic pride; enhance commerce; and ensure that elections are fair, fast, and accurate.

Agency Description

The Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Department plays a vital role in providing the public with basic information about the workings of state government. The Department consists of six programs and one internal service program.

Statutory History

Article IV of the Rhode Island State Constitution and R.I. General Laws § 42-8 establishes the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents. RIGL § 17-14, § 17-15 and § 17-22 also refer to elections. RIGL § 29 establishes the State Library and the Legislative Reference Bureau.

Budget

Secretary Of State

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Administration	3,273,783	3,315,966	3,875,528	3,810,157	3,481,901
Corporations	2,209,426	2,329,265	2,291,898	2,291,898	2,303,182
State Archives	632,878	543,388	539,342	543,713	622,913
Elections and Civics	2,066,946	3,761,159	3,133,331	3,927,101	3,356,205
State Library	626,140	725,537	683,490	738,137	686,981
Internal Service Programs	845,359	833,913	969,729	984,540	1,046,670
Office of Public Information	576,354	455,840	477,568	444,084	494,011
Total Expenditures	10,230,886	11,965,068	11,970,886	12,739,630	11,991,863
<i>Internal Services</i>	<i>[845,359]</i>	<i>[833,913]</i>	<i>[969,729]</i>	<i>[984,540]</i>	<i>[1,046,670]</i>
Expenditures by Object					
Salary And Benefits	6,340,061	6,489,920	6,810,480	7,138,876	6,140,053
Contract Professional Services	666,572	579,713	1,472,245	1,196,391	473,504
Operating Supplies And Expenses	2,905,662	3,527,175	3,386,411	3,905,613	4,850,806
Assistance And Grants	142,797	149,465	143,000	243,000	163,000
Subtotal: Operating	10,055,092	10,746,273	11,812,136	12,483,880	11,627,363
Capital Purchases And Equipment	160,794	576,226	143,750	225,750	324,500
Debt Service (fixed Charges)	0	627,569	0	0	0
Operating Transfers	15,000	15,000	15,000	30,000	40,000
Subtotal: Other	175,794	1,218,795	158,750	255,750	364,500
Total Expenditures	10,230,886	11,965,068	11,970,886	12,739,630	11,991,863
Expenditures by Source of Funds					
General Revenue	8,832,367	9,796,151	9,533,255	9,489,047	9,883,628
Federal Funds	22,295	885,017	1,016,230	1,810,000	389,155
Restricted Receipts	427,255	449,987	451,672	456,043	502,410
Operating Transfers From Other Funds	103,610	0	0	0	170,000
Other Funds	845,359	833,913	969,729	984,540	1,046,670
Total Expenditures	10,230,886	11,965,068	11,970,886	12,739,630	11,991,863
FTE Authorization	59.0	59.0	59.0	59.0	59.0

Personnel Agency Summary

Secretary Of State

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Unclassified	59.0	4,398,689	59.0	4,451,100
Subtotal	59.0	4,398,689	59.0	4,451,100
Seasonal/Special Salaries/Wages		36,000		36,000
Turnover		(40,837)		(815,131)
Total Salaries		4,393,852		3,671,969
Benefits				
FICA		334,480		295,366
Health Benefits		728,278		747,603
Payroll Accrual		25,388		21,271
Retiree Health		289,800		213,428
Retirement		1,194,947		1,046,799
Subtotal		2,572,893		2,324,467
Total Salaries and Benefits	59.0	6,966,745	59.0	5,996,436
Cost Per FTE Position		118,080		101,635
Statewide Benefit Assessment		172,131		143,617
Payroll Costs	59.0	7,138,876	59.0	6,140,053
Purchased Services				
Buildings and Ground Maintenance		0		500
Clerical and Temporary Services		0		28,000
Information Technology		1,001,376		133,488
Legal Services		110,000		120,000
Other Contracts		85,015		191,516
Subtotal		1,196,391		473,504
Total Personnel	59.0	8,335,267	59.0	6,613,557
Distribution by Source of Funds				
General Revenue	54.0	6,598,027	54.0	5,713,256
Federal Funds	0.0	900,000	0.0	0
Restricted Receipts	2.0	331,599	2.0	361,457
Other Funds	3.0	505,641	3.0	538,844
Total All Funds	59.0	8,335,267	59.0	6,613,557

Program Summary

SECRETARY OF STATE

Administration

Mission

The Administration program provides support for the efficient and secure operation of the Office of the Secretary of State.

Description

The Administration Program supports the Department of State in six areas. Personnel maintains personnel records and provides administrative support for payroll and personnel matters. Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget. E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems. Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public. Communications details the programs and services offered to Rhode Islanders and serves as the liaison with the media. Policy and Legislative Affairs researches, plans, and develops innovative policy initiatives that serve as models for the country and develops legislation that moves those policy initiatives forward.

Statutory History

The Office of the Secretary of State was established by Article IX of the Constitution of Rhode Island as one of the five general offices subject to voter election. R.I. General Laws § 42-8 further prescribes the duties of the Secretary and Department.

Budget

Agency: Secretary Of State

Administration

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Administration	1,478,227	1,558,213	1,751,934	2,080,046	1,745,672
Information Technology	1,329,903	1,326,551	1,750,363	1,331,546	1,360,078
Personnel and Finance	465,653	431,202	373,231	398,565	376,151
Total Expenditures	3,273,783	3,315,966	3,875,528	3,810,157	3,481,901
Expenditures by Object					
Salary and Benefits	2,486,175	2,450,472	2,818,247	2,834,219	2,540,615
Contract Professional Services	347,515	234,844	143,739	6,515	63,627
Operating Supplies and Expenses	427,835	620,162	788,792	959,673	825,659
Subtotal: Operating	3,261,525	3,305,479	3,750,778	3,800,407	3,429,901
Capital Purchases And Equipment	12,258	10,487	124,750	9,750	52,000
Subtotal: Other	12,258	10,487	124,750	9,750	52,000
Total Expenditures	3,273,783	3,315,966	3,875,528	3,810,157	3,481,901
Expenditures by Source of Funds					
General Revenue	3,273,783	3,315,966	3,875,528	3,810,157	3,481,901
Total Expenditures	3,273,783	3,315,966	3,875,528	3,810,157	3,481,901

Personnel

Agency: Secretary Of State

Administration

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	05325A	2.0	140,971	2.0	141,674
APPLICATION DEVELOPER (SOS)	08630A	1.0	68,439	1.0	68,439
ASSISTANT TO THE SECRETARY (SOS)	08630A	1.0	76,346	1.0	78,248
COMMUNICATIONS DIRECTOR/SR ADVISOR (SOS)	08646A	1.0	146,281	1.0	147,009
COMMUNITY RELATIONS COORD (SOS)	08622A	1.0	51,876	1.0	53,755
DATA ANALYST	08635A	1.0	85,221	1.0	88,746
DEPUTY SECRETARY OF STATE/CHIEF OF STAFF (SOS)	08646A	1.0	146,281	1.0	147,009
DEPUTY SECRETARY OF STATE/DIR OF ADMINISTRATION (SOS)	08646A	1.0	146,281	1.0	147,009
DIRECTOR OF FINANCE & PERSONNEL (SOS)	08638A	1.0	105,395	1.0	105,920
DIRECTOR OF STATE AND FEDERAL LEGISLATURE AFFAIRS AND POLICY	08639A	1.0	110,533	1.0	110,533
INFORMATION SECURITY ARCHITECT (SOS)	08640A	1.0	111,493	1.0	116,190
IT DIRECTOR (SEC OF STATE)	08642A	1.0	131,469	1.0	131,469
JR NETWORK & SYS ADMIN (SOS)	08622A	1.0	50,893	1.0	52,685
NET APPLICATION DEVELOPER (SOS)	08629A	1.0	69,744	1.0	73,196
PRESS INFORMATION OFFICER	08632A	1.0	76,202	1.0	79,357
SECRETARY OF STATE	00531F	1.0	122,740	1.0	122,740
SENIOR GRAPHICS DESIGNER (SECRETARY OF STATE)	05326A	1.0	68,524	1.0	68,864
SOCIAL MEDIA SPECIALIST (SOS)	08613A	1.0	40,419	1.0	41,346
SQL DATABASE ADMINISTRATOR	08622A	1.0	50,272	1.0	52,119
Subtotal Unclassified		20.0	1,799,380	20.0	1,826,308
Subtotal		20.0	1,799,380	20.0	1,826,308
Seasonal/Special Salaries/Wages			12,000		12,000
Turnover			(40,837)		(281,643)
Total Salaries			1,770,543		1,556,665
Benefits					
FICA			133,794		122,026
Health Benefits			250,624		257,008
Payroll Accrual			10,247		9,035
Retiree Health			116,942		90,668
Retirement			482,607		444,200
Subtotal			994,214		922,937
Total Salaries and Benefits		20.0	2,764,757	20.0	2,479,602
Cost Per FTE Position			138,238		123,980

Personnel

Agency: Secretary Of State

Administration

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		69,462		61,013
Payroll Costs	20.0	2,834,219	20.0	2,540,615
Purchased Services				
Information Technology		0		32,112
Other Contracts		6,515		31,515
Subtotal		6,515		63,627
Total Personnel	20.0	2,840,734	20.0	2,604,242
Distribution by Source of Funds				
General Revenue	20.0	2,840,734	20.0	2,604,242
Total All Funds	20.0	2,840,734	20.0	2,604,242

Program Summary

SECRETARY OF STATE

Corporations

Mission

The Corporations program is the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, Uniform Commercial Code (UCC) filings, and notary/trademark applications. The program helps create a user-friendly, customer-service centric environment that promotes clear, predictable, and reliable policies, procedures, and services.

Description

The Corporations program encompasses six sections: Business Filings, Uniform Commercial Code, Trademark and Service Mark, Notary Public, Certification/Authentication, and the Rhode Island Business Portal. The Business Filings section examines, processes, and maintains legal documents affecting various types of corporations, companies, and partnerships. The section ensures compliance with laws governing these entities and provides public access to all associated documents. The UCC section is the central filing office for certain finance and lien documents. It also ensures compliance with applicable laws and provides public access to associated documents. The Trademark and Service Mark section manages notaries in the state through maintenance of oaths of office and official signatures of commissioned notaries. The Certification/Authentication section prepares certified copies, letters of good standing, legal existence, and certificates of fact related to business filing. It also issues apostilles. The Rhode Island Business Portal helps business owners navigate federal, state, and local licensing requirements.

Statutory History

Applicable statutes for the Corporations program are outlined in R.I. General Laws § 6-2, § 6A-9, § 7, § 11-50, § 42-30, and § 42-132.

Budget

Agency: Secretary Of State

Corporations

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Corporations	2,207,134	2,329,265	2,291,898	2,291,898	2,303,182
First Stop Business Inf.	2,292	0	0	0	0
Total Expenditures	2,209,426	2,329,265	2,291,898	2,291,898	2,303,182
Expenditures by Object					
Salary and Benefits	1,808,636	1,895,591	1,887,820	2,025,129	1,817,694
Contract Professional Services	37,196	92,296	80,000	88,000	110,000
Operating Supplies and Expenses	339,510	327,348	310,078	164,769	361,488
Subtotal: Operating	2,185,342	2,315,235	2,277,898	2,277,898	2,289,182
Capital Purchases And Equipment	24,084	14,030	14,000	14,000	14,000
Subtotal: Other	24,084	14,030	14,000	14,000	14,000
Total Expenditures	2,209,426	2,329,265	2,291,898	2,291,898	2,303,182
Expenditures by Source of Funds					
General Revenue	2,209,426	2,329,265	2,291,898	2,291,898	2,303,182
Total Expenditures	2,209,426	2,329,265	2,291,898	2,291,898	2,303,182

Personnel

Agency: Secretary Of State

Corporations

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	05319A	3.0	172,474	3.0	173,330
ADMINISTRATIVE ASSISTANT	05324A	1.0	62,669	1.0	62,669
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05319A	10.0	526,859	10.0	527,690
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05321A	2.0	116,024	2.0	116,697
CUSTOMER SVC MGR (SOS)	05322A	1.0	49,057	1.0	50,897
DEPUTY DIRECTOR - BUSINESS SERVICES (SOS)	08630A	2.0	170,839	2.0	172,146
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	08636A	1.0	111,487	1.0	112,042
Subtotal Unclassified		20.0	1,209,409	20.0	1,215,471
Subtotal		20.0	1,209,409	20.0	1,215,471
Seasonal/Special Salaries/Wages			12,000		12,000
Turnover			0		(156,856)
Total Salaries			1,221,409		1,070,615
Benefits					
FICA			93,441		82,834
Health Benefits			244,110		250,732
Payroll Accrual			7,043		6,188
Retiree Health			80,429		62,141
Retirement			330,927		303,369
Subtotal			755,950		705,264
Total Salaries and Benefits		20.0	1,977,359	20.0	1,775,879
Cost Per FTE Position			98,868		88,794
Statewide Benefit Assessment			47,770		41,815
Payroll Costs		20.0	2,025,129	20.0	1,817,694
Purchased Services					
Legal Services			30,000		40,000
Other Contracts			58,000		70,000
Subtotal			88,000		110,000
Total Personnel		20.0	2,113,129	20.0	1,927,694
Distribution by Source of Funds					
General Revenue		20.0	2,113,129	20.0	1,927,694
Total All Funds		20.0	2,113,129	20.0	1,927,694

Program Summary

SECRETARY OF STATE

State Archives

Mission

The State Archives provides comprehensive archives and records management services for all public records in the State, information on the preservation of historical records, and provides information from and access to the State government archives.

Description

The State Archives preserves and maintains the permanent records of state government. It also operates a public reading room for the research, inspection, and duplication of public records; publishes guides and finding aids to collections; and features exhibits and displays that are free and open to the public. The State Archives manages the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program provides records management advice, assistance, and consultation to all departments of municipal government. It also develops record control schedules that govern retention and disposition of municipal records. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines and regulations about records and records issues. The 1993 General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program.

Statutory History

R.I. General Laws § 42-8.1 et seq. establishes the State Archives and defines its duties and functions. RIGL § 42-35 prescribes the Secretary of State's duties under the Administrative Procedures Act.

RIGL § 38-1, § 38-2, and § 38-3 detail the custody and protection of public records, access to public records, and Public Records Administration.

Budget

Agency: Secretary Of State

State Archives

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	632,878	543,388	539,342	543,713	622,913
Total Expenditures	632,878	543,388	539,342	543,713	622,913
Expenditures by Object					
Salary and Benefits	321,328	361,027	327,628	328,099	334,790
Contract Professional Services	7,885	13,929	0	7,000	53,334
Operating Supplies and Expenses	193,637	160,392	211,714	208,614	215,789
Subtotal: Operating	522,850	535,348	539,342	543,713	603,913
Capital Purchases And Equipment	110,028	8,040	0	0	19,000
Subtotal: Other	110,028	8,040	0	0	19,000
Total Expenditures	632,878	543,388	539,342	543,713	622,913
Expenditures by Source of Funds					
General Revenue	115,429	114,040	112,670	112,670	145,503
Restricted Receipts	413,839	429,348	426,672	431,043	477,410
Operating Transfers from Other Funds	103,610	0	0	0	0
Total Expenditures	632,878	543,388	539,342	543,713	622,913

Personnel

Agency: Secretary Of State

State Archives

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
LOCAL GOV'T RECORDS COORD (SOS)	05328A	1.0	76,023	1.0	80,017
REFERENCE ANALYST (SOS)	05325A	1.0	72,052	1.0	72,410
STATE ARCHIVIST & PUBLIC RECORDS ADMINISTRATOR	08630A	1.0	75,585	1.0	78,248
Subtotal Unclassified		3.0	223,660	3.0	230,675
Subtotal		3.0	223,660	3.0	230,675
Transfer Out			(134,196)		(138,405)
Transfer In			119,770		120,583
Total Salaries			209,234		212,853
Benefits					
FICA			16,006		16,284
Health Benefits			22,088		22,692
Payroll Accrual			1,218		1,244
Retiree Health			13,915		12,493
Retirement			57,374		60,817
Subtotal			110,601		113,530
Total Salaries and Benefits		3.0	319,835	3.0	326,383
Cost Per FTE Position			106,612		108,794
Statewide Benefit Assessment			8,264		8,407
Payroll Costs		3.0	328,099	3.0	334,790
Purchased Services					
Other Contracts			7,000		53,334
Subtotal			7,000		53,334
Total Personnel		3.0	335,099	3.0	388,124
Distribution by Source of Funds					
General Revenue		1.0	3,500	1.0	26,667
Restricted Receipts		2.0	331,599	2.0	361,457
Total All Funds		3.0	335,099	3.0	388,124

Program Summary

SECRETARY OF STATE

Elections & Civics

Mission

The mission of the Elections program is to provide efficient election services and prepare federal, statewide, and municipal elections as required by statute. The Program seeks to provide an accurate and timely statewide central voter registration system (CVRS) as mandated by the Help America Vote Act (HAVA) and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. The Elections program strives to provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Description

The Elections program is responsible for the preparation of federal, state, and local elections. It provides necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification. The program maintains files for local candidate ballot placement as certified by local boards of canvassers. Additionally, it designs ballot layout; prepares and provides sample and polling place ballots for all federal, state, and municipal elections as mandated by law; and prepares and sends mail ballots. The Elections program prepares and distributes the Voter Information Handbook and provides voter assistance and education through the publication of numerous guides for candidates, election officials, and voters. Specifications for the purchase of voting equipment and related voting system services are also handled by the Elections program.

Statutory History

The Elections program operates under R.I. General Laws Title 17.

Budget

Agency: Secretary Of State

Elections and Civics

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	2,066,946	3,761,159	3,133,331	3,927,101	3,356,205
Total Expenditures	2,066,946	3,761,159	3,133,331	3,927,101	3,356,205
Expenditures by Object					
Salary and Benefits	407,345	481,747	415,389	569,520	136,616
Contract Professional Services	245,654	208,052	1,202,606	1,051,376	179,376
Operating Supplies and Expenses	1,413,152	1,893,657	1,510,336	2,004,205	2,785,213
Assistance And Grants	0	6,465	0	100,000	20,000
Subtotal: Operating	2,066,151	2,589,921	3,128,331	3,725,101	3,121,205
Capital Purchases And Equipment	795	543,669	5,000	202,000	235,000
Debt Service (Fixed Charges)	0	627,569	0	0	0
Subtotal: Other	795	1,171,238	5,000	202,000	235,000
Total Expenditures	2,066,946	3,761,159	3,133,331	3,927,101	3,356,205
Expenditures by Source of Funds					
General Revenue	2,044,651	2,876,142	2,117,101	2,117,101	2,797,050
Federal Funds	22,295	885,017	1,016,230	1,810,000	389,155
Operating Transfers from Other Funds	0	0	0	0	170,000
Total Expenditures	2,066,946	3,761,159	3,133,331	3,927,101	3,356,205

Personnel

Agency: Secretary Of State

Elections and Civics

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
DEPUTY DIRECTOR OF ELECTIONS (SOS)	08633A	2.0	178,848	2.0	179,738
DIRECTOR OF ELECTIONS & CIVICS (SOS)	08637A	1.0	105,303	1.0	105,827
MANAGER OF ELECTIONS	05324A	1.0	63,019	1.0	63,369
Subtotal Unclassified		4.0	347,170	4.0	348,934
Subtotal		4.0	347,170	4.0	348,934
Seasonal/Special Salaries/Wages			12,000		12,000
Turnover			0		(308,192)
Total Salaries			359,170		52,742
Benefits					
FICA			27,477		14,623
Health Benefits			48,961		50,290
Payroll Accrual			2,022		250
Retiree Health			23,088		2,392
Retirement			95,090		14,711
Subtotal			196,638		82,266
Total Salaries and Benefits		4.0	555,808	4.0	135,008
Cost Per FTE Position			138,952		33,752
Statewide Benefit Assessment			13,712		1,608
Payroll Costs		4.0	569,520	4.0	136,616
Purchased Services					
Clerical and Temporary Services			0		28,000
Information Technology			1,001,376		101,376
Legal Services			50,000		50,000
Subtotal			1,051,376		179,376
Total Personnel		4.0	1,620,896	4.0	315,992
Distribution by Source of Funds					
General Revenue		4.0	720,896	4.0	315,992
Federal Funds		0.0	900,000	0.0	0
Total All Funds		4.0	1,620,896	4.0	315,992

Program Summary

SECRETARY OF STATE

State Library

Mission

To provide high quality assistance and access to materials in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history.

Description

The State Library provides reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history to Rhode Islanders. It catalogs all state publications and maintains an online catalog of its holdings. The Library operates and maintains the Legislative Reference Bureau that provides information on state laws and legislation. It is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I. General Laws 29-1. RIGL § 29-7 authorizes the Library to act as a clearinghouse for state publications.

Budget

Agency: Secretary Of State

State Library

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	626,140	725,537	683,490	738,137	686,981
Total Expenditures	626,140	725,537	683,490	738,137	686,981
Expenditures by Object					
Salary and Benefits	448,116	557,483	511,447	564,979	509,852
Contract Professional Services	0	251	0	0	0
Operating Supplies and Expenses	26,332	24,803	29,043	30,158	31,629
Assistance And Grants	142,797	143,000	143,000	143,000	143,000
Subtotal: Operating	617,245	725,537	683,490	738,137	684,481
Capital Purchases And Equipment	8,895	0	0	0	2,500
Subtotal: Other	8,895	0	0	0	2,500
Total Expenditures	626,140	725,537	683,490	738,137	686,981
Expenditures by Source of Funds					
General Revenue	626,140	725,537	683,490	738,137	686,981
Total Expenditures	626,140	725,537	683,490	738,137	686,981

Personnel

Agency: Secretary Of State

State Library

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE ASSISTANT	05316A	1.0	44,830	1.0	45,053
ADMINISTRATIVE ASSISTANT	05325A	2.0	140,971	2.0	141,674
ASSOCIATE DIRECTOR OF EDUC. & PUB PROGRAMS (SOS)	08630A	1.0	71,298	1.0	74,169
DIRECTOR OF STATE ARCHIVES- LIBRARY & PUBLIC INFORMATION	08638A	1.0	104,871	1.0	104,871
STATE LIBRARIAN (SOS)	08630A	1.0	77,861	1.0	78,248
Subtotal Unclassified		6.0	439,831	6.0	444,015
Subtotal		6.0	439,831	6.0	444,015
Transfer Out			(104,871)		(104,871)
Turnover			0		(44,311)
Total Salaries			334,960		294,833
Benefits					
FICA			25,623		22,552
Health Benefits			74,840		76,844
Payroll Accrual			1,952		1,725
Retiree Health			22,274		17,307
Retirement			92,099		84,944
Subtotal			216,788		203,372
Total Salaries and Benefits		6.0	551,748	6.0	498,205
Cost Per FTE Position			91,958		83,034
Statewide Benefit Assessment			13,231		11,647
Payroll Costs		6.0	564,979	6.0	509,852
Total Personnel		6.0	564,979	6.0	509,852
Distribution by Source of Funds					
General Revenue		6.0	564,979	6.0	509,852
Total All Funds		6.0	564,979	6.0	509,852

Program Summary

SECRETARY OF STATE

Record Center

Mission

The Record Center program strives to provide agencies with cost-efficient secure central record storage facilities and to provide clear guidance on record retention and disposal policies.

Description

The Record Center program is a Public Records Administration internal service program that oversees records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to but that are not yet eligible for disposal because of administrative, fiscal, or legal requirements. Public Records Administration personnel provide records management advice, assistance, and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules, enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are provided to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions. The Records Center program is an internal service program. The costs of its operations are borne by the user agencies through a charge system that allocates the cost of service delivery. Program costs are shown for display purposes only, because the costs are reflected in the budget of the user agency both on an actual and budget basis.

Statutory History

R.I. General Laws § 35-5 authorizes the Director of Administration to establish a system of rotating funds in any state department or agency. RIGL § 38-1 and § 38-3 establish the responsibilities and duties of the Public Records Administration program. RIGL § 42-35 defines the policies for administrative records.

Budget

Agency: Secretary Of State

Internal Service Programs

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Secretary of State Record Center	845,359	833,913	969,729	984,540	1,046,670
Total Expenditures	845,359	833,913	969,729	984,540	1,046,670
<i>Internal Services</i>	<i>[845,359]</i>	<i>[833,913]</i>	<i>[969,729]</i>	<i>[984,540]</i>	<i>[1,046,670]</i>
Expenditures by Object					
Salary and Benefits	384,064	394,220	491,437	492,141	502,177
Contract Professional Services	0	5,130	0	13,500	36,667
Operating Supplies and Expenses	456,561	434,563	478,292	478,899	505,826
Subtotal: Operating	840,625	833,913	969,729	984,540	1,044,670
Capital Purchases And Equipment	4,734	0	0	0	2,000
Subtotal: Other	4,734	0	0	0	2,000
Total Expenditures	845,359	833,913	969,729	984,540	1,046,670
Expenditures by Source of Funds					
Other Funds	845,359	833,913	969,729	984,540	1,046,670
Total Expenditures	845,359	833,913	969,729	984,540	1,046,670

Personnel

Agency: Secretary Of State

Internal Service Programs

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05319A	1.0	57,681	1.0	59,037
DIGITAL ARCHIVIST	05325A	1.0	62,654	1.0	62,965
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	05328A	1.0	74,216	1.0	74,585
Subtotal Unclassified		3.0	194,551	3.0	196,587
Subtotal		3.0	194,551	3.0	196,587
Transfer Out			(77,822)		(78,635)
Transfer In			197,119		201,328
Total Salaries			313,848		319,280
Benefits					
FICA			24,010		24,426
Health Benefits			33,127		34,030
Payroll Accrual			1,829		1,864
Retiree Health			20,870		18,742
Retirement			86,060		91,225
Subtotal			165,896		170,287
Total Salaries and Benefits		3.0	479,744	3.0	489,567
Cost Per FTE Position			159,915		163,189
Statewide Benefit Assessment			12,397		12,610
Payroll Costs		3.0	492,141	3.0	502,177
Purchased Services					
Other Contracts			13,500		36,667
Subtotal			13,500		36,667
Total Personnel		3.0	505,641	3.0	538,844
Distribution by Source of Funds					
Other Funds		3.0	505,641	3.0	538,844
Total All Funds		3.0	505,641	3.0	538,844

Program Summary

SECRETARY OF STATE

Office of Public Information

Mission

The Office of Public Information strives to effectively disseminate vital government information to the public and utilize expanding technologies to enhance public access and awareness of state government activities.

Description

The Office of Public Information gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports available to the public free of charge and accessible through the Internet. The Office frequently trains the public on the access and use of government websites. The Office maintains databases on all introduced legislation and hearings in the General Assembly and publishes daily and weekly legislative reports. It maintains a list of lobbyists and enforces of the state's lobbying law. Under the Administrative Procedures Act, the Office is responsible for compiling state agency rules and regulations. The Office operates and maintains a clearinghouse for public meeting information as required by the Open Meetings Laws. It also operates and maintains the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals, and other state publications.

Statutory History

Article IV, Section 4 of the Constitution of Rhode Island and R.I. General Laws § 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

Budget

Agency: Secretary Of State

Office of Public Information

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	576,354	455,840	477,568	444,084	494,011
Total Expenditures	576,354	455,840	477,568	444,084	494,011
Expenditures by Object					
Salary and Benefits	484,397	349,380	358,512	324,789	298,309
Contract Professional Services	28,322	25,211	45,900	30,000	30,500
Operating Supplies and Expenses	48,635	66,249	58,156	59,295	125,202
Subtotal: Operating	561,354	440,840	462,568	414,084	454,011
Operating Transfers	15,000	15,000	15,000	30,000	40,000
Subtotal: Other	15,000	15,000	15,000	30,000	40,000
Total Expenditures	576,354	455,840	477,568	444,084	494,011
Expenditures by Source of Funds					
General Revenue	562,938	435,201	452,568	419,084	469,011
Restricted Receipts	13,416	20,639	25,000	25,000	25,000
Total Expenditures	576,354	455,840	477,568	444,084	494,011

Personnel

Agency: Secretary Of State

Office of Public Information

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE RECORDS & TECHNICAL SERVICE SPEC	05324A	1.0	52,317	1.0	54,481
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	08630A	1.0	81,754	1.0	82,160
PUBLIC INFORM SPECIALIST (SOS)	08621A	1.0	50,617	1.0	52,469
Subtotal Unclassified		3.0	184,688	3.0	189,110
Subtotal		3.0	184,688	3.0	189,110
Turnover			0		(24,129)
Total Salaries			184,688		164,981
Benefits					
FICA			14,129		12,621
Health Benefits			54,528		56,007
Payroll Accrual			1,077		965
Retiree Health			12,282		9,685
Retirement			50,790		47,533
Subtotal			132,806		126,811
Total Salaries and Benefits		3.0	317,494	3.0	291,792
Cost Per FTE Position			105,831		97,264
Statewide Benefit Assessment			7,295		6,517
Payroll Costs		3.0	324,789	3.0	298,309
Purchased Services					
Buildings and Ground Maintenance			0		500
Legal Services			30,000		30,000
Subtotal			30,000		30,500
Total Personnel		3.0	354,789	3.0	328,809
Distribution by Source of Funds					
General Revenue		3.0	354,789	3.0	328,809
Total All Funds		3.0	354,789	3.0	328,809

Agency Summary

OFFICE OF THE GENERAL TREASURER

Agency Mission

The Office of the General Treasurer's mission is to protect the state's assets with sound financial investments, strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure Rhode Islanders benefit from exceptional service through all the programs the Office manages.

Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general offices subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Infrastructure Bank. Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer are: the Employees' Retirement System of Rhode Island, the administrative unit for participating public employee groups for both defined benefit and defined contribution plans; the Unclaimed Property Program which collects tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division which provides investment and cash management services to state government, and issues and manages the State's general obligation debt; the CollegeBound Program (new to Treasury in 2016) and its contribution of fee revenue for state college assistance programs; the Business Office, which validates and distributes the State's imprest/benefit check payments, prints vendor and non-vendor checks, and reconciles a majority of the State's accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include cooperation with the Executive Office of Health and Human Services in the ABLE savings program (Achieving A Better Life Experience Accounts) for tax free income growth for certain qualified disability expenditures; the care and management of the Abraham Touro Fund; and, the Childhood Disease Fund.

Statutory History

Article IV of the Rhode Island Constitution and R.I General Laws § 42-10 establishes the Office of the General Treasurer. RIGL § 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by RIGL § 16, § 21, § 36, § 37, § 38 and § 45. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by RIGL § 42-10. RIGL § 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. RIGL § 12-25 governs the Crime Victim Compensation Program. RIGL § 33-21 and § 21.1 govern the Unclaimed Property Program. RIGL § 16-16-57 transferred responsibility for the CollegeBound program to Treasury. RIGL § 42-7.2 was amended in 2015 to include the State Investment Commission in the oversight of the new ABLE savings program.

Budget

Office of the General Treasurer

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
General Treasurer	3,468,658	3,299,331	3,595,210	3,519,690	3,555,533
State Retirement System	9,315,499	9,772,675	11,968,213	11,815,326	13,052,673
Unclaimed Property	28,448,513	27,471,418	25,350,100	25,401,199	25,868,450
Crime Victim Compensation Program	1,843,965	1,502,156	1,742,118	1,684,722	2,150,337
Total Expenditures	43,076,635	42,045,579	42,655,641	42,420,937	44,626,993
Expenditures by Object					
Salary And Benefits	10,114,569	10,330,527	10,909,721	10,867,919	11,359,216
Contract Professional Services	3,357,527	3,356,575	4,943,392	4,943,392	5,456,594
Operating Supplies And Expenses	27,896,490	27,012,570	25,249,553	25,056,651	25,658,149
Assistance And Grants	1,607,022	1,313,766	1,488,550	1,488,550	2,020,109
Subtotal: Operating	42,975,608	42,013,438	42,591,216	42,356,512	44,494,068
Capital Purchases And Equipment	101,027	32,142	64,425	64,425	132,925
Subtotal: Other	101,027	32,142	64,425	64,425	132,925
Total Expenditures	43,076,635	42,045,579	42,655,641	42,420,937	44,626,993
Expenditures by Source of Funds					
General Revenue	2,819,265	2,926,334	3,037,551	2,965,993	2,991,420
Federal Funds	941,713	857,916	998,974	995,090	1,011,042
Restricted Receipts	38,675,476	37,665,681	37,955,257	37,828,125	39,984,107
Other Funds	640,181	595,648	663,859	631,729	640,424
Total Expenditures	43,076,635	42,045,579	42,655,641	42,420,937	44,626,993
FTE Authorization	89.0	89.0	89.0	89.0	89.0

Personnel Agency Summary

Office of the General Treasurer

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Elected Official	1.0	122,740	1.0	122,740
Unclassified	88.0	6,877,153	88.0	6,981,261
Subtotal	89.0	6,999,893	89.0	7,104,001
Overtime (1.5)		135,000		155,000
Turnover		(316,668)		(161,441)
Total Salaries		6,818,225		7,097,560
Benefits				
FICA		501,516		520,246
Health Benefits		974,324		1,043,425
Payroll Accrual		38,927		40,522
Retiree Health		444,428		407,533
Retirement		1,826,508		1,975,692
Subtotal		3,785,703		3,987,418
Total Salaries and Benefits	89.0	10,603,928	89.0	11,084,978
Cost Per FTE Position		119,145		124,550
Statewide Benefit Assessment		263,991		274,238
Payroll Costs	89.0	10,867,919	89.0	11,359,216
Purchased Services				
Buildings and Ground Maintenance		124,800		0
Clerical and Temporary Services		12,500		295,230
Information Technology		2,664,642		2,986,664
Legal Services		534,500		495,000
Management & Consultant Services		1,314,700		1,420,950
Other Contracts		292,250		258,750
Subtotal		4,943,392		5,456,594
Total Personnel	89.0	15,811,311	89.0	16,815,810
Distribution by Source of Funds				
General Revenue	34.0	2,351,113	34.0	2,350,514
Federal Funds	0.0	282,044	0.0	296,910
Restricted Receipts	49.0	12,612,181	49.0	13,597,441
Operating Transfers from Other Funds	2.0	0	2.0	0
Other Funds	4.0	565,973	4.0	570,945
Total All Funds	89.0	15,811,311	89.0	16,815,810

Program Summary

OFFICE OF THE GENERAL TREASURER

General Treasurer

Mission

Continue to deliver superior service to the public, state agencies, and state vendors in all of its activities; to increase operational efficiency and expand the use of technology to improve the management of cash collection, investment and disbursement systems.

Description

The General Treasury Program provides the administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of four sub-programs: Policy, Administration, Investments, and the Business Office. Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the Office. Administrative Division: This division provides the administrative support to the entire office, including the Retirement System in terms of overall leadership in matters dealing with personnel, budgeting, financial controls, legal advice and management information systems. Investment Division: This Division manages the State's borrowing and investments, provides daily fiduciary services to all of state government, monitors investment managers for compliance with State guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfers of funds. It also provides reports to the State Investment Commission on investment performance, and implements SIC policy. This division is actively involved in both the issuance and servicing of state debt obligations. The division is also responsible for investment policy for the Defined Contribution and Deferred Compensation programs, administration of the State's tax-deferred 529 plan for college savings called the Collegebound (formerly, Collegeboundfund) and the ABLE tax-free savings program for disabled residents. Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks, and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of State bank accounts to bank statement balances and to the Controller's records. Additionally, this division prints and disburses vendor and non-vendor checks and electronically transmits payment instructions to the appropriate banking institutions.

Statutory History

R.I. General Laws § 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by RIGL § 42-10. RIGL § 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program. RIGL § 16-57 includes the Treasurer's responsibilities for the management of the CollegeboundSaver Program. RIGL § 42-7.2 of creates the ABLE savings account program, which Treasury is implementing in conjunction with the Executive Office of Health and Human Services.

Budget

Agency: Office of the General Treasurer

General Treasurer

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Administration Operations	845,868	756,697	870,459	744,431	747,716
Business Offices	1,300,340	1,212,262	1,281,211	1,276,482	1,355,844
Investments	723,176	756,964	818,232	818,001	819,723
Policy	599,274	573,408	625,308	680,776	632,250
Total Expenditures	3,468,658	3,299,331	3,595,210	3,519,690	3,555,533
Expenditures by Object					
Salary and Benefits	2,562,987	2,476,658	2,631,951	2,622,542	2,648,505
Contract Professional Services	240,750	225,673	251,500	251,500	258,750
Operating Supplies and Expenses	645,027	577,712	690,334	624,223	626,853
Subtotal: Operating	3,448,764	3,280,043	3,573,785	3,498,265	3,534,108
Capital Purchases And Equipment	19,894	19,287	21,425	21,425	21,425
Subtotal: Other	19,894	19,287	21,425	21,425	21,425
Total Expenditures	3,468,658	3,299,331	3,595,210	3,519,690	3,555,533
Expenditures by Source of Funds					
General Revenue	2,517,448	2,426,405	2,643,533	2,582,897	2,595,013
Federal Funds	311,029	277,277	287,818	305,064	320,096
Other Funds	640,181	595,648	663,859	631,729	640,424
Total Expenditures	3,468,658	3,299,331	3,595,210	3,519,690	3,555,533

Personnel

Agency: Office of the General Treasurer

General Treasurer

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Elected Official					
GENERAL TREASURER	00531F	1.0	122,740	1.0	122,740
Subtotal Elected Official		1.0	122,740	1.0	122,740
Unclassified					
ACCOUNTING & DEBT SERVICES SPECIALIST (TREASURY RECON)	00319A	1.0	55,678	1.0	55,955
ACCOUNTING & RESEARCH SERVICES SPECIALIST (TREAS RECON)	00319A	1.0	48,591	1.0	50,704
ADMINISTRATIVE ASSISTANT (TREASURY INVESTMENTS)	00322A	1.0	59,492	1.0	59,789
ARCHIVES AND RECORDS RETENTION SPECIALIST (TREASURY)	00315A	1.0	46,938	1.0	47,172
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS PROCESSING)	00316A	1.0	46,169	1.0	46,400
CASH MANAGEMENT/FISCAL OFFICER (TREASURY)	08531A	1.0	76,600	1.0	80,020
CASH MANAGER (TREASURY INVESTMENTS)	08538A	1.0	95,564	1.0	101,162
CHIEF FISCAL MANAGER (TREASURY)	08535A	1.0	90,140	1.0	94,186
CHIEF OF STAFF (TREASURY)	08548A	1.0	156,502	1.0	157,280
CHIEF OPERATING OFFICER (TREASURY)	08543A	1.0	124,272	1.0	129,981
COURIER/MEETINGS COORDINATOR (TREASURY)	00317A	1.0	47,522	1.0	47,759
DATA ANALYST (TREAS/RETIREMENT)	00325A	1.0	57,451	1.0	59,592
DEPUTY TREASURERFOR POLICY & PUBLIC FINANCE (TREASURY)	08543A	1.0	130,952	1.0	131,604
DIRECTOR OF COMMUNICATIONS (TREASURY)	08543A	1.0	130,953	1.0	131,604
DIRECTOR OF FINANCE (TREASURY)	08538A	1.0	93,352	1.0	98,017
DIRECTOR OF OUTREACH (TREASURY)	08531A	1.0	74,103	1.0	74,473
DIRECTOR PARTICIPANT DIRECTED INVESTMENTS (TREASURY)	08536A	1.0	85,475	1.0	85,900
EXECUTIVE AIDE TO THE GENERAL TREASURER (TREASURY)	08527A	1.0	69,308	1.0	69,652
FISCAL MGMT ANALYST (TRSY INVEST)	00325A	1.0	59,149	1.0	61,807
GENERAL COUNSEL (TREASURY)	08543A	1.0	130,952	1.0	131,604
POLICY AIDE (TREASURY)	08525A	1.0	57,693	1.0	59,940
PRINCIPAL AUDITOR	00328A	3.0	246,154	3.0	247,357
PROGRAM ADMINISTRATOR COLLEGE BOUND FUND (TREASURY)	08527A	1.0	69,307	1.0	69,652
PROJECT COORDINATOR(TRSY/ADMIN)	08526A	1.0	66,600	1.0	66,932
PUBLIC DEBT MANAGER (TREASURY/ INVESTMENTS)	08538A	1.0	105,395	1.0	105,920
RESEARCH DIRECTOR (TREASURY)	08531A	1.0	80,988	1.0	81,393

Personnel

Agency: Office of the General Treasurer

General Treasurer

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
SENIOR ADMINISTRATIVE CLERK (TREASURY ADMINISTRATION)	00327A	1.0	82,653	1.0	83,068
SENIOR INVESTMENT OFFICER (TREASURY INVESTMENTS)	00324A	1.0	60,942	1.0	61,246
SR ADMINISTRATIVE AIDE (TREASURY)	00317A	1.0	54,650	1.0	54,923
STATE RECEIPTS COORDINATOR & RET ACCT RECONCILER TREAS	00324A	1.0	72,958	1.0	73,315
SYSTEMS ADMINISTRATOR (TREASURY)	08528A	1.0	72,020	1.0	72,378
Subtotal Unclassified		33.0	2,648,523	33.0	2,690,785
Subtotal		34.0	2,771,263	34.0	2,813,525
Transfer Out			(1,135,764)		(1,153,258)
Transfer In			59,572		59,867
Turnover			(49,098)		(94,939)
Total Salaries			1,645,973		1,625,195
Benefits					
FICA			125,500		123,991
Health Benefits			217,510		267,292
Payroll Accrual			9,584		9,489
Retiree Health			109,452		95,398
Retirement			449,507		462,941
Subtotal			911,553		959,111
Total Salaries and Benefits		34.0	2,557,526	34.0	2,584,306
Cost Per FTE Position			75,221		76,009
Statewide Benefit Assessment			65,016		64,199
Payroll Costs		34.0	2,622,542	34.0	2,648,505
Purchased Services					
Clerical and Temporary Services			500		0
Information Technology			2,500		0
Legal Services			34,500		30,000
Management & Consultant Services			210,500		228,750
Other Contracts			3,500		0
Subtotal			251,500		258,750
Total Personnel		34.0	2,874,042	34.0	2,907,255

Personnel

Agency: Office of the General Treasurer

General Treasurer

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	31.0	2,025,105	31.0	2,039,400
Federal Funds	0.0	282,964	0.0	296,910
Other Funds	3.0	565,973	3.0	570,945
Total All Funds	34.0	2,874,042	34.0	2,907,255

Program Summary

OFFICE OF THE GENERAL TREASURER

State Retirement System

Mission

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership, including assurance of data integrity and security, and member counseling in individual or group settings. The investment objective for the State Pension Fund, set by the Retirement Board, is to meet or exceed an annual rate of return of 7.0%. The Investments sub-program within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

Description

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security.

Statutory History

The Employees' Retirement System of Rhode Island is governed by R.I. General Laws § 16, RIGL § 36, § 38 and § 45. The Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all administered retirement plans. These changes provided increased retirement security for plan members, saved \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, re-amortizing over 25 years, suspended cost-of-living adjustments and created a hybrid defined- benefit/defined contribution plan designed to share investment risk fairly among all stakeholders. Several public employee unions and retiree groups filed suit. Litigation related to the implementation of RIRSA was ultimately settled in June, 2016 and the provisions of the settlement agreement were enacted into law effective for FY 2016. The settlement agreement retained greater than 95% of the savings related to RIRSA while providing enhancement to benefits of active employees and retirees.

Budget

Agency: Office of the General Treasurer

State Retirement System

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Defined Benefit	9,219,395	9,548,492	11,736,581	11,619,969	12,848,246
Defined Contribution	96,104	224,183	231,632	195,357	204,427
Total Expenditures	9,315,499	9,772,675	11,968,213	11,815,326	13,052,673
Expenditures by Object					
Salary and Benefits	5,919,566	6,195,209	6,548,647	6,508,837	6,876,070
Contract Professional Services	2,433,317	2,657,089	4,003,642	4,003,642	4,427,844
Operating Supplies and Expenses	625,858	580,179	1,091,374	978,297	1,299,259
Assistance And Grants	271,440	333,463	293,550	293,550	350,000
Subtotal: Operating	9,250,181	9,765,940	11,937,213	11,784,326	12,953,173
Capital Purchases And Equipment	65,318	6,735	31,000	31,000	99,500
Subtotal: Other	65,318	6,735	31,000	31,000	99,500
Total Expenditures	9,315,499	9,772,675	11,968,213	11,815,326	13,052,673
Expenditures by Source of Funds					
Restricted Receipts	9,315,499	9,772,675	11,968,213	11,815,326	13,052,673
Total Expenditures	9,315,499	9,772,675	11,968,213	11,815,326	13,052,673

Personnel

Agency: Office of the General Treasurer

State Retirement System

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING MANAGER (TREASURY/ RETIREMENT)	08531A	1.0	76,821	1.0	79,136
ADMINISTRATIVE AIDE	00316A	3.0	149,070	3.0	149,807
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	08513A	1.0	42,525	1.0	42,737
ADMINISTRATIVE ASSISTANT	00325A	2.0	128,821	2.0	131,782
ADMINISTRATIVE ASSISTANT (TREASURY RETIREMENT)	00325A	4.0	249,044	4.0	254,997
BUSINESS ANALYST II (TREASURY/RETIREMENT)	08533A	1.0	87,243	1.0	87,677
CHF INVESTMENT OFFCR (TRSY/INV)	08561A	1.0	274,497	1.0	275,863
CHIEF FINANCIAL OFFICER (TREASURY RETIREMENT)	08542A	1.0	130,484	1.0	136,980
CONTROLLER (TREASURY RETIREMENT)	08538A	1.0	105,395	1.0	105,920
CUSTOMER EXPERIENCE MANAGER (TREASURY)	08531A	1.0	80,989	1.0	81,392
DATA ANALYST (TREAS/RETIREMENT)	00325A	1.0	59,368	1.0	62,149
DEPUTY COMMUNICATIONS DIRECTOR (TREASURY)	08534A	1.0	90,491	1.0	90,940
DEPUTY DIRECTOR (TREASURY/RET)	08542A	1.0	125,835	1.0	126,461
DEPUTY LEGAL COUNSEL (TREASURY RETIREMENT)	08534A	1.0	104,065	1.0	104,582
DIR RETIREMNET SAV PLANS (TR/RT)	08536A	1.0	91,344	1.0	96,599
DISABILITY PENSION MANAGER (TREASURY/ RETIREMENT)	08531A	1.0	80,989	1.0	81,392
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	08545A	1.0	162,339	1.0	163,147
IMAGING TECNICIAN	00315A	1.0	53,644	1.0	53,911
INVESTMENT ACCOUNTING MANAGER (TREASURY/RETIREMENT)	08538A	1.0	121,205	1.0	121,808
INVESTMENT ANALYST (TREASURY)	08530A	1.0	72,706	1.0	76,381
LEGAL COUNSEL (TREASURY RETIREMENT)	08530A	1.0	77,861	1.0	78,247
MANAGER-RETIREMENT COUNSELORS (TREASURY RETIREMENT)	08531A	1.0	72,729	1.0	75,733
MEMBER SERVICES REPRESENTATIVE (TREASURY RETIREMENT)	00318A	1.0	46,304	1.0	47,782
PRINCIPAL ACCOUNTANT	00326A	1.0	69,177	1.0	69,521
PROJECT COORDINATOR/COMPLIANCE ANALYST (TREASURY DEPARTMENT)	00327A	1.0	68,009	1.0	68,009
RETIREMENT AIDE (TREASURY/RETIREMENT)	00316A	2.0	89,554	2.0	89,999
RETIREMENT ANALYST	00323A	1.0	70,082	1.0	70,425
SENIOR ACCOUNTANT (TREASURY/RETIREMENT)	00325A	1.0	60,198	1.0	63,462
SENIOR ADMINISTRATIVE AIDE (TREASURY/ RETIREMENT)	00317A	2.0	101,065	2.0	102,662
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	00327A	2.0	162,494	2.0	163,285

Personnel

Agency: Office of the General Treasurer

State Retirement System

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
SENIOR INVESTMENT ANALYST (TREASURY)	08535A	1.0	88,812	1.0	89,253
SENIOR INVESTMENT OFFICER (TREASURY INVESTMENTS)	08541A	1.0	107,805	1.0	113,425
SPECIAL PROJECTS MGR (TREAS/RET)	08531A	1.0	80,989	1.0	81,392
SR INVESTMENT OFFICER (TRSY)	08541A	1.0	117,985	1.0	121,325
WAGE & CONTRIBUTION ANALYST (TREASURY RETIREMENT)	00324A	1.0	74,710	1.0	75,066
Subtotal Unclassified		44.0	3,574,649	44.0	3,633,247
Subtotal		44.0	3,574,649	44.0	3,633,247
Transfer Out			(147,545)		(148,271)
Transfer In			793,250		805,588
Overtime (1.5)			100,000		120,000
Turnover			(230,780)		(82,444)
Total Salaries			4,089,574		4,328,120
Benefits					
FICA			296,109		311,589
Health Benefits			585,946		600,809
Payroll Accrual			23,238		24,563
Retiree Health			265,307		247,021
Retirement			1,091,074		1,197,745
Subtotal			2,261,674		2,381,727
Total Salaries and Benefits		44.0	6,351,248	44.0	6,709,847
Cost Per FTE Position			144,347		152,497
Statewide Benefit Assessment			157,589		166,223
Payroll Costs		44.0	6,508,837	44.0	6,876,070
Purchased Services					
Buildings and Ground Maintenance			124,800		0
Clerical and Temporary Services			12,000		295,230
Information Technology			2,473,892		2,816,664
Legal Services			500,000		465,000
Management & Consultant Services			604,200		592,200
Other Contracts			288,750		258,750
Subtotal			4,003,642		4,427,844
Total Personnel		44.0	10,512,479	44.0	11,303,914

Personnel

Agency: Office of the General Treasurer

State Retirement System

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Restricted Receipts	41.0	10,512,479	41.0	11,303,914
Operating Transfers from Other Funds	2.0	0	2.0	0
Other Funds	1.0	0	1.0	0
Total All Funds	44.0	10,512,479	44.0	11,303,914

Program Summary

OFFICE OF THE GENERAL TREASURER

Unclaimed Property

Mission

The core mission of the program is to return unclaimed property to its rightful owner and maintain custody of the property within the general fund until it can be returned. To fulfill this mission, the program maintains an active enforcement effort, ensuring that holders of unclaimed property are compliant with the law. This effort results in more property being received the State and being returned to their rightful owners. The program also maintains an active outreach through print and digital media along with live events to advertise unclaimed property and raise awareness about the program.

Description

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Statutory History

R.I. General Laws § 33-21 and RIGL § 33-21.1 govern the Unclaimed Property Program and were updated during the 2011 legislative session to amend the advertising requirements of the program and to establish procedures for the disposal of 'de minimus' property. RIGL § 28-42-38 and § 33-21.1-17, as amended, passed by the 2017 Legislature, facilitate the sharing of data between the Unclaimed Property Program and data banks of other state agencies to unite property holders using pre-qualified identity matches.

Budget

Agency: Office of the General Treasurer

Unclaimed Property

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	28,448,513	27,471,418	25,350,100	25,401,199	25,868,450
Total Expenditures	28,448,513	27,471,418	25,350,100	25,401,199	25,868,450
Expenditures by Object					
Salary and Benefits	1,187,659	1,201,057	1,231,385	1,293,102	1,385,543
Contract Professional Services	681,437	473,787	670,000	670,000	770,000
Operating Supplies and Expenses	26,564,333	25,795,016	23,437,715	23,427,097	23,701,907
Subtotal: Operating	28,433,429	27,469,860	25,339,100	25,390,199	25,857,450
Capital Purchases And Equipment	15,084	1,558	11,000	11,000	11,000
Subtotal: Other	15,084	1,558	11,000	11,000	11,000
Total Expenditures	28,448,513	27,471,418	25,350,100	25,401,199	25,868,450
Expenditures by Source of Funds					
Restricted Receipts	28,448,513	27,471,418	25,350,100	25,401,199	25,868,450
Total Expenditures	28,448,513	27,471,418	25,350,100	25,401,199	25,868,450

Personnel

Agency: Office of the General Treasurer

Unclaimed Property

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
APPLICATIONS COORDINATOR (TREASURY UNCLAIMED PROPERTY)	00318A	1.0	53,932	1.0	54,200
ASST ADMIN/CLERK (UNCLAIMED PROPERTY)	00318A	2.0	98,058	2.0	98,546
OUTREACH COORDINATOR (TREASURY)	08516A	1.0	41,726	1.0	41,934
SENIOR UNCLAIMED PROPERTY TECHNICIAN (TREAS)	00323A	1.0	61,609	1.0	61,915
UNCLAIMED PROPERTY CLERK (TREASURY)	00318A	1.0	49,029	1.0	49,273
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	08534A	1.0	90,491	1.0	90,941
Subtotal Unclassified		7.0	394,845	7.0	396,809
Subtotal		7.0	394,845	7.0	396,809
Transfer Out			(35,467)		(35,644)
Transfer In			454,004		459,401
Overtime (1.5)			35,000		35,000
Turnover			(36,156)		15,942
Total Salaries			812,226		871,508
Benefits					
FICA			59,279		63,850
Health Benefits			122,205		125,359
Payroll Accrual			4,531		4,881
Retiree Health			51,685		49,103
Retirement			212,473		237,801
Subtotal			450,173		480,994
Total Salaries and Benefits		7.0	1,262,399	7.0	1,352,502
Cost Per FTE Position			180,343		193,215
Statewide Benefit Assessment			30,703		33,041
Payroll Costs		7.0	1,293,102	7.0	1,385,543
Purchased Services					
Information Technology			170,000		170,000
Management & Consultant Services			500,000		600,000
Subtotal			670,000		770,000
Total Personnel		7.0	1,963,102	7.0	2,155,543
Distribution by Source of Funds					
Restricted Receipts		7.0	1,963,102	7.0	2,155,543
Total All Funds		7.0	1,963,102	7.0	2,155,543

Program Summary

OFFICE OF THE GENERAL TREASURER

Crime Victim Compensation Program

Mission

The Program was created to help victims recover costs associated with violent crime. The program provides up to \$25,000 in compensation to crime victims for expenses such as medical bills, loss of earnings, crime scene cleaning, and funeral expenses. While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financial burdens victims face during challenging difficult times.

Description

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages were necessary. Program staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program Administrator awards or denies requests according to the evidence presented and eligibility rules under the applicable statute.

Statutory History

R.I. General Laws § 12-25 governs the victims of Violent Crimes Compensation Program. In 2011 the chapter was amended to increase the reimbursement limits for funeral expenses and to add crime-scene clean-up costs as an eligible expense. The statute was further amended in 2013 to add emergency compensation for relocation costs. More recently, payment for psychiatric care and counseling for minor witnesses who witness violent crimes was passed by the 2017 Legislature.

Budget

Agency: Office of the General Treasurer

Crime Victim Compensation Program

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	1,843,965	1,502,156	1,742,118	1,684,722	2,150,337
Total Expenditures	1,843,965	1,502,156	1,742,118	1,684,722	2,150,337
Expenditures by Object					
Salary and Benefits	444,357	457,603	497,738	443,438	449,098
Contract Professional Services	2,023	26	18,250	18,250	0
Operating Supplies and Expenses	61,272	59,662	30,130	27,034	30,130
Assistance And Grants	1,335,582	980,303	1,195,000	1,195,000	1,670,109
Subtotal: Operating	1,843,234	1,497,594	1,741,118	1,683,722	2,149,337
Capital Purchases And Equipment	731	4,562	1,000	1,000	1,000
Subtotal: Other	731	4,562	1,000	1,000	1,000
Total Expenditures	1,843,965	1,502,156	1,742,118	1,684,722	2,150,337
Expenditures by Source of Funds					
General Revenue	301,817	499,929	394,018	383,096	396,407
Federal Funds	630,684	580,639	711,156	690,026	690,946
Restricted Receipts	911,464	421,589	636,944	611,600	1,062,984
Total Expenditures	1,843,965	1,502,156	1,742,118	1,684,722	2,150,337

Personnel

Agency: Office of the General Treasurer

Crime Victim Compensation Program

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE (TREASURY)	00316A	1.0	46,169	1.0	46,399
ADMINISTRATOR (TREASURY CRIME VICTIM COMPENSATION)	08531A	1.0	80,989	1.0	81,392
APPLICATIONS COORDINATOR (TREAS CRIME VICTIM COMPENSATI	00318A	1.0	59,958	1.0	60,251
DEPUTY PROGRAM ADMINISTRATOR (TREASURY CRIME VICTIM COMP)	08528A	1.0	72,020	1.0	72,378
Subtotal Unclassified		4.0	259,136	4.0	260,420
Subtotal		4.0	259,136	4.0	260,420
Transfer Out			(36,010)		(36,189)
Transfer In			47,960		48,506
Turnover			(634)		0
Total Salaries			270,452		272,737
Benefits					
FICA			20,628		20,816
Health Benefits			48,663		49,965
Payroll Accrual			1,574		1,589
Retiree Health			17,984		16,011
Retirement			73,454		77,205
Subtotal			162,303		165,586
Total Salaries and Benefits		4.0	432,755	4.0	438,323
Cost Per FTE Position			108,189		109,581
Statewide Benefit Assessment			10,683		10,775
Payroll Costs		4.0	443,438	4.0	449,098
Purchased Services					
Information Technology			18,250		0
Subtotal			18,250		0
Total Personnel		4.0	461,688	4.0	449,098
Distribution by Source of Funds					
General Revenue		3.0	326,008	3.0	311,114
Federal Funds		0.0	(920)	0.0	0
Restricted Receipts		1.0	136,600	1.0	137,984
Total All Funds		4.0	461,688	4.0	449,098

Agency Summary

BOARD OF ELECTIONS

Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Agency Description

The Board of Elections (Board) supervises all elections and state and local referenda. The Board supervises the maintenance, preparation, and delivery of voting equipment, election return forms, and other supplies used at polling places. It instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The Board also appoints, trains, and assigns bipartisan pairs of supervisors for the mail ballot program for institutionally confined persons. It certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns. The Board oversees voter registration and public education activities and provides all registration materials used in the state. It also trains and supervises all individuals who serve as statewide voter registration agents. The Board conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The Board oversees and monitors the campaign finance activities of candidates, political action committees, and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee, and vendor reports. It is also responsible for the publication of manuals describing and explaining the requirements set forth in the statutes. It reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The Board also oversees and administers the public financing of campaigns program for each of the State's five general offices.

Statutory History

Chapter 825 of the Public Laws of 1901 created the State Returning Board. Chapter 1040 of the Public Laws of 1941 created the Board of Elections as a partisan board, replacing the State Returning Board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I. General Laws § 17-7 sets forth its duties and powers, § 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns) and § 17-27 provides for reporting requirements and monitoring of political contributions by state vendors.

Budget

Board Of Elections

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	1,505,698	4,272,724	2,748,855	2,717,647	3,472,921
Total Expenditures	1,505,698	4,272,724	2,748,855	2,717,647	3,472,921
Expenditures by Object					
Salary And Benefits	1,088,309	1,578,358	1,678,429	1,573,831	1,929,284
Contract Professional Services	147,418	154,133	236,688	237,300	294,300
Operating Supplies And Expenses	259,621	783,177	825,738	704,459	1,241,337
Assistance And Grants	0	1,728,470	0	0	0
Subtotal: Operating	1,495,348	4,244,137	2,740,855	2,515,590	3,464,921
Capital Purchases And Equipment	10,350	28,587	8,000	202,057	8,000
Subtotal: Other	10,350	28,587	8,000	202,057	8,000
Total Expenditures	1,505,698	4,272,724	2,748,855	2,717,647	3,472,921
Expenditures by Source of Funds					
General Revenue	1,505,698	4,272,724	2,748,855	2,717,647	3,472,921
Total Expenditures	1,505,698	4,272,724	2,748,855	2,717,647	3,472,921
FTE Authorization	12.0	13.0	13.0	13.0	13.0

Personnel

Agency: Board Of Elections

Central Management

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	00312A	1.0	39,593	1.0	40,498
ADMINISTRATIVE ASSISTANT	00823A	1.0	55,603	1.0	58,297
ASSISTANT ADMINISTRATOR/FINANCIAL MANAGEMENT	00835A	1.0	95,444	1.0	98,896
CONFIDENTIAL SECRETARY	00817A	1.0	47,522	1.0	47,759
DEPUTY DIRECTOR	00832A	1.0	86,549	1.0	90,080
EXECUTIVE DIRECTOR	00844A	1.0	149,583	1.0	154,209
PLANNING AND PROGRAM DEVELOPMENT SPECIALIST	00320A	3.0	145,918	3.0	151,092
SENIOR ADMINISTRATIVE AIDE/TRAINING SPECIALIST	00319A	1.0	47,734	1.0	49,368
SENIOR RECEPTIONIST	00312A	1.0	43,600	1.0	43,817
SPECIAL PROJECTS COORDINATOR	00829A	2.0	139,690	2.0	146,102
Subtotal Unclassified		13.0	851,236	13.0	880,118
Subtotal		13.0	851,236	13.0	880,118
Overtime (1.5)			7,651		7,982
Seasonal/Special Salaries/Wages			200,000		413,487
Turnover			(55,399)		0
Total Salaries			1,003,488		1,301,587
Benefits					
FICA			72,844		81,874
Health Benefits			189,667		194,393
Holiday			0		5,811
Payroll Accrual			4,640		5,142
Retiree Health			52,923		54,221
Retirement			218,834		251,492
Subtotal			538,908		592,933
Total Salaries and Benefits		13.0	1,542,396	13.0	1,894,520
Cost Per FTE Position			118,646		145,732
Statewide Benefit Assessment			31,435		34,764
Payroll Costs		13.0	1,573,831	13.0	1,929,284
Purchased Services					
Clerical and Temporary Services			3,000		3,000
Legal Services			150,000		150,000
Other Contracts			84,300		141,300
Subtotal			237,300		294,300

Personnel

Agency: Board Of Elections

Central Management

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Total Personnel	13.0	1,811,131	13.0	2,223,584
Distribution by Source of Funds				
General Revenue	13.0	1,811,131	13.0	2,223,584
Total All Funds	13.0	1,811,131	13.0	2,223,584

Agency Summary

RHODE ISLAND ETHICS COMMISSION

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage. Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees. Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner. Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources. Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The Rhode Island Ethics Commission was created as an independent, non-partisan division of Rhode Island Government by the voters of Rhode Island through a 1986 amendment to the Rhode Island Constitution. The Commission's constitutional authority includes adopting a Code of Ethics that applies to all state and municipal elected officials, appointed officials and public employees in such areas that expressly include, but are not limited to, conflicts of interest, confidential information, use of position, contracts with government agencies and financial disclosure. Its constitutional authority also includes the investigation and enforcement of alleged violations of the Code of Ethics and the imposition of penalties for noncompliance.

The Ethics Commission administers and enforces a financial disclosure requirement for more than 4,000 public officials annually and makes such filings readily available for public inspection. It issues numerous written advisory opinions each year, maintains public contact with dozens of inquiring citizens each week, and provides regular training to state and municipal public officials and employees as to the requirements of the Code of Ethics.

The nine-member Ethics Commission is appointed by the Governor, with a majority of its members nominated by legislative leaders. The staff of the Ethics Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Ethics Commission's operations are funded solely with state general revenues.

Statutory History

Article III, sections 7 and 8 of the Rhode Island Constitution were approved by the voters on November 4, 1986. Enacted in 1987, R.I. General Laws § 36-14 sets forth the legislative provisions of the Code of Ethics and defines the Ethics Commission's advisory, investigative and adjudicative procedures. Pursuant to its Constitutional authority, the Ethics Commission has adopted both substantive ethics laws and procedural regulations, which can be found in Title 520 of the Rhode Island Code of Regulations. A further amendment to Article III, Section 8 of the Rhode Island Constitution in 2016 clarified that members of the General Assembly are subject to the Code of Ethics and the jurisdiction of the Ethics Commission.

Budget

Rhode Island Ethics Commission

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
RI Ethics Commission	1,637,934	1,719,158	1,845,298	1,843,445	1,977,107
Total Expenditures	1,637,934	1,719,158	1,845,298	1,843,445	1,977,107
Expenditures by Object					
Salary And Benefits	1,416,731	1,475,217	1,572,002	1,568,860	1,592,555
Contract Professional Services	22,193	26,348	47,275	47,275	127,275
Operating Supplies And Expenses	195,686	210,786	218,195	219,484	248,451
Subtotal: Operating	1,634,610	1,712,352	1,837,472	1,835,619	1,968,281
Capital Purchases And Equipment	3,324	6,806	7,826	7,826	8,826
Subtotal: Other	3,324	6,806	7,826	7,826	8,826
Total Expenditures	1,637,934	1,719,158	1,845,298	1,843,445	1,977,107
Expenditures by Source of Funds					
General Revenue	1,637,934	1,719,158	1,845,298	1,843,445	1,977,107
Total Expenditures	1,637,934	1,719,158	1,845,298	1,843,445	1,977,107
FTE Authorization	12.0	12.0	12.0	12.0	12.0

Performance Measures

Rhode Island Ethics Commission

Online Filing of Financial Disclosure

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Commission implemented an online financial disclosure filing system, which benefits both the filer and the Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures submitted online. [Notes: Calendar year 2019 data is as of 8/6/2019. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2017	2018	2019	2020	2021
Target	80.00%	85.00%	85.00%	86.00%	87.00%
Actual	82.30%	84.70%	86.00%	0.00%	

Ethics Education Training Attendance

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is always on call to speak with members of the public having questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Sessions vary in duration and content depending upon the needs and goals of the agency or group receiving training. The figures below represent the number of ethics education training attendees. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	1,500.00	1,500.00	1,500.00	1,800.00	1,800.00
Actual	1,479.00	1,930.00	1,890.00	0.00	

Timeliness of Response to Access to Public Records Act Requests

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 business days of a request, unless an extension is necessary. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. The figures below represent the percentage of APRA requests completed within one business day. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	85.00%	85.00%	95.00%	95.00%	95.00%
Actual	95.00%	95.00%	98.00%	0.00%	

Personnel

Agency: Rhode Island Ethics Commission

RI Ethics Commission

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE ASSISTANT	00816A	1.0	43,409	1.0	44,552
CHIEF OF THE OFFICE OF INVESTIGATIONS	00836A	1.0	114,660	1.0	115,215
COORDINATOR- SPECIAL PROJECTS	00827A	1.0	76,238	1.0	76,617
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	00845A	1.0	147,945	1.0	154,273
INVESTIGATOR I	00823A	1.0	61,938	1.0	62,246
RESEARCH AIDE	00810A	1.0	39,365	1.0	39,942
SENIOR CONFIDENTIAL INVESTIGATOR	00832A	1.0	88,330	1.0	88,770
SPECIAL PROJECTS COORDINATOR	00829A	1.0	78,682	1.0	79,073
STAFF ATTORNEY II	00830A	2.0	155,722	2.0	156,496
STAFF ATTORNEY IV	00834A	1.0	90,491	1.0	90,941
STAFF ATTORNEY V	00836A	1.0	106,640	1.0	107,171
Subtotal Unclassified		12.0	1,003,420	12.0	1,015,296
Subtotal		12.0	1,003,420	12.0	1,015,296
Total Salaries			1,003,420		1,015,296
Benefits					
FICA			76,106		76,904
Health Benefits			100,503		103,152
Payroll Accrual			5,851		5,936
Retiree Health			66,729		59,598
Retirement			276,615		291,565
Subtotal			525,804		537,155
Total Salaries and Benefits		12.0	1,529,224	12.0	1,552,451
Cost Per FTE Position			127,435		129,371
Statewide Benefit Assessment			39,636		40,104
Payroll Costs		12.0	1,568,860	12.0	1,592,555
Purchased Services					
Clerical and Temporary Services			5,000		5,000
Information Technology			6,000		6,000
Legal Services			36,000		116,000
Other Contracts			275		275
Subtotal			47,275		127,275
Total Personnel		12.0	1,616,135	12.0	1,719,830

Personnel

Agency: Rhode Island Ethics Commission

RI Ethics Commission

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	12.0	1,616,135	12.0	1,719,830
Total All Funds	12.0	1,616,135	12.0	1,719,830

Agency Summary

RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Agency Mission

To enforce state and federal anti-discrimination laws.

Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes, in addition to prohibiting discrimination on the aforementioned bases, also prohibit discrimination based on marital status, familial status, and military status. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse, housing status and association with members of a protected class. The delivery of services statute prohibits discrimination on the basis of disability. The employment law also prohibits employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply. The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the Commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The Commission renders a formal decision and order following an administrative hearing.

Statutory History

The Commission was created and empowered in 1949 by R.I. General Laws § 28-5 (the Fair Employment Practices Act). The Commission has been given statutory responsibility to enforce the following laws: RIGL § 28-5-1 et seq.; § 34-37-1 et seq. (Fair Housing Practices Act); § 11-24-1 et seq. (Hotels and Public Places); § 23-6.3-11 and § 23-6.3-12 (Prevention and Suppression of Contagious Diseases - HIV/AIDS); § 42-87-1 et seq. (Civil Rights of People with Disabilities); and § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and the Fair Housing Act (Title VIII of the Civil Rights act of 1968), as amended.

Budget

Rhode Island Commission For Human Rights

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	1,683,010	1,743,538	1,917,005	1,907,748	1,957,449
Total Expenditures	1,683,010	1,743,538	1,917,005	1,907,748	1,957,449
Expenditures by Object					
Salary And Benefits	1,383,622	1,438,629	1,583,586	1,586,862	1,636,089
Contract Professional Services	13,999	3,085	9,850	8,800	8,800
Operating Supplies And Expenses	285,389	300,381	323,569	312,086	312,560
Subtotal: Operating	1,683,010	1,742,095	1,917,005	1,907,748	1,957,449
Capital Purchases And Equipment	0	1,443	0	0	0
Subtotal: Other	0	1,443	0	0	0
Total Expenditures	1,683,010	1,743,538	1,917,005	1,907,748	1,957,449
Expenditures by Source of Funds					
General Revenue	1,273,387	1,298,537	1,353,591	1,350,221	1,452,747
Federal Funds	409,623	445,001	563,414	557,527	504,702
Total Expenditures	1,683,010	1,743,538	1,917,005	1,907,748	1,957,449
FTE Authorization	14.5	14.5	14.5	14.5	14.5

Performance Measures

Rhode Island Commission For Human Rights

Cases Processed

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure, Decision & Order), as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not constitute closures, they are recorded in order to present an accurate record of casework completed by staff and Commissioners. A higher number indicates greater case production. The data is from internal agency case-tracking records. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	407.00	407.00	421.00	428.00	428.00
Actual	412.00	461.00	407.00	0.00	

Outreach Activities

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number indicates greater compliance with the statute. The data is from internal agency outreach tracking records. [Note: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	38.00	44.00	49.00	49.00	49.00
Actual	51.00	55.00	49.00	0.00	

Average Case Age at Closure

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases. The data is from internal agency case-tracking records. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2017	2018	2019	2020	2021
Target	365.00	365.00	365.00	365.00	365.00
Actual	315.00	305.00	325.00	0.00	

Personnel

Agency: Rhode Island Commission For Human Rights

Central Management

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	00313A	2.0	93,555	2.0	94,021
CHIEF CLERK	0E018A	1.0	63,736	1.0	66,873
EEOC PROJECT DIRECTOR - HUMAN RIGHTS	00325A	1.0	75,828	1.0	76,191
EXECUTIVE SECRETARY - HUMAN RIGHTS	00840A	1.0	127,176	1.0	127,809
HUD PROJECT DIRECTOR	00326A	1.0	77,495	1.0	77,872
INVESTIGATOR (HUMAN RIGHTS)	00319A	2.0	96,866	2.0	100,243
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	00322A	4.5	261,331	4.5	266,666
STAFF ATTORNEY III	00832A	1.0	84,124	1.0	84,543
STAFF ATTORNEY IV	00834A	1.0	108,588	1.0	109,128
Subtotal Unclassified		14.5	988,699	14.5	1,003,346
Subtotal		14.5	988,699	14.5	1,003,346
Turnover			(38,912)		(25,942)
Total Salaries			949,787		977,404
Benefits					
FICA			72,659		74,773
Health Benefits			198,145		203,529
Payroll Accrual			5,533		5,708
Retiree Health			63,160		57,372
Retirement			260,060		278,696
Subtotal			599,557		620,078
Total Salaries and Benefits		14.5	1,549,344	14.5	1,597,482
Cost Per FTE Position			106,851		110,171
Statewide Benefit Assessment			37,518		38,607
Payroll Costs		14.5	1,586,862	14.5	1,636,089
Purchased Services					
Clerical and Temporary Services			3,100		3,100
Legal Services			650		650
Other Contracts			2,475		2,475
Training and Educational Services			2,575		2,575
Subtotal			8,800		8,800
Total Personnel		14.5	1,595,662	14.5	1,644,889

Personnel

Agency: Rhode Island Commission For Human Rights

Central Management

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	12.5	1,249,828	12.5	1,295,770
Federal Funds	2.0	345,834	2.0	349,119
Total All Funds	14.5	1,595,662	14.5	1,644,889

Agency Summary

PUBLIC UTILITIES COMMISSION

Agency Mission

The Public Utilities Commission and Division of Public Utilities and Carriers supervise, regulate, and make orders governing the conduct of companies offering to the public in intrastate commerce energy, communication, transportation services, and water supplies for the purpose of increasing and maintaining the efficiency of the companies, according desirable safeguards and convenience to their employees and to the public, and protecting them and the public against improper and unreasonable rates, tolls and charges by providing full, fair, and adequate administrative procedures and remedies, and by securing a judicial review to any party aggrieved by such an administrative proceeding or ruling.

Agency Description

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three-member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under R.I. General Laws § 39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to RIGL § 39-19-4, appeals under § 39-1-30, petitions under § 39-1-31, and proceedings under § 39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to RIGL § 42-98. The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to RIGL § 39-12, § 39-13, and § 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have regulated utilities in Rhode Island since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of both the Administrator of the Division and the Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairperson of the Commission and Administrator of the Division. The Commission and the Division are established under RIGL § 39-1-3.

Budget

Public Utilities Commission

	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Expenditures by Program					
Central Management	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
Total Expenditures	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
Expenditures by Object					
Salary And Benefits	6,099,135	6,274,696	7,106,801	7,047,602	7,341,923
Contract Professional Services	1,896,448	1,364,003	2,609,421	2,609,421	2,609,421
Operating Supplies And Expenses	862,422	1,266,615	1,256,758	1,302,901	1,296,890
Capital Purchases And Equipment	0	0	250,000	250,000	250,000
Subtotal: Operating	8,858,005	8,905,314	11,222,980	11,209,924	11,498,234
Capital Purchases And Equipment	156,222	219,862	160,000	160,000	160,000
Subtotal: Other	156,222	219,862	160,000	160,000	160,000
Total Expenditures	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
Expenditures by Source of Funds					
Federal Funds	165,815	177,974	178,002	175,928	178,744
Restricted Receipts	8,848,412	8,947,203	11,204,978	11,193,996	11,479,490
Total Expenditures	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
FTE Authorization	54.0	53.0	52.0	52.0	54.0

Personnel Agency Summary

Public Utilities Commission

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Classified	45.0	3,745,292	47.0	3,923,255
Unclassified	7.0	713,931	7.0	722,555
Subtotal	52.0	4,459,223	54.0	4,645,810
Overtime (1.5)		53,000		53,000
Seasonal/Special Salaries/Wages		2,300		2,300
Turnover		(102,476)		(128,121)
Total Salaries		4,412,047		4,572,989
Benefits				
FICA		331,237		343,509
Health Benefits		628,887		672,201
Payroll Accrual		25,369		26,370
Retiree Health		289,876		265,322
Retirement		1,188,006		1,282,992
Subtotal		2,463,375		2,590,394
Total Salaries and Benefits	52.0	6,875,422	54.0	7,163,383
Cost Per FTE Position		132,220		132,655
Statewide Benefit Assessment		172,180		178,540
Payroll Costs	52.0	7,047,602	54.0	7,341,923
Purchased Services				
Buildings and Ground Maintenance		29,000		29,000
Clerical and Temporary Services		79,000		79,000
Information Technology		93,000		93,000
Legal Services		353,000		353,000
Management & Consultant Services		2,042,908		2,042,908
Other Contracts		12,513		12,513
Subtotal		2,609,421		2,609,421
Total Personnel	52.0	9,657,023	54.0	9,951,344
Distribution by Source of Funds				
Federal Funds	0.0	162,966	0.0	165,782
Restricted Receipts	52.0	9,494,057	54.0	9,785,562
Total All Funds	52.0	9,657,023	54.0	9,951,344

Performance Measures

Public Utilities Commission

Timeliness of Motor Carrier Applications and Reports

When the Division of Public Utilities and Carriers (DPUC) receives an application for authority to operate as a motor carrier (e.g., taxi, limousine, water taxi, etc.), it is docketed and a public hearing is scheduled and advertised. Following the hearing, DPUC issues a formal written report approving or denying the application. The figures below represent the percentage of motor carrier applications completed within 60 business days. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		95.00%	95.00%	95.00%	95.00%	95.00%
Actual		94.80%	95.83%	96.00%	0.00%	

Timeliness of Cable Service and Telecom Inquiry Resolution

DPUC receives cable and telecom customer inquiries involving billing disputes, tariff issues, rate increases, interconnect issues, product installation, repairs, and service quality. The figures below represent the percentage of inquiries resolved within 60 business days. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		100.00%	100.00%	100.00%	100.00%	100.00%
Actual		96.20%	98.46%	98.00%	0.00%	

Timeliness of Informal Consumer Payment Plan Process

Customers enroll in a payment plan in accordance with the Commission's rules and regulations to avoid utility service termination or to have their service restored. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of an inquiry. [Note: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		90.00%	90.00%	90.00%	90.00%	90.00%
Actual		96.70%	97.30%	97.00%	0.00%	

Timeliness of Consumer Billing Complaint Investigations

DPUC investigates complaints involving motor carriers and public utilities including electric, gas, and water providers. Non-payment related billing complaints are often attributable to clerical error, customer misunderstanding, and faulty utility meters. The figures below represent the percentage of non-payment related billing complaint investigations completed within 60 business days. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2017	2018	2019	2020	2021
Target		90.00%	90.00%	90.00%	90.00%	90.00%
Actual		99.40%	94.44%	95.00%	0.00%	

Budget

Agency: Public Utilities Commission

Central Management

Expenditures by Sub Program	2018 Actuals	2019 Actuals	2020 Enacted Budget	2020 Revised Budget	2021 Recommended
Operations	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
Total Expenditures	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
Expenditures by Object					
Salary and Benefits	6,099,135	6,274,696	7,106,801	7,047,602	7,341,923
Contract Professional Services	1,896,448	1,364,003	2,609,421	2,609,421	2,609,421
Operating Supplies and Expenses	862,422	1,266,615	1,256,758	1,302,901	1,296,890
Capital Purchases And Equipment	0	0	250,000	250,000	250,000
Subtotal: Operating	8,858,005	8,905,314	11,222,980	11,209,924	11,498,234
Capital Purchases And Equipment	156,222	219,862	160,000	160,000	160,000
Subtotal: Other	156,222	219,862	160,000	160,000	160,000
Total Expenditures	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234
Expenditures by Source of Funds					
Federal Funds	165,815	177,974	178,002	175,928	178,744
Restricted Receipts	8,848,412	8,947,203	11,204,978	11,193,996	11,479,490
Total Expenditures	9,014,227	9,125,176	11,382,980	11,369,924	11,658,234

Personnel

Agency: Public Utilities Commission

Central Management

		FY 2020		FY 2021	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	2.0	310,806	2.0	320,352
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	109,899	1.0	109,899
ASSISTANT TO CHIEF PUBLIC UTILITIES ACCOUNTANT	0AB34A	1.0	95,006	1.0	95,467
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR DIV/PU & CARR	00136A	1.0	94,786	1.0	95,257
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR FOR MOTOR CAR.	00136A	1.0	97,698	1.0	98,170
BUSINESS MANAGEMENT OFFICER	0AB26A	1.0	59,317	1.0	61,896
CHF REGULATORY ANALYST (PUC)	0AB38A	1.0	96,432	1.0	96,912
CHIEF COMPLIANCE INSPECTOR	0AB30A	1.0	81,278	1.0	81,672
CHIEF CONSUMER AGENT (DPUC)	0AB28A	1.0	71,318	1.0	71,318
CHIEF FIELD INVESTIGATOR (GENERAL)	0AB24A	1.0	59,002	1.0	59,942
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	0AB24A	1.0	59,324	1.0	59,942
CHIEF FINANCIAL ANALYST	00138A	1.0	108,167	1.0	108,696
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,842	1.0	73,448
CHIEF OF LEGAL SERVICES	00139A	1.0	108,289	1.0	108,812
CHIEF PUBLIC UTILITIES ACCOUNTANT	00140A	1.0	112,386	1.0	118,803
CONSUMER AGENT (DPUC)	0AB18A	1.0	50,893	1.0	50,893
CONSUMER AGENT (DPUC)	0AB24A	4.0	210,944	4.0	214,006
DEPUTY CHIEF OF LEGAL SERVICES	00137A	4.0	380,545	4.0	385,539
INFORMATION SERVICES TECHNICIAN I	0AB16A	1.0	40,410	1.0	41,392
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	49,193	1.0	50,582
INTERNET COMMUNICATIONS SPECIALIST	0AB28A	1.0	67,943	1.0	68,277
INVESTIGATIVE AUDITOR	00133A	1.0	97,116	1.0	97,578
MOTOR CARRIER COMPLIANCE INSPECTOR	0AB20A	2.0	101,731	2.0	103,663
PRINCIPAL AUDITOR	0AB28A	1.0	69,929	1.0	70,278
PRINCIPAL POLICY ASSOCIATE(PUC)	00140A	1.0	110,120	1.0	110,643
PROGRAMMING SERVICES OFFICER	00131A	1.0	66,024	1.0	67,463
PUBLIC UTILITIES ANALYST III	0AB24A	0.0	0	1.0	59,723
PUBLIC UTILITIES ANALYST V	0AB33A	2.0	167,965	2.0	171,474
PUBLIC UTILITIES DEPUTY ADMINISTRATOR	00146A	2.0	268,774	2.0	271,791
PUBLIC UTILITIES ENGINEERING SPECIALIST II	0AB28A	3.0	195,541	4.0	259,461
PUBLIC UTILITIES ENGINEERING SPECIALIST II	0AB33A	1.0	91,558	1.0	92,001
SENIOR LEGAL COUNSEL	00134A	2.0	156,730	2.0	162,579
SUPERVISING CIVIL ENGINEER (NATURAL RESOURCES)	00035A	1.0	85,326	1.0	85,326

Personnel

Agency: Public Utilities Commission

Central Management

	FY 2020		FY 2021		
	FTE	Cost	FTE	Cost	
Classified					
Subtotal Classified	45.0	3,745,292	47.0	3,923,255	
Unclassified					
ADMINISTRATIVE ASSISTANT	00129A	1.0	89,889	1.0	89,889
ADMINISTRATIVE ASSISTANT	00822A	2.0	113,610	2.0	114,176
ADMINISTRATOR- DIVISION OF PUBLIC UTILITIES & CARRIERS	00847A	1.0	136,059	1.0	139,104
CHAIRPERSON- PUBLIC UTILITIES COMMISSION	00844A	1.0	136,059	1.0	136,736
MEMBER- PUBLIC UTILITIES COMMISSION	00841A	2.0	238,314	2.0	242,650
Subtotal Unclassified	7.0	713,931	7.0	722,555	
Subtotal	52.0	4,459,223	54.0	4,645,810	
Overtime (1.5)			53,000		53,000
Seasonal/Special Salaries/Wages			2,300		2,300
Turnover			(102,476)		(128,121)
Total Salaries			4,412,047		4,572,989
Benefits					
FICA			331,237		343,509
Health Benefits			628,887		672,201
Payroll Accrual			25,369		26,370
Retiree Health			289,876		265,322
Retirement			1,188,006		1,282,992
Subtotal			2,463,375		2,590,394
Total Salaries and Benefits	52.0	6,875,422	54.0	7,163,383	
Cost Per FTE Position		132,220		132,655	
Statewide Benefit Assessment			172,180		178,540
Payroll Costs	52.0	7,047,602	54.0	7,341,923	
Purchased Services					
Buildings and Ground Maintenance			29,000		29,000
Clerical and Temporary Services			79,000		79,000
Information Technology			93,000		93,000
Legal Services			353,000		353,000
Management & Consultant Services			2,042,908		2,042,908
Other Contracts			12,513		12,513
Subtotal			2,609,421		2,609,421
Total Personnel	52.0	9,657,023	54.0	9,951,344	

Personnel

Agency: Public Utilities Commission

Central Management

	FY 2020		FY 2021	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Federal Funds	0.0	162,966	0.0	165,782
Restricted Receipts	52.0	9,494,057	54.0	9,785,562
Total All Funds	52.0	9,657,023	54.0	9,951,344

Quasi-Public Agencies

QUASI- PUBLIC AGENCIES

In general, a quasi-public agency is established under the Rhode Island General Laws, but has certain budgetary, governing, and policy-making independence from Executive and Legislative governance. Currently, Rhode Island has 16 quasi-public agencies with diverse structures, powers and responsibilities.

THE AGENCY

RHODE ISLAND AIRPORT CORPORATION

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Commerce Corporation (Commerce RI) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and Commerce RI, and having many of the same powers and purposes of Commerce RI. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of Commerce RI.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements. These funds typically range between 75-90% of eligible project costs.

THE BUDGET

RHODE ISLAND AIRPORT CORPORATION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Revenue				
Passenger Airline Revenues	\$ 21,133,500	\$ 19,716,600	\$ 13,000,200	\$ 13,260,000
Landing Fees - Cargo	1,501,500	621,500	286,000	291,700
General Aviation	414,400	436,500	420,000	436,500
Fuel Flowage Fees	822,100	827,400	792,800	808,700
Tiedown & Hangar Fees	1,547,500	1,622,300	1,643,700	1,643,700
Aircraft Registration	20,000	16,300	20,000	20,000
Concessions	4,316,700	4,638,500	4,861,700	5,116,000
Miscellaneous Revenues	258,000	227,000	180,000	183,600
Utilities Reimbursement	394,700	405,800	396,000	403,900
Rent - Non Airlines	1,244,300	1,265,600	1,213,100	1,237,400
Automobile Parking, Net	20,131,300	17,950,900	18,594,000	18,965,900
Rental Car	6,332,800	6,629,200	6,941,000	7,079,800
Off Airport Courtesy Fees	844,200	1,365,000	1,415,800	1,444,100
Federal Grants	259,200	219,800	217,800	217,800
Total Revenue	\$ 59,220,200	\$ 55,942,400	\$ 49,982,100	\$ 51,109,100
Expenses				
Personnel Expenses	\$ 17,185,000	\$ 15,760,700	\$ 17,616,100	\$ 18,121,600
Operating Expenses	12,651,900	12,231,100	14,121,100	14,403,500
Total Expenses	\$ 29,836,900	\$ 27,991,800	\$ 31,737,200	\$ 32,525,100
Net Income from Operations	\$ 29,383,300	\$ 27,950,600	\$ 18,244,900	\$ 18,584,000
General Aviation Airports - Net Inc (Loss)	\$ 1,511,400	\$ 317,300	\$ (243,100)	\$ (212,300)
Depreciation & Amorization (D&A)	25,682,400	24,017,300	23,100,000	22,200,000
Net Income (Loss) after D&A	\$ 5,212,300	\$ 4,250,600	\$ (5,098,200)	\$ (3,828,300)
Air Service Marketing- Net (ASM)	\$ (908,800)	\$ (777,700)	\$ (2,000,000)	\$ (2,000,000)
Net Income (Loss) after D&A & ASM	\$ 4,303,500	\$ 3,472,900	\$ (7,098,200)	\$ (5,828,300)
Non-Operating Income (Expense) ^(b)	\$ 16,679,800	\$ 5,812,300	\$ 13,510,700	\$ 25,699,950
Airports - Net Income	\$ 20,983,300	\$ 9,285,200	\$ 6,412,500	\$ 19,871,650
Interlink Facility - Net Income (Loss)	\$ (1,827,600)	\$ (346,900)	\$ (2,229,700)	\$ (2,034,300)
RIAC - Net Income	\$ 19,155,700	\$ 8,938,300	\$ 4,182,800	\$ 17,837,350

The information presented for FY 2021 has neither been reviewed nor approved by the RIAC Board of Directors and is subject to change.

(a)

(b) Included in this line are Federal Grant income and PFC income which are restricted for use on approved capital project costs and cannot be used for airport operations.

THE AGENCY

CAPITAL CENTER COMMISSION

Agency Description

The Capital Center Commission (the “Commission”) is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981 and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The Commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Commission, a fifteen-member board created by state legislation, is funded by the State of Rhode Island through the Rhode Island Commerce Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven-acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

The Capital Center Commission was established by 1981 R.I. Public Laws §2-332, as amended, and is governed by R.I General Laws § 45-24.4.

THE BUDGET

CAPITAL CENTER COMMISSION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Operating Revenues				
Development/Permit Fees	\$ -	\$ 2,625	\$ 2,000	\$ 2,000
Interest Income	18	19	15	15
Total Operating Revenues	\$ 18	\$ 2,644	\$ 2,015	\$ 2,015
Expenditures				
Print/Supplies		\$ 213	\$ 100	\$ 100
Meetings/Miscellaneous	1,034	1,220	1,000	1,000
Consultants	-	-	500	500
Bookkeeping Fees	1,150	1,150	1,250	1,300
Legal & Audit Fees	2,575	3,914	3,900	4,000
Total Expenditures	\$ 4,759	\$ 6,497	\$ 6,750	\$ 6,900
Less Non Operating Revenue				
Interest Income	\$ 18	\$ 19	\$ 15	\$ 15
Net Income (Loss)	\$ (4,741)	\$ (3,853)	\$ (4,735)	\$ (4,885)

THE AGENCY

RHODE ISLAND INFRASTRUCTURE BANK

Agency Mission

Rhode Island Infrastructure Bank's (the "Bank") mission is to actively support and finance investments in Rhode Island's infrastructure. The Bank does so through a variety of means, including the issuance of bonds, originating loans, and making grants, and the engagement with and mobilization of sources of public and private capital. Through its thought leadership, innovation, and financing activities, the Bank fosters infrastructure improvements that create jobs, promote economic development and enhance the environment.

Agency Description

The Bank was established in 1989 by the General Assembly, under Chapter 46-12.2 of the Rhode Island General Laws (1986), as amended. While the Bank is a body politic and corporate and public instrumentality of the State, it has a distinct legal existence separate from the State which does not constitute a department of the State government. However, the Bank is considered a component unit of the State and, accordingly, its financial statements are incorporated with and into the financial statements of the State.

Consistent with the Bank's mission of serving as Rhode Island's central hub for financing infrastructure improvements for municipalities, businesses, and homeowners, the Bank is focused on delivering innovative financing for an array of infrastructure-based projects. In addition to the Bank's legacy clean water (and its companion residential-based lending for the community septic system loan program and the sewer tie-in loan fund), drinking water, and municipal road and bridge programs, the Bank also supports energy efficiency and renewable energy (including the Property Assessed Clean Energy (PACE) program), stormwater and climate resiliency, brownfield remediation, and water quality protection lending.

Statutory History

R.I General Laws §46-12.2 is the Bank's enabling legislation and established the Clean Water SRF, while RIGL §46-12.8 establishes the Drinking Water SRF. RIGL §24-18, enacted in 2013, established the Municipal Road and Bridge Revolving Fund. In 2015, legislation was enacted to: (i) amend RIGL §46-12.2 to authorize the Bank to develop and administer the Efficient Buildings Fund; (ii) authorize the Bank to develop and administer the PACE program under RIGL §39-26.5; and (iii) authorize the Bank to develop and administer the Brownfields Revolving Fund under RIGL §23-19.16. In March 2015, in accordance with amendments to RIGL §46-15.1, §46-15.3 and §46-12.2 enacted in 2009, the Bank assumed the authorities and duties of the Water Resources Board Corporate, pursuant to which the Bank began to collect and administer certain water quality protection charge funds.

THE BUDGET

RHODE ISLAND INFRASTRUCTURE BANK

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Revenues from Operations				
Interest and Investment Income	\$ 30,410,435	\$ 33,725,572	\$ 35,978,000	\$ 36,877,450
Operating Grant Income	2,471,194	2,582,228	2,500,000	2,562,500
Loan Service Fees	5,455,415	5,506,824	5,800,000	5,945,000
Loan Origination Fees	831,315	1,489,625	1,175,000	1,204,375
Total Revenues	\$ 39,168,359	\$ 43,304,249	\$ 45,453,000	\$ 46,589,325
Operating Expenses				
Interest and Finance Expenses	\$ 23,133,362	\$ 22,244,946	\$ 21,383,000	\$ 21,917,575
Loan Principal Forgiveness	1,909,902	2,133,647	2,606,000	2,671,150
Administrative Expenses	4,182,386	4,759,187	4,748,000	4,866,700
Administrative Fees - DEM	795,950	170,194	400,000	410,000
Administrative Fees - DOH	376,051	577,168	450,000	461,250
DOH Set-Aside Programs	1,161,570	3,371,054	2,800,000	2,870,000
Total Operating Expenses	\$ 31,559,221	\$ 33,256,196	\$ 32,387,000	\$ 33,196,675
Other Revenues (Expenses)				
Federal & State Capitalization Grants	\$ 37,284,669	\$ 52,342,610	\$ 42,500,000	\$ 43,562,500
Transfers to State of Rhode Island	(3,500,000)	(4,000,000)	(4,000,000)	(5,000,000)
Excess Revenues over Expenses	\$ 41,393,807	\$ 58,390,663	\$ 51,566,000	\$ 51,955,150

THE AGENCY

RHODE ISLAND CONVENTION CENTER AUTHORITY

Agency Operations

The Rhode Island Convention Center Authority (the “Authority”) was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of the government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the Mayor of the City of Providence and one appointed by the Providence City Council.

The Authority’s original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The Authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the “Sublease”).

The Authority’s primary venues, the Rhode Island Convention Center and the Dunkin’ Donuts Center, have faced stiff competition for patrons and events. The buildings are getting older and vital equipment has reached the end of its useful life as reflected in the capital plan. The trend in the industry is expansion of existing facilities or rebuild. Major expansion of the Convention Center is not an option due to the limited available land on which to build space. In order to remain competitive Authority facilities need to be state of the art and in pristine condition. To that end the Authority has identified areas that require major renovations and upgrades.

The Authority continues the management of the Veterans Memorial Auditorium rebranded in FY 2011 as The Vets. The impact of the renovations completed in FY 2015 has already been realized by the increased number of and greater variety of offerings. The Vets is a premier facility for fine arts, educational and children’s programming.

Agency Objectives

Manage and operate the convention center complex, parking facilities, the Vets and the Dunkin’ Donuts Center. Furthermore, to attract events to capture and promote positive economic impact for the City and the State. In 2016, the General Assembly directed and authorized the Authority to develop and operate a new 1250 space parking facility on State owned land adjacent to the I-195 redevelopment district. The new garage is set to open in early 2020.

Statutory History

The Rhode Island Convention Center Authority was established by R.I General Laws §42-99.

THE BUDGET

RHODE ISLAND CONVENTION CENTER AUTHORITY

	FY2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Resources				
Opening Cash Balances	\$ -	\$ -	\$ 1,018,042	\$ -
Convention Center Operations	25,782,823	18,234,981	17,274,714	17,779,023
Dunkin' Donuts Center Operations	-	8,619,532	9,082,365	9,385,743
Veterans' Memorial Auditorium Operations	-	4,128,402	3,279,570	3,352,949
75 Clifford Street Parking Garage Operations	-	-	92,797	970,908
Investment Income	250	22,833	5,000	5,000
Miscellaneous Revenues	124,750	177,034	125,000	125,000
Total Resources	\$ 25,907,823	\$ 31,182,782	\$ 30,877,488	\$ 31,618,623
Expenditures				
Convention Center Authority	\$ 1,988,203	\$ 2,097,346	\$ 2,016,384	\$ 2,095,760
Convention Center	14,284,869	15,923,071	15,924,814	16,367,946
Dunkin' Donuts Center	8,687,906	8,136,006	9,336,936	9,584,181
Veterans' Memorial Auditorium	946,845	4,008,317	3,275,125	3,342,357
75 Clifford Street Parking Garage	-	-	287,861	673,395
Subtotal Operations	\$ 25,907,823	\$ 30,164,740	\$ 30,841,120	\$ 32,063,639
Convention Center Debt Service	\$ 14,736,782	\$ 12,005,934	\$ 14,499,434	\$ 15,993,734
Dunkin' Donuts Center Debt Service	6,836,683	6,905,320	6,909,320	6,904,158
75 Clifford Street Parking Garage Debt Service	-	2,541,106	3,025,091	3,026,405
Veterans' Memorial Auditorium Capital	245,000	198,705	91,295	100,000
Convention Center Capital	1,000,000	5,652,244	6,647,756	2,000,000
Dunkin' Donuts Center Capital	1,850,000	2,298,944	2,201,056	1,500,000
Grand Total Expenditures	\$ 50,576,288	\$ 59,766,993	\$ 64,215,072	\$ 61,587,936
Balance from Operations	\$ (24,668,465)	\$ (28,584,211)	\$ (33,337,584)	\$ (29,969,313)
State Appropriation - Debt Service RICCA	\$ 21,573,465	\$ 18,911,254	\$ 20,658,754	\$ 22,147,892
State Appropriation - Debt Service Garage	-	2,541,106	2,932,294	2,055,497
State Appropriation - RICAP - VMA	245,000	198,705	91,295	185,000
State Appropriation - RICAP - Conv. Center	1,000,000	5,652,244	6,647,756	2,000,000
State Appropriation - RICAP - D.D. Center	1,850,000	2,298,944	2,201,056	1,500,000
Final Cash Balances	\$ -	\$ 1,018,042	\$ (806,429)	\$ (2,080,924)

FY2020 and Proposed FY2021 includes projected 75 Clifford Street Parking Garage revenue and expenses.

Vets event revenue and expenses include certain ticket sales and direct event expense related to self presented events

Assumes the Convention Center Authority will balance cash balance annually

THE AGENCY

RHODE ISLAND COMMERCE CORPORATION

Agency Mission

The Rhode Island Commerce Corporation's (the "Commerce Corporation") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21st century economy.

The Commerce Corporation, in partnership with industry, civic and government leaders, is advancing an economic strategy focused on fostering innovation and advanced industries, expanding and recruiting businesses, attracting tourists through a statewide marketing campaign, and equipping our workforce to succeed in the global economy.

Agency Description

The Commerce Corporation is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, the Commerce Corporation serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by R.I General Laws §42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority.

Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL §42-64-1.1.

The Commerce Corporation falls under the purview of the Secretary of Commerce per RIGL §42-64.19-6.

THE BUDGET

RHODE ISLAND COMMERCE CORPORATION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised *	FY 2021 Recommended
State Appropriation	\$ 7,224,514	\$ 7,474,514	\$ 7,431,022	\$ 7,485,022
Total State Appropriations	\$ 7,224,514	\$ 7,474,514	\$ 7,431,022	\$ 7,485,022
Other Revenues				
Hotel Tax Revenue	\$ 6,398,790	\$ 5,604,258	\$ 5,600,000	\$ 5,600,000
Finance Program Reimbursements ^[2]	414,540	318,194	318,194	318,194
Federal Grant Reimbursements ^[2]	1,464,569	1,645,622	325,789	325,789
Other	1,112,122	141,851	340,206	340,206
Total Other Revenues	\$ 9,390,021	\$ 7,709,925	\$ 6,584,189	\$ 6,584,189
TOTAL SOURCES (Cash, Revenues)	\$ 16,614,535	\$ 15,184,439	\$ 14,015,211	\$ 14,069,211
Total Operations (Personnel & Operating)	\$ 15,133,508	\$ 13,120,173	\$ 13,670,970	\$ 13,670,970
Grant/Partnership Expenses	1,498,415	1,645,622	325,789	325,789
Total Expenses	\$ 16,631,923	\$ 14,765,795	\$ 13,996,759	\$ 13,996,759
Operating Surplus/(Deficit)	\$ (17,388)	\$ 418,644	\$ 18,452	\$ 72,452

Pass-Through (only) Grants

State

STAC Research Alliance (EP Score)	\$ 800,000	\$ 900,000	\$ 900,000	\$ 900,000
Innovative Matching Grants	1,000,000	1,000,000	1,000,000	1,000,000
Renewable Energy Fund	8,397,552	2,250,000	1,000,000	1,000,000
Airport Impact Aid	1,009,896	1,010,036	1,010,036	1,010,036
Chafee Center at Bryant	376,200	476,200	476,200	476,200
Polaris Manufacturing Tech. Assist. Program	250,000	350,000	350,000	400,000
East Providence Waterfront Commission	-	-	50,000	50,000
Urban Ventures (Designated Grant)	109,012	140,000	140,000	150,000
Total	\$ 11,942,660	\$ 6,126,236	\$ 4,926,236	\$ 4,986,236

Federal

DOD SteamEngine II	\$ 1,107,063	\$ -	\$ -	\$ -
PTAC	357,506	325,789	325,789	325,789
State Small Business Credit Initiative	1,415,697	1,195,112	-	-
Total	\$ 2,880,266	\$ 1,520,901	\$ 325,789	\$ 325,789

^[1] Approximate balance; variances may exist due to cash budget vs. accrual accounting methods

^[2] Federal: Personnel and indirect cost reimbursements as allowable, prime recipient only

*Not Board Approved

THE AGENCY

RHODE ISLAND HEALTH & EDUCATIONAL BUILDING CORPORATION

Agency Mission

To ensure that adequate financing is available for the education institutions and healthcare providers in the state to meet the needs of the citizens of Rhode Island.

Agency Description

The Rhode Island Health and Educational Building Corporation (the “Corporation”) is a non-business corporation and component unit of the State of Rhode Island. The Corporation provides tax-exempt bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the Corporation has assisted eligible institutions with over \$9.1 billion in financing without obligating the state’s credit.

Under the direction of a five-member board of directors, appointed by the Governor, the Corporation has assisted hospitals, nursing homes, mental health centers, health care providers, adult day care centers, visiting nurse associations, private secondary schools, local educational authorities, colleges and universities in obtaining low-cost financing.

The Corporation receives no state appropriations for its operations.

The School Building Authority (SBA) was created in 2015 with the Corporation being designated administrator of the SBA’s Capital Fund. The purpose of the SBA is to provide funding for high-priority projects to local education authorities. The SBA receives state appropriations for its operations.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I. General Laws §45-38.1 (as amended).

The School Building Authority Capital Fund was created by the General Assembly in FY 2015, with the Corporation’s duties and powers, as administrator, defined by RIGL §45-38.2 (as amended).

THE BUDGET

RHODE ISLAND HEALTH & EDUCATIONAL BUILDING CORPORATION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Proposed
Operating Revenues				
Administrative Fees	\$ 2,388,962	\$ 3,074,171	\$ 3,265,520	\$ 2,660,000
State Appropriations	10,364,079	21,336,489	78,636,020	55,900,000
Interest Income - Loans	62,183	58,300	52,186	45,683
Total Operating Revenues	\$ 12,815,224	\$ 24,468,960	\$ 81,953,726	\$ 58,605,683
Operating Expenses				
Administrative	\$ 1,510,687	\$ 1,653,609	\$ 1,697,567	\$ 1,722,810
Project Cost Grants	12,875,975	14,680,380	52,636,020	55,900,000
Grants	250,000	-	-	-
Payment to Local Institution	-	64,243	-	-
Depreciation	9,568	9,187	9,600	8,340
Total Operating Expenses	\$ 14,646,230	\$ 16,407,419	\$ 54,343,187	\$ 57,631,150
Non-Operating Revenues (Expenses)				
Net Investment Income	\$ 173,221	\$ 168,150	\$ 139,665	\$ 173,000
Other Income (SBA)	89,560	210,454	243,358	245,000
Interest Earned on Restricted Cash	22,099	27,776	50,000	51,000
Loss on Disposal of Capital Assets	-	(2,370)	-	-
Transfers to the State	(6,000,000)	-	-	-
Transfers to RIDE (SBA)	-	(737,734)	(775,492)	(1,009,800)
Total Non-Operating Revenues	\$ (5,715,120)	\$ (333,724)	\$ (342,469)	\$ (540,800)
Change in Net Position	\$ (7,546,126)	\$ 7,727,817	\$ 27,268,070	\$ 433,733
Net Position- End of Year	\$ 20,905,582	\$ 28,633,399	\$ 55,901,469	\$ 56,335,202

The information presented above was provided by the entity, and in most cases, the data provided for FY 2021 has not been approved by the Board of Directors.

THE AGENCY

RHODE ISLAND HOUSING AND MORTGAGE FINANCE CORPORATION

Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs.

Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator for various programs; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under R.I. General Laws §42-55.

THE BUDGET

RHODE ISLAND HOUSING & MORTGAGE FINANCE CORPORATION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Expenditure Report				
Personnel Services	\$ 22,643,931	\$ 22,625,074	\$ 23,500,000	\$ 24,200,000
Other Administrative Expenses	10,033,211	10,670,394	10,600,000	10,900,000
Programmatic Expenses	7,669,492	6,238,100	4,800,000	4,500,000
Provision for Loan Loss	5,593,289	3,759,692	3,000,000	3,000,000
Amortization and Depreciation	1,819,711	2,032,562	2,000,000	2,000,000
Total Expenditures	\$ 47,759,634	\$ 45,325,822	\$ 43,900,000	\$ 44,600,000

*The information for FY 2020 has neither been reviewed nor approved by the Board of Commissioners of Rhode Island Housing and Mortgage Finance Corporation and is subject to change.

THE AGENCY

I-195 REDEVELOPMENT DISTRICT COMMISSION

Agency Description

The I-195 Redevelopment District Commission (the “Commission”) was created in late 2011 to serve as the primary authority for the management, disposition and overall redevelopment of the land made available in Providence as a result of the relocation of Interstate 195. The Commission is led by an Executive Director and a volunteer board comprised of nine Commissioners, (including two who serve ex officio) nominated by the Governor, (with guidance from the Providence Mayor and House Speaker) and approved by the Rhode Island Senate.

The land the Commission is charged with redeveloping is comprised of 26.5 acres (inclusive of a 2.1-acre parcel that was sold in 2017 and 0.59-acre parcel that was sold in 2018), of which 7.01 acres are dedicated for the use as public parks, which are currently under construction. The remaining 19.5 acres are slated for commercial development and could support millions of square feet of new construction.

Presently, the Commission is actively working on seven development projects, establishing and implementing a Development Plan as part of the Special Economic District designation enacted in the 2020 budget, and establishing and implementing a management and operation plan for the parks to be open in the Spring of 2020.

The sale of the I-195 surplus land to the Commission is a key element of the plan of finance for making the land usable for future development, thereby providing a means to pay back the supporting bonds, and advancing the economic success of the state.

Statutory History

R.I. General Laws §42-64.14 created the I-195 Redevelopment District Commission.

THE BUDGET

I-195 REDEVELOPMENT DISTRICT COMMISSION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Operating Revenues				
State Grants	\$ 761,004	\$ 761,000	\$ 761,000	\$ 761,000
Rhode Island Capital Plan Fund	920,653	425,000	450,000	1,510,000
Other Resources (Grants)	417,875	374,654	342,500	325,920
Total Operating Revenues	\$ 2,099,532	\$ 1,560,654	\$ 1,553,500	\$ 2,596,920
Expenditures				
Salaries, Wages and Benefits	\$ 437,181	\$ 490,104	\$ 543,331	\$ 590,000
Contracted Professional Services	1,482,817	827,068	1,125,600	537,500
Operating Supplies & Expenses	620,159	221,835	496,550	469,420
Capital Acquisition	-	-	-	1,000,000
Total Expenditures	\$ 2,540,157	\$ 1,539,007	\$ 2,165,481	\$ 2,596,920
Net Income (Loss)	\$ (440,625)	\$ 21,647	\$ (611,981)	\$ -

THE AGENCY

RHODE ISLAND INDUSTRIAL FACILITIES CORPORATION

Agency Mission

The Rhode Island Industrial Facilities Corporation's (the "Corporation") objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Agency Description

The Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37.1 of the General Laws. The Corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by R.I. General Laws §45-37.1.

THE BUDGET

RHODE ISLAND INDUSTRIAL FACILITIES CORPORATION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Receipts				
Bond Fees	\$ 34,898	\$ 35,000	\$ 40,000	\$ 50,000
Other	1,580	2,500	2,500	2,500
Total Receipts	\$ 36,478	\$ 37,500	\$ 42,500	\$ 52,500
Expenses				
Administration	\$ 26,400	\$ 26,500	\$ 26,500	\$ 26,500
Insurance	13,035	13,035	13,035	13,035
Other	-	750	-	-
Legal and Audit	19,271	19,500	20,000	20,000
Total Expenses	\$ 58,706	\$ 59,785	\$ 59,535	\$ 59,535
Net ^[1]	\$ (22,228)	\$ (22,285)	\$ (17,035)	\$ (7,035)

^[1] Cash reserves are maintained by the RI Commerce Corporation to cover projected budget deficits for the Authority.

THE AGENCY

RHODE ISLAND INDUSTRIAL-RECREATIONAL BUILDING AUTHORITY

Agency Mission

The Rhode Island Industrial-Recreational Building Authority (the “Authority”) promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Agency Description

The Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the Authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I. General Laws §42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Statutory History

The Authority was created in 1981 by R.I. General Laws §16-62. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the General Treasurer (ex-officio).

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by RIGL §42-34.

THE BUDGET

RHODE ISLAND INDUSTRIAL-RECREATIONAL BUILDING AUTHORITY

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Receipts				
Premiums	\$ 92,466	\$ 205,500	\$ 63,650	\$ 70,000
Interest	12,089	20,000	17,000	17,000
Total Receipts	\$ 104,555	\$ 225,500	\$ 80,650	\$ 87,000
Expenses				
Administration	\$ 26,748	\$ 23,500	\$ 17,500	\$ 20,000
Legal and Audit ^[1]	192,602	150,000	75,000	70,000
Insurance	31,580	31,580	31,580	31,580
Total Expenses	\$ 250,930	\$ 205,080	\$ 124,080	\$ 121,580
Operating Income (Loss)	\$ (146,375)	\$ 20,420	\$ (43,430)	\$ (34,580)
Est. Loss-Default	\$ 667,046	\$ -	\$ -	\$ -
Net ^[2]	\$ (813,421)	\$ 20,420	\$ (43,430)	\$ (34,580)

^[1] Legal fees increased due to litigation related to CAPCO.

^[2] Cash reserves are maintained by the RI General Treasurer's Office to cover projected budget deficits for the Authority.

THE AGENCY

NARRAGANSETT BAY COMMISSION

Agency Mission

The mission of the Narragansett Bay Commission (“NBC”) is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field’s Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

Agency Description

The Narragansett Bay Commission was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field’s Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, NBC’s fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

NBC owns and operates Rhode Island’s two largest wastewater treatment facilities, 110 miles of sewer interceptors, 65 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,730 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

NBC has a \$507.1 million five-year capital improvement budget for fiscal years 2021-2025. The NBC is governed by a 19-member Board and is organized into five divisions: the Administration, Construction and Engineering, Finance, Operations and Maintenance, and Environmental Science and Compliance divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, NBC is responsible for reducing the amount of combined sewer overflow to local waterways within its service area.

Statutory History

R. I. General Laws § 46-25 relates to the Narragansett Bay Commission; RIGL § 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and RIGL § 46-25.2 relates to future acquisitions of wastewater treatment facilities.

THE BUDGET

NARRAGANSETT BAY COMMISSION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Expenditures by Object				
Personnel	\$ 23,581,657	\$ 24,382,523	\$ 25,737,917	\$ 26,510,055
Operating Supplies & Expenses	15,125,106	15,920,121	18,111,200	18,654,536
Special Services	1,196,940	1,168,923	1,465,190	1,509,146
Subtotal Operating Expenditures	\$ 39,903,703	\$ 41,471,567	\$ 45,314,307	\$ 46,673,737
Debt Service	45,431,230	46,576,163	47,816,624	49,736,392
Total Expenditures	\$ 85,334,933	\$ 88,047,730	\$ 93,130,931	\$ 96,410,129
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	\$ 23,581,657	\$ 24,382,523	\$ 25,737,917	\$ 26,510,055
Operating Supplies & Expenses	15,125,106	15,920,121	18,111,200	18,654,536
Special Services	1,196,940	1,168,923	1,465,190	1,509,146
Debt Service	45,431,230	46,576,163	47,816,624	49,736,392
Total Expenditures	\$ 85,334,933	\$ 88,047,730	\$ 93,130,931	\$ 96,410,129

FY2018 Actuals taken from audited financial statements.

FY2019 Actuals taken from audited financial statements.

FY 2020 taken from NBC's approved budget.

* FY2021 Information has neither been reviewed nor approved by the Narragansett Bay Commission's Board of Commissioners as it is compiled prior to NBC's formal development of its FY2021 budget.

THE AGENCY

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Agency Mission

As the statewide public transit organization, the Rhode Island Public Transit Authority (“RIPTA”) aims to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. Key mobility strategies include: transit design and service; efforts to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Agency Description

The Rhode Island Public Transit Authority has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs, with emphasis on Rhode Island’s families, children, transit-dependent populations, and elderly and disabled residents. RIPTA operates a fixed-route fleet of 240 buses and trolleys. RIPTA’s main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state’s paratransit fleet currently includes 89 vans. In FY 2019, 16.4 million passengers were carried on RIPTA’s fixed-route bus service and an additional 384,218 passengers were transported on the state’s coordinated paratransit service. FY 2019 was also the second full year where RIPTA’s Van Pool service was operational, providing 17,990 trips to and from work.

Statutory History

RIPTA was created as a body corporate and politic in 1964 by R.I. General Laws § 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of RIPTA.

THE BUDGET

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Revenue				
Passenger Revenue	\$ 25,786,723	\$ 24,959,853	\$ 24,377,313	\$ 24,533,471
Special Revenue	749,347	-	-	-
Special Project - Local/Fed	-	8,866	695,920	349,000
Other Revenue	10,649,379	14,280,648	13,014,938	13,256,343
Federal Funds	27,515,141	25,338,709	33,696,233	35,706,094
RI Gasoline Tax ⁽¹⁾	43,731,401	47,498,853	44,169,207	45,448,670
RI Department of Human Services ⁽²⁾	813,663	813,663	1,189,092	1,182,508
RI Highway Maintenance Account	5,297,730	6,493,619	6,181,619	6,132,599
Total Revenue ⁽³⁾	\$ 114,543,384	\$ 119,394,212	\$ 123,324,323	\$ 126,608,685
Expenses				
Salaries & Benefits ⁽⁴⁾	\$ 70,521,264	\$ 76,815,185	\$ 72,076,746	\$ 75,082,218
Salaries & Benfits - Federal	12,251,281	12,465,224	14,059,129	14,676,031
Contract Services	5,367,882	7,174,379	3,356,790	2,952,146
Contract Services - Federal	3,582,197	1,098,522	6,930,364	7,131,414
Operating Expenses	7,382,035	8,182,758	15,454,858	15,264,432
Operating Expenses - Federal	7,408,557	5,128,436	6,399,436	6,514,536
Utilities	1,706,056	1,652,483	1,731,661	1,771,489
Utilities - Federal	65,883	68,015	16,381	16,758
Insurance & Settlements	5,821,603	5,169,299	-	-
Special Project - Local/Fed	-	-	695,920	349,000
Capital Match	-	-	976,582	1,272,396
Debt Service	546,725	537,500	1,573,775	1,524,755
Other	29,805	10,879	-	-
Total Expenses ⁽⁵⁾	\$ 114,683,288	\$ 118,302,680	\$ 123,271,642	\$ 126,555,177
Net Income/(Loss)	\$ (139,904)	\$ 1,091,532	\$ 52,681	\$ 53,508

(1) Gas Tax amount estimated by Department of Revenue.

(2) Gas Tax funding provided through the Department of Human Services for the RIDE Program.

(3) Contributed Capital is not included in FY 2018 (\$3,453,334) or FY 2019 (\$9,775,141).

(4) The Salary & Fringe Benefits expense does not include funding for the OPEB liability in any of the fiscal years above: actual or budget. Only the pay-go portion of OPEB costs are included (\$2,294,610 in 2018 and \$2,166,657 in 2019). The total unfunded OPEB liability as of June 30, 2019 is \$75,091,469. Total OPEB expense in FY 2019 was \$6,038,002.

(5) Depreciation is not included in FY 2018 (\$18,489,888) or FY 2019 (\$17,194,709).

THE AGENCY

QUONSET DEVELOPMENT CORPORATION

Agency Mission

The Quonset Development Corporation (“QDC”) develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The QDC ensures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The QDC develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Agency Description

The Quonset Development Corporation is a real estate development and management company organized as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The QDC’s Board of Directors consists of eleven members. The Executive Director of the Rhode Island Commerce Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Statutory History

The QDC was created in 2004 by R.I. General Laws §42-64-10, as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

THE BUDGET

QUONSET DEVELOPMENT CORPORATION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Estimated Opening Balance Revenues	\$ 382,646	\$ 5,811	\$ 1,029,795	\$ 198,945
Revenues from Operations				
Rental Income	\$ 22,840,608	\$ 10,766,119	\$ 10,087,949	\$ 10,144,896
Pier Income	1,688,787	2,256,788	2,100,000	2,100,000
Utility Sales	2,944,516	3,074,863	3,167,109	3,262,122
Other Income	1,345,289	900,000	917,740	927,102
Total Revenue from Operations	\$ 28,819,200	\$ 16,997,770	\$ 16,272,798	\$ 16,434,120
Other Revenue				
Rhode Island Capital Plan Fund	\$ 1,607,066	\$ 7,000,000	\$ 9,000,000	\$ 11,000,000
Total Resources	\$ 30,808,912	\$ 24,003,581	\$ 26,302,593	\$ 27,633,065
Expenses				
Personnel Expenses	\$ 4,287,428	\$ 4,928,974	\$ 4,926,159	\$ 5,117,326
Operating Expenses	\$ 3,791,739	\$ 5,664,843	\$ 5,212,523	\$ 5,335,492
Debt Service	\$ 2,341,919	\$ 2,371,607	\$ 2,764,966	\$ 2,874,299
Transfer to State of RI	1,000,000	-	1,200,000	-
Capital Expenditures ^[1]	18,869,392	10,541,260	12,000,000	14,000,000
Total Expenditures	\$ 30,290,478	\$ 23,506,684	\$ 26,103,648	\$ 27,327,117
Closing Balance	\$ 518,434	\$ 496,897	\$ 198,945	\$ 305,948

^[1] To be used for Capital Improvement Projects as well as other internal capital requirements. Projects may already be under construction

THE AGENCY

RHODE ISLAND RESOURCE RECOVERY CORPORATION

Agency Mission

Rhode Island Resource Recovery Corporation's (the "Corporation") mission is to provide safe, environmentally compliant, clean and affordable solid waste and recycling services for the State of Rhode Island. It aims to provide the utmost in protection of public health and the environment while working towards having no impacts on the quality of life in the surrounding neighborhoods. The Corporation sets an example of being a good neighbor by minimizing the impacts of its operations on the surrounding community while setting high industry standards for recycling and waste disposal. The Corporation seeks the best mix of public and private processing, recycling and disposal systems, programs, and facilities for both commercial and municipal waste to meet Rhode Island's needs (R.I. General Laws § 23-19-1.1).

Agency Description

The Corporation's 1,200-acre facility in Johnston is home to five major operations.

Central Landfill – The Central Landfill is the centerpiece of the Corporation's integrated waste management system. It provides disposal services to about 97 percent of the state's residents. Currently, about 3,000 tons of trash are buried in the landfill each working day. At current loading rates, the Central Landfill will reach capacity in 2036. All operations are conducted utilizing innovative technology. With a protective baseliner, daily cover on trash, the capture and treatment of leachate (wastewater), conversion of gas into energy, and a final capping system, the landfill is a feat of environmental engineering.

Materials Recycling Facility – the Corporation's Material Recycling Facility processes both residential and commercial single stream recyclables. Every day, approximately 90 trucks deliver roughly 450 tons of material to the MRF. After sorting, these materials are shipped around the globe where they are remanufactured into a wide variety of products— saving money, conserving natural resources, and extending the life of the Central Landfill.

Composting – The Corporation operates a composting program that processes roughly 40,000 tons of leaf and yard debris each year preventing it from having to be landfilled. The resulting product is designated RI Class "A" and is certified for use in organic growing.

Small Vehicle Area – The Corporation's Small Vehicle Area offers easy drop off access to special/bulky item recycling, as well as disposal. Accepted materials include but are not limited to appliances, e-waste, bulky rigid plastics, waste oils, scrap metal, textiles and tires.

Eco Depot – Eco-Depot is the name of the free service for disposing of residential household hazardous waste. Accepted materials include but are not limited to batteries, gasoline, oil and latex paints, and fluorescent bulbs. Annually, the Corporation offers approximately 20 collection events and safely recycles and/or disposes of approximately 225 tons of HHW, serving over 8,000 Rhode Islanders.

Statutory History

R.I. General Laws § 23-19 defines the programs that are required of the Corporation.

THE BUDGET

RHODE ISLAND RESOURCE RECOVERY CORPORATION

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended ⁽¹⁾
Revenues:	\$ 63,519,294	\$ 73,627,546	\$ 64,016,600	\$ 64,200,000
Expenses:				
Personnel Costs	\$ 14,922,045	\$ 16,288,639	\$ 16,625,214	\$ 17,150,000
Contractual Services	9,119,079	7,494,720	8,710,924	8,850,000
Utilities	1,756,493	2,003,858	1,675,400	1,700,000
Repairs & Maintenance	3,628,129	2,677,844	5,120,900	4,000,000
Other Supplies & Expenses	4,975,470	4,242,413	4,769,650	4,900,000
Grants to Municipalities for Recycling	239,443	246,114	352,500	600,000
Bad Debts	1,710	372,897	100,000	100,000
Provision for landfill closure & post-closure care & Superfund clean-up costs	15,283,095	1,534,625	4,487,350	4,500,000
Depreciation, Depletion & Amortization	10,379,143	13,861,238	12,803,379	13,600,000
Total Expenses	\$ 60,304,607	\$ 48,722,348	\$ 54,645,317	\$ 55,400,000
Income (Loss) from Operations	\$ 3,214,687	\$ 24,905,198	\$ 9,371,283	\$ 8,800,000
Transfers to State of Rhode Island	\$ -	\$ -	\$ (5,000,000)	\$ (5,000,000)
Interest & Investment Revenue	288,646	6,387,209	2,665,000	2,650,000
Interest Expense	(651,714)	(543,224)	(439,008)	(350,000)
Other Income (Expense)	(229,292)	671,443	-	-
Total Non-Operating Revenues (Expense)	\$ (592,360)	\$ 6,515,428	\$ (2,774,008)	\$ (2,700,000)
Net Income (Loss) for the Year	\$ 2,622,327	\$ 31,420,626	\$ 6,597,275	\$ 6,100,000

⁽¹⁾ The FY 2021 recommended budget reflects management's budget projections made in FY2019. That budget has not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables and closure/post closure liability estimates.

THE AGENCY

RHODE ISLAND STUDENT LOAN AUTHORITY

Agency Mission

As of September 30, 2019, the Authority held \$149,161,987 in Federal Family Education Loans and \$469,817,531 in non-federal state based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

Agency Description

The Rhode Island Student Loan Authority (the “Authority”), a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originated over \$61,000,000 in fiscal year 2019 of low-cost state based education loans for students pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program. In addition, the Authority originated approximately \$51,600,000 in refinanced loans designed to help students and families reduce their monthly education loan payments and interest rate as well as make repaying their loan easier.

The Authority also provides several free services, the largest being the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors, was started in 1998 by the Authority. The CPC is open seven days a week during the peak financial aid season and operates out of two locations in Warwick and Lincoln. The CPC is dedicated to increasing access to higher education through the several free services it provides. Its focus is to help provide parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that is used by over 17,00 Rhode Islanders each year. The CPC conducts financial aid nights at most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form. During 2019, the CPC initiated a FAFSA completion program with a goal of increasing the number of high school students in the State that completed the application. This initiative helped the State of Rhode Island achieve the highest percentage increase in the country of students that completed the FAFSA as compared to the prior year.

Other free outreach programs sponsored by RISLA include Internship and nurse educator rewards programs, which make direct payments to pay principal of to the recipients’ current student loan. In addition, RISLA provides numerous financial literacy seminars throughout the year.

Statutory History

The Authority was created in 1981 by R.I. General Laws §16-62. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the General Treasurer (ex-officio).

THE BUDGET

RHODE ISLAND STUDENT LOAN AUTHORITY

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Operating Revenues				
Student Loan Payments	\$ 37,034,746	\$ 36,435,438	\$ 36,239,516	\$ 36,601,911
Dept. of Education SAP Payments	(5,647,059)	(3,321,257)	(2,519,184)	(2,015,347)
Investments	874,646	1,986,321	1,748,630	1,398,904
Other	1,840,173	1,566,433	1,421,095	1,271,095
Total Operating Revenues	\$ 34,102,506	\$ 36,666,935	\$ 36,890,057	\$ 37,256,563
Expenditures				
Interest & Bond Expenses	\$ 16,522,561	\$ 18,346,617	\$ 18,482,022	\$ 19,873,445
Arbitrage Rebate Expense	503,150	(2,456,673)	(125,000)	(100,000)
Student Loan Forgiveness Program	-	2,283,367	-	-
Loan Servicing & Origination	3,405,503	3,623,133	4,165,740	4,249,055
Provision for Risk Share	2,405,246	2,928,708	2,503,561	2,566,150
Dept. of Ed Consolidation Loan Fee	635,697	511,577	490,454	465,931
Personnel	3,781,581	3,950,808	4,297,076	4,297,076
Depreciation	109,253	98,020	126,410	126,410
Transfer to State of Rhode Island	-	-	1,500,000	2,000,000
Total Operating Expenses	\$ 27,362,991	\$ 29,285,557	\$ 31,440,263	\$ 33,478,067
Excess Revenues over Expenses	\$ 6,739,515	\$ 7,381,378	\$ 5,449,794	\$ 3,778,496

THE AGENCY

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

Agency Objectives

The Rhode Island Turnpike and Bridge Authority (the "Authority") is committed to maintaining and operating the bridges in a fiscally responsible manner which ensures their physical integrity and longevity, as these structures are integral to travel in the coastal area of Rhode Island and neighboring states.

Agency Operations

The Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge, which was opened for traffic on June 28, 1969, and has been responsible for the operation and maintenance of the Mount Hope Bridge between Portsmouth and Bristol, and the Claiborne Pell Bridge between Newport and Jamestown, since 1964 and 1969 respectively. On April 25, 2013, the State of Rhode Island (the "State") transferred to the Authority custody, control and supervision of the land and improvements for the Jamestown Verrazano Bridge between North Kingstown and Jamestown, the Sakonnet River Bridge between Portsmouth and Tiverton, and Route 138 in Jamestown. Ownership and title of the Jamestown and the Sakonnet River Bridges and such portion of Route 138 remains with the State.

Day-to-day operations of the Authority are led by an Executive Director who oversees approximately 110 employees and reports to a five-member Board of Directors comprised of the Director of Transportation, who is a member ex-officio, and four members appointed by the Governor. Operating revenues and expenses result from providing services for the ongoing operations of the bridges and other facilities in its control. The principal operating revenues of the Authority are the collection of toll revenue from the users of the Claiborne Pell Bridge and, beginning on July 1, 2014, three and one-half (\$0.035) cents of motor fuels tax on each gallon sold in Rhode Island which is annually appropriated by the General Assembly to the Authority. The Authority's debt service consists of revenue bond obligations issued in 2010 and 2016, maturing in 2039 and 2052, respectively, and secured principally by said tolls and said gas tax appropriations. The proceeds of the Bonds have been used to fund the Authority's Capital Improvement Plan. In connection with each issuance of Bonds, the Authority entered into a Trust Indenture. Accounts of the Authority are maintained in compliance with the provisions of each Trust Indenture.

Statutory History

R.I. General Laws § 24-12 establishes the organization and function of the Rhode Island Turnpike and Bridge Authority. Article 20 of the 2013 Appropriations Act authorized the transfer of the Sakonnet River Bridge and the Jamestown Verrazano Bridge to the Authority. Article 21 of the 2015 Appropriations Act eliminated the authority to toll the Sakonnet River Bridge while allocating three and one-half cents (\$0.035) of the State's motor fuels tax to the Authority to be used for maintenance, operations, capital expenditures and debt service on any of its projects which allocation is subject to annual appropriation by the Rhode Island General Assembly.

THE BUDGET

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

	FY 2018 Actual	FY2019 Actual	FY2020 Revised	FY 2021 Recommended
Operating Revenues				
Tolls	\$ 20,539,004	\$ 21,563,719	\$ 19,500,000	\$ 21,000,000
Transponder Sales	487,703	442,558	400,000	400,000
Gas Tax Revenue	15,713,927	17,110,500	15,500,000	16,195,911
Fees/Other Revenue/Grant Revenue	101,200	77,655	165,000	165,000
Total Revenue	\$ 36,841,834	\$ 39,194,432	\$ 35,565,000	\$ 37,760,911
Operating Expenses				
Personnel Services	\$ 5,467,132	\$ 5,752,505	\$ 7,170,313	\$ 7,349,571
Utilities	191,134	207,798	250,000	252,500
Contractual Services	1,687,476	1,700,406	2,273,847	1,892,585
Other Supplies and Expenses	2,733,820	1,568,034	1,913,860	1,855,279
Insurance	1,041,570	1,324,517	1,400,124	1,414,125
Repairs and Maintenance	741,171	684,493	753,500	651,349
Bridge Inspections	1,004,255	772,216	1,300,000	1,313,000
Transponder Expense	223,412	212,595	325,000	328,250
Depreciation	14,123,226	13,956,955	13,956,955	13,956,955
Total Expenses	\$ 27,213,196	\$ 26,179,519	\$ 29,343,599	\$ 29,013,614
Operating Income	\$ 9,628,638	\$ 13,014,913	\$ 6,221,401	\$ 8,747,297
Non-Operating Revenues (Expenses)				
Interest Expense	\$ (7,698,006)	\$ (7,484,543)	\$ (7,348,600)	\$ (8,165,272)
Amortization of Bond Premium	655,509	655,508	655,509	655,509
Amortization on Bond Discount	-39614	-29597	-39614	-39614
Investment Income (net of Trustee Fees)	756,277	2,180,857	500,000	500,000
Grant Revenue	14,401	-	-	-
Miscellaneous Income	118,074	618,829	75,000	75,000
Total Non-Operating Revenues (Expenses)	\$ (6,193,359)	\$ (4,058,946)	\$ (6,157,705)	\$ (6,974,377)
Change in Net Assets	\$ 3,435,279	\$ 8,955,967	\$ 63,696	\$ 1,772,920
Debt Service				
Principal Payments on Bonds	\$ 5,920,000	\$ 4,225,000	\$ 4,385,000	\$ 5,970,000
Total Debt Service	\$ 5,920,000	\$ 4,225,000	\$ 4,385,000	\$ 5,970,000